

05-18-2001



Substitute Form PTO-1595
 Attorney Docket No.: 06618-098001
 Client's Ref. No.: CIT 2569

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**RECORDATION FORM COVER SHEET
 PATENTS ONLY**

Commissioner for Patents: Please record the attached original document(s) or copy(ies).	
1. Name of conveying party(ies): Yu-Chong Tai Amish Desai Terry Lee Mike Davis Additional name(s) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies): California Institute of Technology 1200 East California Blvd. Pasadena, CA 91125 and City of Hope 1500 East Duarte Road Duarte, CA 91010 Additional names/addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date: 05/09/01, 04/29/01, 04/17/01, and 04/22/01 Respectively	MAY 14 2001
4. Application number(s) or patent number(s): If this document is being filed with a new application, the execution date of the application is: A. Patent Application No(s): B. Patent No(s): 5,994,696 Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
5. Name/address of party to whom correspondence concerning document should be mailed: SCOTT C. HARRIS Fish & Richardson P.C. 4350 La Jolla Village Drive, Suite 500 San Diego, CA 92122	6. Total number of applications/patents involved: 1 7. Total fee (37 CFR §3.41): \$40 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to charge Deposit Account. 8. Deposit Account No.: 06-1050 Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.
DO NOT USE THIS SPACE	
9. Statement and Signature: <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> Scott C. Harris Reg. No. 32,030 Name of Person Signing	
Signature: Date: 5/10/01	
Total number of pages including coversheet, attachments and document: 5	

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner of Patents, Washington, D.C. 20231.

May 10, 2001
 Date of Deposit

Signature

Roxanne Ippolito
 Typed Name of Person Signing Certificate

PATENT
REEL: 011796 FRAME: 0421

ASSIGNMENT

For valuable consideration, we, Yu-Chong Tai of Pasadena, CA, Amish Desai of Altadena, CA, Terry Lee of San Dimas, CA, and Mike Davis of City of Hope, hereby assign to: California Institute of Technology, a corporation having a place of business at: 1200 East California Boulevard, Pasadena, CA 91125; and City of Hope, a corporation having a place of business at: 1500 East Duarte Road, Duarte, CA 91010; and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled A MEMS ELECTROSPRAY NOZZLE FOR MASS SPECTROSCOPY, filed January 27, 1998, and assigned U.S. Serial Number 09/013,961, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further

Attorney Docket No.: 06618/098001/CIT2569

applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

DATE: May 9, 2001
Yu-Chong Tai

DATE: _____

Amish Desai

DATE: _____

Terry Lee

DATE: _____

Mike Davis

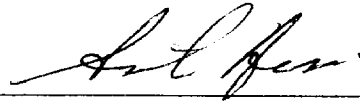
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applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

DATE: _____

Yu-Chong Tai

DATE: 4/29/01



Amish Desai

DATE: _____

Terry Lee

DATE: _____

Mike Davis

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applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.


DATE: _____

Yu-Chong Tai

DATE: _____

Amish Desai

DATE: 17 April 01


Terry Lee

DATE: 22 April 01


Mike Davis

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