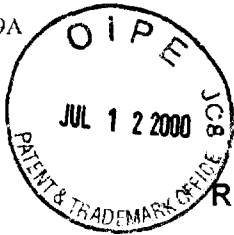


05-23-2001



101728249

RECORDATION FORM COVER SHEET PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- New
- Resubmission (Non-Recordation)
Document ID#
- Correction of PTO Error
Reel # Frame #
- Corrective Document
Reel # Frame #

Conveyance Type

- Assignment Security Agreement
- License Change of Name
- Merger Other

U.S. Government
(For Use ONLY by U.S. Government Agencies)

- Departmental File Secret File

Conveying Party(ies)

- Mark if additional names of conveying parties attached

Name (line 1) Execution Date
Month Day Year

Name (line 2)

Second Party
Name (line 1) Execution Date
Month Day Year

Name (line 2)

Receiving Party

- Mark if additional names of receiving parties attached

Name (line 1) If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)
City State/Country Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

11/06/2000 ERIMAND0 00000075 500337 09554259

02 FC:581 40.00 CH

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT

REEL: 011813 FRAME: 0286

Correspondent Name and Address

Area Code and Telephone Number

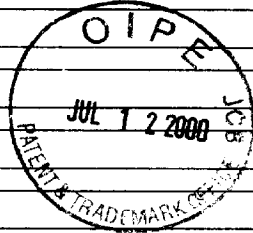
Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)



Pages Enter the total number of pages of the attached conveyance document including any attachments. #

Application Number(s) or Patent Number(s) Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

<input type="text" value="09/554,259"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text" value="09554259"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

If this document is being filed together with a new Patent Application, enter the date the patent application was

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT <input type="text"/>	PCT <input type="text"/>	PCT <input type="text"/>
PCT <input type="text"/>	PCT <input type="text"/>	PCT <input type="text"/>

Number of Properties Enter the total number of properties involved. #

Fee Amount Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment: Enclosed Deposit Account

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the

Deposit Account Number: #

Authorization to charge additional fees: Yes No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Terri L. Sale, Esq.

JULY 7, 2000

Name of Person Signing

Signature

Date

**RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY**

FORM PTO-1619C
Expires 06/30/99
OMB 0651-0027

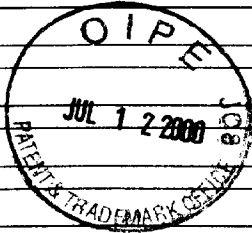
U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1)	Dongyuan Zhao	Execution Date Month Day Year	05/26/2000
Name (line 2)			
Name (line 1)	Nick Melosh	Execution Date Month Day Year	06/05/2000
Name (line 2)			
Name (line 1)	Qisheng Huo	Execution Date Month Day Year	06/29/2000
Name (line 2)			



Receiving Party

Mark if additional names of receiving parties attached

Name (line 1)		<input type="checkbox"/> If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)
Name (line 2)		
Address (line 1)		
Address (line 2)		
Address (line 3)	City	State/Country
		Zip Code
Name (line 1)		<input type="checkbox"/> If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)
Name (line 2)		
Address (line 1)		
Address (line 2)		
Address (line 3)	City	State/Country
		Zip Code

Application Number(s) or Patent Number(s)

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

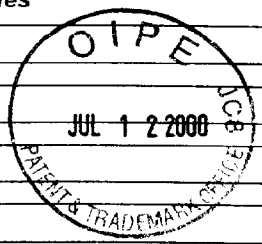
RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

Conveying Party(ies)

Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1)	Name (line 2)	Execution Date		
		Month	Day	Year
Jianglin Feng		06	28	2000
Peidong Yang		06	28	2000
David Pine		06	13	2000



Receiving Party

Mark if additional names of receiving parties attached

Name (line 1)		<input type="checkbox"/>	If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)
Name (line 2)			
Address (line 1)			
Address (line 2)			
Address (line 3)			
	City	State/Country	Zip Code
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Address (line 1)			
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Address (line 3)			
	City	State/Country	Zip Code

Application Number(s) or Patent Number(s)

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

Conveying Party(ies)

Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) David Margolese

Execution Date
Month Day Year
06/28/2000

Name (line 2)

Name (line 1) Wayne Lukens, Jr.

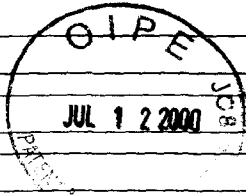
Execution Date
Month Day Year
06/29/2000

Name (line 2)

Name (line 1) Glenn Fredrickson

Execution Date
Month Day Year
05/24/2000

Name (line 2)



Receiving Party

Mark if additional names of receiving parties attached

Name (line 1)

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3) City State/Country Zip Code

Name (line 1)

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Address (line 1)

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Address (line 3) City State/Country Zip Code

Application Number(s) or Patent Number(s)

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Patent Application Number(s)

Patent Number(s)

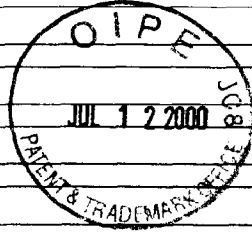
RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

