Form PTO-1595



05-18-2001



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Attorney Docket No.: CROSS1340-1

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To the Honorable Commissioner or Patents and Trademarks: Please record the attached original documents or copy thereof.	
Name of Conveying party(ies):	Name and address of receiving Party(ies)
Robert A. Reynolds 19117 Sotogrande Street Austin, Texas 78759	Crossroads Systems, Inc. 8300 North MoPac Expressway Austin, Texas 78759
Citizenship: United States of America Additional name(s) of conveying party(ies) attached? Yes: No State of Incorporation: Texas Additional name(s) & address(es) attached? Yes: No 3. Nature of conveyance: Assignment	
Execution Date: January 9, 2001	
4. Application number(s) or patent number(s): A. Patent Application No.(s) 09/709,807 filed 11/10/00	
B. Patent No.(s)	
If this document is being filed together with a new application, the execution date of the application is:	
Additional numbers attached? ☐ Yes : ☑ No	
Name and address of party to whom correspondence concerning document should be mailed:	Total number of Applications and patents involved: _1 Total fee (37 CFR 3.41)\$ 40.00
Name : Armando Pastrana, Jr. Gray Cary Ware & Freidenrich LLP	8. 🛭 Enclosed
100 Congress Avenue, Suite 1440 Austin, Texas 78701	9. Authorized to charge the recordation fee or any underpayment to deposit account No. 50-0456.
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9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Armando Pastrana, Jr. Registration No. 44,997 Total number of pages including cover sheet, attachments, and document: 5	

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Additional Names of Conveying Parties:

John B. Haechten 28 Country Oaks Drive Buda, Texas 78610 Citizenship: U.S.A.

Kenneth D. Smeltzer 13104 Medina River Way Austin, Texas 78732 Citizenship: U.S.A.

AU\4048462.1 103671-991340

> PATENT REEL: 011802 FRAME: 0480

ASSIGNMENT

This Assignment is made by **Robert A. Reynolds** and **Kenneth D. Smeltzer** of Austin, Texas and **John B. Haechten** of Buda, Texas (hereinafter referred to as "Assignors").

WHEREAS, Assignors have invented a new and useful invention entitled Encapsulation Protocol for Linking Storage Area Networks Over a Packet-Based Network, for which an application for United States Letters Patent was made on November 10, 2000, bearing a serial number of 09/709,807; and

WHEREAS, Assignors believe themselves to be the original inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, the parties desire to have a recordable instrument assigning the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said inventions in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the invention and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors sell, assign, and transfer to **Crossroads Systems**, **Inc.** (hereinafter referred to as "Assignee"), the entire right, title, and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and/or their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

AU\4048426.1 103671-991340 Assignors authorize and request the Assistant Commissioner for Patents of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed divisional, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment on the date provided below.

Full name of first inventor:

Inventor's signature:

Date:

Residence (City, County, State)

Citizenship:

Post Office Address:

Robert A. Reynolds

Pflugerville, Travis, Texas

United States of America

19117 Sotogrande Street Austin, Texas 78759

Full name of second inventor:

Inventor's signature:

Date:

Residence (City, County, State)

Citizenship:

Post Office Address:

John B. Haechten

Buda, Hayes, Texas

United States of America

28 Country Oaks Drive Buda, Texas 78610

AU\4048426.1 103671-991340 Full name of third inventor:

Inventor's signature:

Date:

Residence (City, County, State)

Citizenship:

Post Office Address:

Kenneth D. Smeltzer

Austin, Travis, Texas

United States of America

13104 Medina River Way Austin, Texas 78732

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RECORDED: 05/14/2001

PATENT REEL: 011802 FRAME: 0483