

05-17-2001

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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Attorney's Docket No. 033275-139

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

ABB ALSTOM POWER N.V.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

5-10-01

☐ Assignment

☐ Merger

☐ Security Agreement

☒ Change of Name

Other: _____

Effective Date: 19 June 2000

2. Name and address of receiving party(ies):

Name: ALSTOM Power N.V.

Address: Hullenbergweg 393-395

1101CS Amsterdam

The Netherlands

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/609,052

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert S. Swecker

Address: BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404

Alexandria, Virginia 22313-1404

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR § 3.41): \$ 40.00

☒ Enclosed

☒ Authorized to be charged to deposit account, if necessary

8. Deposit account number:

02-4800

DO NOT USE THIS SPACE

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert S. Swecker, #19,885

Name of Person Signing

Signature

May 10, 2001

Date

Total number of pages including cover sheet, attachments, and document: 8

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

05/16/2001 GTON11 00000139 09609052

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CERTIFICATE

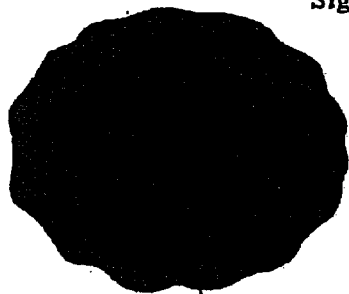
ref: MC/as/689732/103623.crt

28/06/00

I, Hajo Bart Hendrik Kraak, civil law notary in Amsterdam, hereby certify:

- that on 19 June 2000, the name of the company with limited liability (*naamloze vennootschap*) ABB ALSTOM POWER N.V., with corporate seat in Amsterdam, has been changed into **ALSTOM Power N.V.**, by deed of amendment to the articles of association, executed on 19 June 2000, of which a copy is attached to this certificate, together with an informal English translation thereof;
- that the Ministerial statement of no objections has been granted on 19 June 2000, under number N.V. 1.074.388;
- that in the English translation an attempt has been made to be as literal as possible; inevitably, differences may occur in translating and if so, the Dutch text will govern by law.

Signed in Amsterdam on 28 June 2000.



Stibbe Simont Monahan Duhot

Stibbe Simont Monahan Duhot

deed of amendment to
the articles articles of association of

ALSTOM Power N.V.,

with corporate seat in Amsterdam,
as executed on 19 June 2000,
before H.B.H. Kraak,
civil law notary in Amsterdam,
in respect of which amendment
to the articles of association
the Minister of Justice has advised
on 19 June 2000,
under number N.V. 1.704.388
that no objections have been apparent.

de Simont Monahan Duhot

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STATUTENWIJZIGING ABB ALSTOM POWER N.V.

(nieuwe naam: ALSTOM Power N.V.)

AS/MC/689732/9377.stw

19-06-2000

Heden negentien juni -----

tweeduizend, verscheen voor mij, -----

mr. Hajo Bart Hendrik Kraak, notaris te Amsterdam: -----

mevrouw Annemarie Meta Sluijmers, kantooradres Strawinskylaan 2001, 1077 ZZ
Amsterdam, geboren te Alphen aan den Rijn op zevenentwintig januari negentien-
honderd zesenzestig. -----

De comparant verklaarde: -----

- dat de statuten van de naamloze vennootschap **ABB ALSTOM POWER N.V.**
met zetel te Amsterdam, kantoor houdende 1181 NB Amstelveen, Burgemeester
Haspellaan 45 5/F, en ingeschreven in het handelsregister onder nummer
34116556, hierna te noemen: de "vennootschap", laatstelijk zijn gewijzigd bij akte
op dertig juni negentienhonderd negennegentig verleden voor mr. H.B.H. Kraak,
notaris te Amsterdam, ten aanzien van welke statutenwijziging de Minister van
Justitie op tweeëntwintig juni negentienhonderd negennegentig onder nummer
N.V. 1.074.388 heeft medegedeeld dat hem van geen bezwaren is gebleken; -----
- dat de algemene vergadering van de vennootschap heeft besloten om de statuten
van de vennootschap partieel te wijzigen; -----
- dat voorts werd besloten om onder meer de comparant te machtigen de betreffen-
de statutenwijziging tot stand te brengen; -----
- dat van deze besluiten blijkt uit een - aan deze akte te hechten -
aandeelhoudersbesluit. -----

Vervolgens verklaarde de comparant ter uitvoering van deze besluiten de statuten van
de vennootschap partieel te wijzigen als volgt: -----

Artikel 1 lid 1 wordt gewijzigd en komt te luiden als volgt: -----

De Simont Monahan Duhot

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1. De vennootschap draagt de naam: ALSTOM Power N.V.