Conveying Party(ies)

Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1)	Patrick Schmidt-Winkel	Execution Date Month Day Year 05/24/2000
Name (line 2)		
Name (line 1)		Execution Date Month Day Year
Name (line 2)		
Name (line 1)		Execution Date Month Day Year
Name (line 2)		



Receiving Party

Mark if additional names of receiving parties attached

Name (line 1)		<input type="checkbox"/> If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)	
Name (line 2)			
Address (line 1)			
Address (line 2)			
Address (line 3)	City	State/Country	Zip Code
Name (line 1)		<input type="checkbox"/> If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)	
Name (line 2)			
Address (line 1)			
Address (line 2)			
Address (line 3)	City	State/Country	Zip Code

Application Number(s) or Patent Number(s)

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

ASSIGNMENT

U.C. Case No. 98-089-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- | | |
|---------------------------------|-----------------------------------|
| 1. <u>Galen D. Stucky</u> | 2. <u>Bradley F. Chmelka</u> |
| 3. <u>Dongyuan Zhao</u> | 4. <u>Nick Melosh</u> |
| 5. <u>Qisheng Huo</u> | 6. <u>Jianglin Feng</u> |
| 7. <u>Peidong Yang</u> | 8. <u>David Pine</u> |
| 9. <u>David Margolese</u> | 10. <u>Wayne Lukens, Jr.</u> |
| 11. <u>Glenn H. Fredrickson</u> | 12. <u>Patrick Schmidt-Winkel</u> |

hereby sells, assigns and transfers to ASSIGNEE, the Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin St., 5th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled: **BLOCK POLYMER PROCESSING FOR MESOSTRUCTURED INORGANIC OXIDE MATERIALS**

- (a) U.S. provisional filed herewith and listing the above named inventors
(b) U.S. patent application filed herewith and listing the above named inventors

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and document relating to said invention and said Letters patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California. executed this

19 day of May, 2000

____ day of _____, 2000

____ day of _____, 2000

____ day of _____, 2000

____ day of _____, 2000

____ day of _____, 2000

____ day of _____, 2000

____ day of _____, 2000

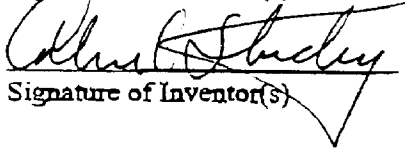
____ day of _____, 2000

____ day of _____, 2000

24 day of MAY, 2000

24 day of MAY, 2000

Signature of Inventor(s)

1 
Signature of Inventor(s)

BFC 2 _____
Signature of Inventor(s)

DE 3 _____
Signature of Inventor(s)

NH 4 _____
Signature of Inventor(s)

QHL 5 _____
Signature of Inventor(s)

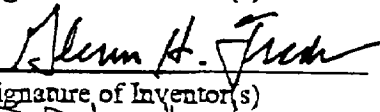
JFG _____
Signature of Inventor(s)

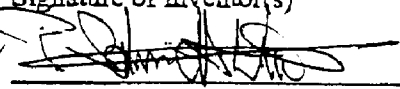
Py 7 _____
Signature of Inventor(s)

DP 8 _____
Signature of Inventor(s)

DM 9 _____
Signature of Inventor(s)

WL 10 _____
Signature of Inventor(s)

GHF 11 
Signature of Inventor(s)

PSW 12 
Signature of Inventor(s)

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California. executed this

19 day of June, 2000

1 day of June, 2000

___ day of _____, 2000

5 day of June, 2000

___ day of _____, 2000

___ day of _____, 2000

___ day of _____, 2000

___ day of _____, 2000

___ day of _____, 2000

___ day of _____, 2000

24 day of MAY, 2000

24 day of MAY, 2000

Signature of Inventor(s)

1 [Signature]

Signature of Inventor(s)

BFC 2

Signature of Inventor(s)

DE 3

Signature of Inventor(s)

NH 4

Signature of Inventor(s)

BH 5

Signature of Inventor(s)

JF 6

Signature of Inventor(s)

PH 7

Signature of Inventor(s)

DP 8

Signature of Inventor(s)

DM 9

Signature of Inventor(s)

WL 10

Signature of Inventor(s)

GHF 11

Signature of Inventor(s)

PSW 12

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California. executed this

19 day of May, 2000

____ day of _____, 2000

26 day of May, 2000

____ day of _____, 2000

____ day of _____, 2000

____ day of _____, 2000

____ day of _____, 2000

____ day of _____, 2000

____ day of _____, 2000

____ day of _____, 2000

____ day of _____, 2000

____ day of _____, 2000

Signature of Inventor(s)

1 Albert S. Strickley
Signature of Inventor(s)

BFC 2 _____
Signature of Inventor(s)

DB 3 Dorothy Ann Johnson
Signature of Inventor(s)

NM 4 _____
Signature of Inventor(s)

QW 5 _____
Signature of Inventor(s)

IF 6 _____
Signature of Inventor(s)

PH 7 _____
Signature of Inventor(s)

DP 8 _____
Signature of Inventor(s)

