

05-17-2001

ney's Docket No. T0428/7050 TJO

101720420
PATENTS

5-14-01 RE

2 SHEET

FORM PTO-1595 U.S.
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Assistant Commissioner for Patents : Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies): John M. Kirwan, Dean M. Pichon, J. Jeffrey Kablik, Stephen J. Herman, Thomas S. Bromander</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>3. Nature of conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____</p> <p>Execution Date: March 20, 2001, April 4, 2001, April 11, 2001, April 19, 2001</p>	<p>2. Name and address of receiving party(ies)</p> <p>Name: Focal, Inc.</p> <p>Internal Address:</p> <p>Street Address: 4 Maguire Road Lexington, MA 02173</p> <p>Additional name(s) & addresses(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
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4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s) 09/744,698	B. Patent No.(s)
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Additional numbers attached? ☐ Yes ☒ No

<p>5. Name and address of party to whom correspondence Concerning document should be mailed:</p> <p>Name: Timothy J. Oyer Address: WOLF, GREENFIELD & SACKS, P.C. Federal Reserve Plaza 600 Atlantic Avenue Boston, MA 02210</p>	<p>6. Total number of applications and patents involved: [1]</p> <p>7. Total fee (37 CFR 3.41) \$ 40.00</p> <p><input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account <input checked="" type="checkbox"/> The Commissioner is authorized to charge:</p> <p>8. Deposit Account No: 23/2825</p>
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DO NOT USE THIS SPACE

9. Statement and signature
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Timothy J. Oyer, Reg. No. 36,628

Name of Person Signing

Signature

Date

05/07/01

Total number of pages including cover sheet, attachments, and document: **[3]**

Mail documents to be recorded with required cover sheet information to:

Box Assignment
Commissioner of Patents & Trademarks,
Washington, D.C. 20231

ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, we the undersigned John M. Kirwan, 427 Winter Street, N. Andover, MA 01845, Dean M. Pichon, 20 Bow Street, Arlington, MA 02474, J. Jeffrey Kablik, 9 Old Stonehill Road, Tyngsboro, MA 01879, Stephen J. Herman, 50 Sunset Rock Road, Andover, MA 01810, and Thomas S. Bromander, 28 Candlewood Drive, Andover, MA 01810, hereby

Sell, assign and transfer to Focal, Inc., a Delaware corporation having a place of business at 4 Maguire Road, Lexington, MA 02173, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent filed in the United States Patent and Trademark Office on January 29, 2001 under Serial No. 09/744,698 and entitled UNIVERSAL MODULAR SURGICAL APPLICATOR SYSTEMS, and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

We agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, we agree to carry out in good faith the intent and purpose of this assignment, by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to us relating to said inventions and the history thereof, and generally by doing everything possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee.

We hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee.

We covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

3/20/01
Date

John M. Kirwan

STATE OF Massachusetts
COUNTY OF Middlesex

Subscribed and sworn to before me this 20th day of March, 2001.

SEAL [Signature]
Notary Public

My Commission Expires My Commission Expires AUG. 24, 2001

11 APR 01
Date

Dean M. Pichon
Dean M. Pichon

STATE OF Massachusetts
COUNTY OF Middlesex

Subscribed and sworn to before me this 11th day of April, 2001

SEAL Dean M. Pichon
Notary Public

My Commission Expires My Commission Expires AUG. 24, 2001

4/10/01
Date

J. Jeffrey Kablik
J. Jeffrey Kablik

STATE OF Massachusetts
COUNTY OF Middlesex

Subscribed and sworn to before me this 4th day of April, 2001

SEAL Dean M. Pichon
Notary Public

My Commission Expires My Commission Expires AUG. 24, 2001

Date

Stephen J. Herman

STATE OF _____
COUNTY OF _____

Subscribed and sworn to before me this _____ day of _____

SEAL _____
Notary Public

My Commission Expires _____

MARCH 20, 2001
Date

Thomas S. Bromander
Thomas S. Bromander

STATE OF Massachusetts
COUNTY OF Middlesex

Subscribed and sworn to before me this 20th day of March, 2001

SEAL [Signature]
Notary Public

My Commission Expires My Commission Expires AUG. 24, 2001

ASSIGNMENT

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3/20/01
Date

John M. Kirwan
John M. Kirwan

STATE OF Massachusetts
COUNTY OF Middlesex

Subscribed and sworn to before me this 20th day of March, 2001.

SEAL [Signature]
Notary Public

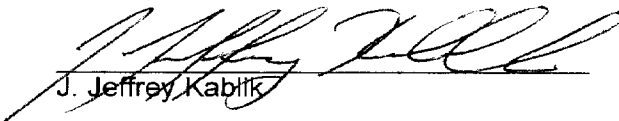
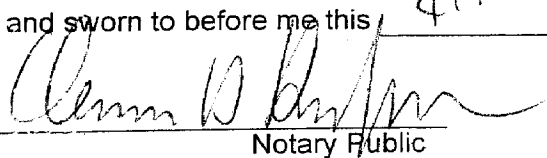

My Commission Expires My Commission Expires AUG. 24, 2001

Date _____

Dean M. Pichon _____

STATE OF _____
COUNTY OF _____

Subscribed and sworn to before me this _____ day of _____.

SEAL _____
Notary Public
My Commission Expires _____Date 4/10/01 _____
J. Jeffrey KablikSTATE OF Massachusetts
COUNTY OF MiddlesexSubscribed and sworn to before me this 4th day of April, 2001.SEAL 
Notary PublicMy Commission Expires My Commission Expires AUG. 24, 2001Date 4/18/01 _____
Stephen J. HermanSTATE OF Massachusetts
COUNTY OF MiddlesexSubscribed and sworn to before me this 19th day of April.SEAL 
Notary Public

My Commission Expires _____

STEPHANIE E. UHLAND
Notary Public
My Commission Expires
June 16, 2006

March 20, 2001
Date

Thomas S. Bromander
Thomas S. Bromander

STATE OF Massachusetts
COUNTY OF Middlesex

Subscribed and sworn to before me this 20th day of March, 2001

SEAL [Signature]
Notary Public

My Commission Expires My Commission Expires **AUG. 24, 2001**