

05-16-2001

5-10-01



Docket No. 0575/58332-B/JPW/JML

FORM PTO-1595 (Substitute) (Rev. 8-96)		101719354 PATENTS ONLY		U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office	
To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.					
1. Name of conveying party(ies): Nicole Suciu-Foca, Zhouro Liu, Chih-Chao Chang, and Raffaello Cortesini Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			2. Name and address of receiving party(ies): The Trustees Of Columbia University Name: <u>In The City Of New York</u> Internal Address: _____ Street Address: <u>West 116th Street & Broadway</u> City/State/Zip: <u>New York, New York 10027</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
3. Nature of Conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date(s): <u>May 1, 2001</u>			4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is(are): _____ A. Patent Application No.(s) <u>09/746,311</u> <u>Filed: December 21, 2000</u> B. Patent No.(s) _____ Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>John P. White, Esq.</u> Internal Address: _____ Street Address: <u>Cooper & Dunham LLP</u> <u>1185 Avenue of the Americas</u> City/State/Zip: <u>New York, New York 10036</u>			6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR \$3.41): \$ <u>40.00</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: <u>03-3125</u>		
DO NOT USE THIS SPACE					
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="width: 40%;"> <u>Jane M. Love, Esq.</u> Name of Person Signing <u>Reg. #42,812</u> </div> <div style="width: 30%; text-align: center;"> Signature </div> <div style="width: 20%; text-align: center;"> <u>May 7, 2001</u> Date </div> </div> <div style="border: 1px solid black; padding: 5px; margin-top: 10px;"> I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231. <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="width: 40%;"> <u>Jane M. Love</u> John P. White Reg. No. 28,678 Jane M. Love Reg. No. 42,812 </div> <div style="width: 20%; text-align: center;"> <u>5/7/01</u> Date </div> </div> </div> <div style="margin-top: 10px;"> Total Number of pages including cover sheet, attachments and document: <u>4</u> </div>					
OMB No. 0651-0011 (exp. 4/94)					
Mail documents to be recorded with required cover sheet information to: Commissioner of Patents and Trademarks Box Assignments Washington, D.C. 20231					

 PATENT
 REEL: 011793 FRAME: 0400

Assignment

In consideration of One Dollar (\$1.00), and other good and valuable considerations, the receipt of which is hereby acknowledged, the undersigned,

Nicole Suciu-Foca residing at 120 Central Park South Apt. 19A, New York, New York 10019; Zharu Liu residing at 60 West 76th Street Apt. 2B, New York, New York 10023; Chih-Chao Chang residing at 555 Central Park Ave. Apt. 228, Scarsdale, New York 10583 and Raffaello Cortesini residing at 120 Central Park South Apt. 19A, New York, New York 10019

Hereby sell, assign and transfer to The Trustees of Columbia University in the City of New York

a corporation of the State of

New York having a place of business at West 116th Street & Broadway

in the County of New York

and State of New York 10027

its successors, assigns and legal representatives, the entire right, title and interest for all countries, in and to any and all inventions which are disclosed and claimed, and any and all inventions which are disclosed but not claimed, in the application for United States Patent, which has been executed by the undersigned on May 1, 2001 and is entitled

GENERATION OF ANTIGEN-SPECIFIC T SUPPRESSOR CELLS FOR TREATMENT OF REJECTION

(U.S. Serial No. 09/746,311, filed December 21, 2000, continuation-in-part of PCT International Application No. PCT/US00/16594, filed June 15, 2000, which claims priority and is continuation-in-part of U.S. Serial No. 09/333,809, filed June 15, 1999).

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for U.S. Letters Patent or other related property rights in any and all foreign countries which have been or shall be filed on any of said inventions disclosed in said application; and in and to all original and reissued patents or related foreign documents which have been or shall be issued on said inventions;

Authorize and request the Commissioner of Patents of the United States to issue to said Assignee, the corporation above named, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said inventions or any of them disclosed in said application;

Agree that said Assignee may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: May 1, 2001 20
Witness: Mildred Rodriguez [signature]
Mildred Rodriguez [printed name]
3850 Hudson Manor Terrace [address]
Bronx, NY 10463

Nicole Suciu-Foca [L.S.]
Nicole Suciu-Foca

Date: May 1, 2001 20
Witness: Mildred Rodriguez [signature]
Mildred Rodriguez [printed name]
3850 Hudson Manor Terrace [address]
Bronx, NY 10463

Zhouro Liu [L.S.]
Zhouro Liu

Date: May 1, 2001 20
Witness: Mildred Rodriguez [signature]
Mildred Rodriguez [printed name]
3850 Hudson Manor Terrace [address]
Bronx, NY 10463

Chih-Chao Chang [L.S.]
Chih-Chao Chang

Date: May 1, 2001 20
Witness: Mildred Rodriguez [signature]
Mildred Rodriguez [printed name]
3850 Hudson Manor Terrace [address]
Bronx, NY 10463

Raffaello Cortesini [L.S.]
Raffaello Cortesini

Date: _____ 20
Witness: _____ [signature]
_____ [printed name]
_____ [address]

_____ [L.S.]

Date: _____ 20
Witness: _____ [signature]
_____ [printed name]
_____ [address]

_____ [L.S.]

Date: _____ 20
Witness: _____ [signature]
_____ [printed name]
_____ [address]

_____ [L.S.]

Date: _____ 20
Witness: _____ [signature]
_____ [printed name]
_____ [address]

_____ [L.S.]