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EXPRESS MAIL NO. EL750738963L



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05/09/01

RECORDATION FORM COVER SHEET  
PATENTS

PTO  
09/852110  
05/09/01

To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

DAVID FREDERICK BANTZ  
DENNIS GERARD SHEA

2. Name and address of receiving party(ies):

International Business Machines Corporation  
New Orchard Road  
Armonk, New York 10504

3. Nature of conveyance:

X Assignment

Execution Date(s): 05/04/01

4. Application number(s) or patent number(s):

09/852110

If this document is being filed together with a new application, the execution date(s) of the application is(are): 05/04/01

A. Patent Application(s)

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Duke W. Yee  
Carstens, Yee & Cahoon, L.L.P.  
P.O. Box 802334  
Dallas, Texas 75380  
(972) 367-2001

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

X Authorized to be charged to deposit account

8. Deposit account number:

50-0510

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Duke W. Yee  
Name of Person Signing

Duke Yee  
Signature

05/09/01  
Date

Total number of pages including cover sheet: 2

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EXPRESS MAIL NO. EL750738963US

A S S I G N M E N T

Whereas, we,

(1) David Frederick Bantz of Chappagua  
County of Westchester County and State of New York

and

(2) Dennis Gerard Shea of Ridgefield  
County of Fairfield and State of Connecticut

have invented certain improvements in

**Apparatus, System and Method for Providing Speech Recognition Assist to Call Handover**

and executed, respectively, a United States patent application therefore on (Dates Inventors Signed Declaration) (1) May 4, 2001, and (2) 5/4, 2001.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) Signed at Yorktown Heights, NY on May 4, 2001.

David Frederick Bantz  
David Frederick Bantz

and (2) Signed at Yorktown Heights, NY on 5/4/2001, 2001.

Dennis Gerard Shea  
Dennis Gerard Shea