

**PATENT ASSIGNMENT RECORDATION COVER SHEET****To: The Commissioner for Patents****Please record the attached original document or copy thereof.****Submission Type:**

- ☒ New *05/04/01*
- ☐ Resubmission (Non-Recordation):  
Document ID#
- ☐ Correction of PTO Error: Reel # \_\_\_\_\_ Frame #
- ☐ Corrective Document: Reel # \_\_\_\_\_ Frame #

**Conveyance Type:**

- ☒ Assignment
- ☐ Other:

J1033 U.S. PTO  
09/849/147  
05/04/01

**Name of conveying party(ies)****Execution Date  
(Month/Day/Year)**

Liu, Jacob May 4, 2001  
O'Leary, Timothy May 4, 2001  
Johnson, Ned May 4, 2001  
Kluge, Bruce May 4, 2001

**Name and address of receiving party(ies):**

3M Innovative Properties Company  
P.O. Box 33427  
St. Paul, Minnesota 55133-3427

Additional names of conveying party(ies) attached?

- ☐ Yes ☐ No

05-16-2001



101717990

**Application number or patent number:**

- ☒ This document is being filed with a new patent application on
- ☐ This document is to be recorded against the following patent application or patent:

**Patent Cooperation Treaty (PCT):**Enter PCT application number only if a U.S. Application Number has not been assigned:**Name and address of party to whom correspondence concerning document should be mailed:**

Name: Carolyn V. Peters, Phone Number: 651-736-7929  
Office of Intellectual Property Counsel  
3M Innovative Properties Company  
P.O. Box 33427  
St. Paul, Minnesota 55133-3427

*09/849/147***Number of Properties:****Enter the total number of properties involved**

1

**Fee Amount:****Fee Amount for Properties Listed (37 CFR 3.41)**X \$40.00**Method of Payment:**☒ Charge to Deposit Account No. 13-3723= \$40.00**Authorization to charge additional fees:** ☒ Yes ☐ No**DO NOT USE THIS SPACE****Statement and signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Carolyn V. Peters

Printed Name of Attorney/Agent

Registration No. 33,271

*Carolyn V. Peters*  
Signature

May 4, 2001

Date

**Pages:****Total number of pages of the attached conveyance document including any attachments**

4

Mail documents to be recorded with required cover sheet information to:

Commissioner for Patents, **Box Assignments**, Washington, DC 20231

q:\3mipco forms and samples\assignment recordation cover sheet - inventors to company.doc 4/28/99

**§1.56 Duty to disclose information material to patentability.**

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
- (2) It refutes, or is inconsistent with, a position the applicant takes in:
  - (i) Opposing an argument of unpatentability relied on by the Office, or
  - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) Each inventor named in the application;
- (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

ASSIGNMENT

Whereas we, the below named inventors, with residences and citizenships as indicated below; have made an invention in

**REPOSITIONABLE ADHESIVE LABEL FOR OPTICAL RECORDING MEDIA**

and have contemporaneously executed an application for Letters Patent of the United States of America based thereon;

Now, therefore, for good and valuable consideration, receipt of which is acknowledged, we have individually and jointly agreed to assign and transfer and do hereby assign and transfer unto the 3M INNOVATIVE PROPERTIES COMPANY, a wholly owned subsidiary of MINNESOTA MINING AND MANUFACTURING COMPANY, and a corporation of Delaware, having its principal office at Saint Paul, Minnesota, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in the said invention made by us or any of us or made jointly with others (provided any such improvement is made during, or within one year after the termination of, the employment by Minnesota Mining and Manufacturing Company and any of its existing or future subsidiaries of whichever of us, solely or jointly with one or more others, has made the same), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon the said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by us or any of us had this assignment and transfer not been made,

We do further agree for ourselves and for our heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as we lawfully may, that may be deemed necessary by the said Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries;

And we do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of the said applications, to the said 3M Innovative Properties Company, as the assignee of the entire right, title, and interest therein.



\_\_\_\_\_  
Jacob J. Liu

Residence: Woodbury, Minnesota, USA

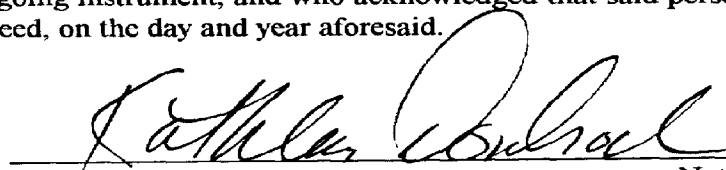
Citizenship: USA

STATE OF MINNESOTA )

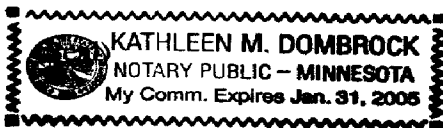
) ss.

COUNTY OF RAMSEY )

On this 4th day of May, 2001, before me personally appeared the above-named Jacob J. Liu, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.



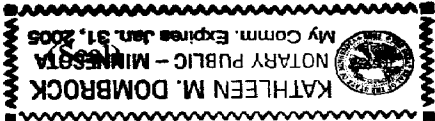
\_\_\_\_\_  
Notary Public




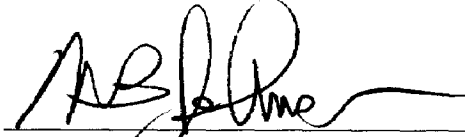
  
 Timothy J. O'Leary  
 Residence: White Bear Lake, Minnesota, USA  
 Citizenship: USA

STATE OF MINNESOTA )  
 ) ss.  
 COUNTY OF RAMSEY )

On this 4th day of May, 2001, before me personally appeared the above-named Timothy J. O'Leary, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.



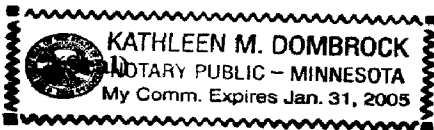
  
 Notary Public




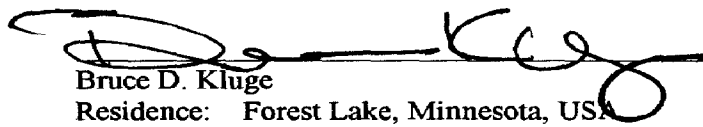
Ned B. Johnson  
 Residence: Minneapolis, Minnesota, USA  
 Citizenship: USA

STATE OF MINNESOTA )  
 ) ss.  
 COUNTY OF RAMSEY )

On this 4th day of May, 2001, before me personally appeared the above-named Ned B. Johnson, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.

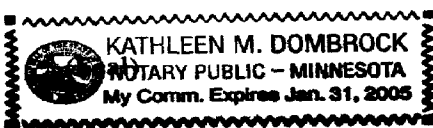



  
 Notary Public

  
 Bruce D. Kluge  
 Residence: Forest Lake, Minnesota, USA  
 Citizenship: USA

STATE OF MINNESOTA )  
 ) ss.  
 COUNTY OF RAMSEY )

On this 4th day of May, 2001, before me personally appeared the above-named Bruce D. Kluge, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.



  
 Notary Public