

05-21-2001

TORNEY DOCKET NO: M-9595 US



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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

101723406

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

## 1. Name of conveying party(ies):

(a) Jerome Chandra Bhat

(b) Daniel A. Steigerwald

05/09/01

Additional name(s) of conveying party(ies) attached?

☐

Yes

☒

No

## 2. Name and address of receiving party(ies):

Name: LumiLeds Lighting, U.S., LLC

Street Address: 370 W. Trimble Road

City: San Jose State: CA Zip: 95131

Country: USA

JC978 U.S. PTO

09/852857



05/09/01

## 3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: (a) &amp; (b) May 4, 2001

09/852857

## Name and address of receiving party(ies):

Name:

Street Address:

City:

State:

Zip:

Country:

Additional name(s) &amp; address(es) attached?

☐

Yes

☒

No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: May 8, 2001

## A. Patent Application No.(s) - N/A

Title: Semiconductor LED Flip-Chip With High Reflectivity  
Dielectric Coating On The Mesa

## B. Patent No.(s)

N/A

Additional numbers attached?

☐

Yes

☒

No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Rachel V. Leiterman

Internal Address: SKJERVEN MORRILL MacPHERSON LLP

Street Address: 25 METRO DRIVE, SUITE 700

City: SAN JOSE State: CA Zip: 95110

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 3.41): \$40.00

☒

Authorized to be charged to Deposit Account 19-2386

☒

Charge Deposit Account 19-2386 for any additional fees required for this conveyance and credit deposit account 19-2386 any amounts overpaid

DO NOT USE THIS SPACE

## 8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Rachel V. Leiterman 46,868  
Name of Person Signing

Signature

5-9-2001

Date

Total number of pages comprising cover sheet: 3

EXPRESS MAIL LABEL NO.: EL 710 212 454 US

PATENT  
REEL: 011804 FRAME: 0883

**ASSIGNMENT**

For good and valuable consideration, receipt of which is hereby acknowledged, we

**Jerome Chandra Bhat**  
**Daniel A. Steigerwald**

hereby sell, assign and transfer to **LumiLeds Lighting, U.S., LLC**, a **Delaware** corporation, having a place of business at **370 W. Trimble Road, San Jose, CA 95131**, its successors and assigns, the entire right, title and interest throughout the world in our invention in:

**Semiconductor LED Flip-Chip With High Reflectivity Dielectric Coating On The Mesa**

for which we have executed a United States patent application on or about the date of this assignment, and all patent applications and patents of every country for said invention, including divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said applications; we authorize the above-named assignee to apply for patents of foreign countries for said invention, and to claim all rights of priority without further authorization from us; we agree to execute all papers useful in connection with said United States and foreign applications, and generally to do everything possible to aid said assignee, their successors, assigns and nominees, at their request and expense, in obtaining and enforcing patents for said invention in all countries; and we request that the United States Patent and Trademark Office issue all patents granted for said invention to the above-named assignee, its successors and assigns.

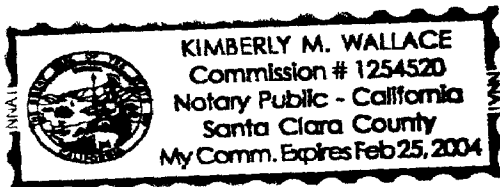
Executed this 4<sup>th</sup> day of May, 2001.

Jerome Chandra Bhat  
**Jerome Chandra Bhat**

State of CA )  
 ) ss.  
 County of Santa Clara


On May 4, 2001 before me, Kimberly M. Wallace, notary public personally appeared Jerome Chandra Bhat personally known to me ~~or proved to me on the basis of satisfactory evidence~~ to be the person~~s~~ whose name~~s~~ ~~is~~ ~~(are)~~ subscribed to the within instrument and acknowledged to me that he/~~she~~/~~they~~ executed the same in his/~~her~~/~~their~~ authorized capacity~~(ies)~~, and that by his/~~her~~/~~their~~ signature~~s~~ on the instrument the person~~s~~, or the entity upon behalf of which the person~~s~~ acted, executed the instrument.

WITNESS my hand and official seal.



Kimberly M. Wallace  
 SIGNATURE OF NOTARY

Executed this 4 day of May, 2001.

  
Daniel Alexander Steigerwald

State of CA )  
 ) ss.  
County of Santa Clara

On May 4, 2001 before me, Kimberly M. Wallace, Notary Public  
personally appeared Daniel Alexander Steigerwald personally  
known to me ~~or proved to me on the basis of satisfactory evidence~~ to be the person(s) whose  
name(s) ~~is~~ ~~(are)~~ subscribed to the within instrument and acknowledged to me that he/~~she~~/they  
executed the same in his/~~her~~/their authorized capacity(~~ies~~), and that by his/~~her~~/their  
signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s)  
acted, executed the instrument.

WITNESS my hand and official seal.



  
SIGNATURE OF NOTARY