DM 9 _____
Signature of Inventor(s)

WL 10 _____
Signature of Inventor(s)

GHF 11 _____
Signature of Inventor(s)

PSW 12 _____

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California, executed this

____ day of _____, 2000

Signature of Inventor(s)

Signature of Inventor(s)

____ day of _____, 2000

Signature of Inventor(s)

____ day of _____, 2000

Signature of Inventor(s)

____ day of _____, 2000

Signature of Inventor(s)

29 day of June, 2000

Richard Adams

Signature of Inventor(s)

____ day of _____, 2000

Signature of Inventor(s)

____ day of _____, 2000

Signature of Inventor(s)

____ day of _____, 2000

Signature of Inventor(s)

____ day of _____, 2000

Signature of Inventor(s)

____ day of _____, 2000

Signature of Inventor(s)

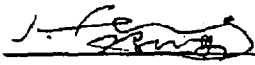
____ day of _____, 2000

Signature of Inventor(s)

____ day of _____, 2000

ID :

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California, executed this

_____ day of _____, 2000	Signature of Inventor(s) _____
_____ day of _____, 2000	Signature of Inventor(s) _____
_____ day of _____, 2000	Signature of Inventor(s) _____
_____ day of _____, 2000	Signature of Inventor(s) _____
_____ day of _____, 2000	Signature of Inventor(s) _____
<u>28</u> day of <u>June</u> , 2000	Signature of Inventor(s) 
_____ day of _____, 2000	Signature of Inventor(s) _____
_____ day of _____, 2000	Signature of Inventor(s) _____
_____ day of _____, 2000	Signature of Inventor(s) _____
_____ day of _____, 2000	Signature of Inventor(s) _____
_____ day of _____, 2000	Signature of Inventor(s) _____
_____ day of _____, 2000	Signature of Inventor(s) _____
_____ day of _____, 2000	Signature of Inventor(s) _____

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California. executed this

19 day of May, 2000

Signature of Inventor(s)

Albert S. Frutkin

Signature of Inventor(s)

1 day of June, 2000

BFC 2

Thomas C. ...

Signature of Inventor(s)

___ day of _____, 2000

DE 3

Signature of Inventor(s)

Signature of Inventor(s)

5 day of June, 2000

NH 4

W. ...

Signature of Inventor(s)

___ day of _____, 2000

QH 5

Signature of Inventor(s)

Signature of Inventor(s)

___ day of _____, 2000

IF 6

Signature of Inventor(s)

Signature of Inventor(s)

___ day of _____, 2000

PH 7

Signature of Inventor(s)

Signature of Inventor(s)

13 day of JUNE, 2000

DP 8

[Signature]

Signature of Inventor(s)

___ day of _____, 2000

DM 9

Signature of Inventor(s)

Signature of Inventor(s)

___ day of _____, 2000

WL 10

Signature of Inventor(s)

Signature of Inventor(s)

24 day of MAY, 2000

GHF 11

Alvin H. Frutkin

Signature of Inventor(s)

___ day of _____, 2000

PSW 12

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California. executed this

Signature of Inventor(s)

____ day of _____, 2000

Signature of Inventor(s)

____ day of _____, 2000

Signature of Inventor(s)

____ day of _____, 2000

Signature of Inventor(s)

____ day of _____, 2000

Signature of Inventor(s)

____ day of _____, 2000

Signature of Inventor(s)

____ day of _____, 2000

Signature of Inventor(s)

28 day of June, 2000



Signature of Inventor(s)

____ day of _____, 2000

Signature of Inventor(s)

____ day of _____, 2000

Signature of Inventor(s)

____ day of _____, 2000

Signature of Inventor(s)

____ day of _____, 2000

Signature of Inventor(s)

____ day of _____, 2000

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California, executed this

____ day of _____, 2000	Signature of Inventor(s) _____
____ day of _____, 2000	Signature of Inventor(s) _____
____ day of _____, 2000	Signature of Inventor(s) _____
____ day of _____, 2000	Signature of Inventor(s) _____
____ day of _____, 2000	Signature of Inventor(s) _____
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____ day of _____, 2000	Signature of Inventor(s) _____
____ day of _____, 2000	Signature of Inventor(s) _____
____ day of _____, 2000	Signature of Inventor(s) _____
<u>29</u> day of <u>June</u> , 2000	Signature of Inventor(s) <u>W. H. K.</u>
____ day of _____, 2000	Signature of Inventor(s) _____
____ day of _____, 2000	Signature of Inventor(s) _____

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California. executed this

28 day of June, 2000

Signature of Inventor(s)

Dave J. Margolis

Signature of Inventor(s)

____ day of _____, 2000

Signature of Inventor(s)

____ day of _____, 2000

Signature of Inventor(s)

____ day of _____, 2000

Signature of Inventor(s)

____ day of _____, 2000

Signature of Inventor(s)

____ day of _____, 2000

Signature of Inventor(s)

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Signature of Inventor(s)

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Signature of Inventor(s)

____ day of _____, 2000

Signature of Inventor(s)

____ day of _____, 2000