Slotverklaring.

1. Ten slotte verklaarde de comparant dat de Minister van Justitie blijkens de - aan deze akte te hechten - verklaring op negentien juni tweeduizend onder nummer N.V. 1.074.388 heeft medegedeeld dat hem ten aanzien van de onderhavige statutenwijziging van geen bezwaren is gebleken.

Deze akte is heden verleden te Amsterdam.

De inhoud van deze akte is aan de verschenen persoon zakelijk meegedeeld en toegelicht.

De verschenen persoon verklaarde geen volledige voorlezing te verlangen, van de inhoud van de akte te hebben kennisgenomen en daarmee in te stemmen.

Deze akte is vervolgens beperkt voorgelezen en onmiddellijk daarna ondertekend door de verschenen persoon en mij, notaris, om zestien uur twintig minuten.

(Getekend): A.M. Sluijmers, H.B.H. Kraak.

Wibbe Simont Monahan Duhot

informal translation of
the deed of amendment to
the articles articles of association of

ALSTOM Power N.V.,

with corporate seat in Amsterdam,
as executed on 19 June 2000,
before H.B.H. Kraak,
civil law notary in Amsterdam,
in respect of which amendment
to the articles of association
the Minister of Justice has advised
on 19 June 2000,
under number N.V. 1.704.388
that no objections have been apparent.

Sibbe Simont Monahan Duhot

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AMENDMENT TO THE ARTICLES OF ASSOCIATION**ABB ALSTOM POWER N.V.**

AS/MC/689732/9382.stc

(new name: ALSTOM Power N.V.)

19/06/00

Today, the nineteenth of June

two thousand, appeared before me,

Hajo Bart Hendrik Kraak, civil-law notary in Amsterdam:

Annemarie Meta Sluijmers, care of Strawinskylaan 2001, 1077 ZZ Amsterdam, born in Alphen aan den Rijn on the twenty-seventh day of January nineteen hundred and sixty-six.

The appearing person declared:

- that the articles of association of the company with limited liability (*naamloze vennootschap*) ABB ALSTOM POWER N.V. with corporate seat in Amsterdam, having its office at 1181 NB Amstelveen, Burgemeester Haspellaan 45 5/F and filed at the Trade Register under number 34116556 (the "Company") were lastly amended by deed on the thirtieth day of June nineteen hundred and ninety-nine, executed before H.B.H. Kraak, civil-law notary in Amsterdam, in respect of which amendment the Minister of Justice has advised on the twenty-second day of June nineteen hundred and ninety-nine, under number N.V. 1.074.388 that no objections have become apparent;
- that the shareholders' meeting of the Company has decided to amend the articles of association of the Company partially;
- furthermore, it was decided to authorise the appearing person, to enable him to effect the amendment of the articles of association;
- /. - that such resolutions are evidenced by written shareholders' resolution to be attached to this deed.

Subsequently, the appearing person declared to amend the articles of association of the Company partially, in pursuance of the referred resolutions, as follows:

stibbe Simont Monahan Duhot

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Article 1 section 1 is amended and will read as follows:

1. The name of the company (the "Company") is ALSTOM Power N.V.

Final statement

1. Finally the appearing person declared that the Minister of Justice has, evidenced by the declaration to be attached to this deed advised on the nineteenth day of June two thousand, under number N.V. 1.074.388 that in respect of the current amendment of the articles of association no objections have become apparent.

This deed was executed today in Amsterdam.

The substance of this deed was stated and explained to the appearing person.

The appearing person declared not to require a full reading of the deed, to have taken note of the contents of this deed and to consent to it.

Subsequently, this deed was read out in a limited form, and immediately thereafter signed by the appearing person and myself, civil-law notary, at four hours twenty minutes post meridiem.