

RECORDATION FORM COVER SHEET

PATENTS ONLY

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Attorney Docket No. 450101-02654

Applicant(s) : ASANO, et al.

For : DATA TRANSMITTING SYSTEM AND METHOD,
DRIVE UNIT, ACCESS METHOD, DATA RECORDING
MEDIUM, RECORDING MEDIUM PRODUCING
APPARATUS AND METHOD

Corresponding Int'l Appln. : PCT/JP00/05543

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International Filing Date : 18 August 2000

Commissioner of Patents and Trademarks
Washington, D.C. 20231

1. Names of Conveying Parties : Tomoyuki ASANO, Yoshitomo OSAWA

2. Names and addresses of receiving parties : Sony Corporation
7-35 Kitashinagawa 6-Chome,
Shinagawa-Ku, Tokyo 141-0001, Japan

3. Nature of Conveyance : Assignment.
Execution Dates : March 27, 2001.

4. ☐ Application No.: **09807824**
☒ This document is being filed together with a new application, the execution date of the
application is: March 27, 2001.
5. Name and address of party to whom the recorded assignment and any correspondence concerning
the document should be mailed:

William S. Frommer, Registration No. 25,506
FROMMER LAWRENCE & HAUG LLP
745 Fifth Avenue
New York, New York 10151.

6. Total number of applications and patents involved: 1.

7. Total Fee (37 CFR 3.41): \$40.00.
☒ Fee enclosed, Check No. 15177.

8. ☐ Charge Fee Deposit Account No. 50-0320 (Attach duplicate copy of this page if paying by deposit account).
☒ If any additional fee is required, authorization is hereby given to charge
Deposit Account No. 50-0320.

9. Statement and Signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached
copy is a true copy of the original document.

William S. Frommer, Esq.
Name of Person Signing

William S. Frommer
Signature

April 18, 2001
Date

04/23/2001 LEDWIDE 00000144 00007824

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PATENT DDS0074.DOC

REEL: 011814 FRAME: 0510

ASSIGNMENT

WHEREAS, I, as below named inventors, residing at the addresses stated next to our names, am a sole inventor (if only one name is listed below) or a joint inventor (if plural names are listed below) of certain new and useful improvements in

DATA TRANSMITTING SYSTEM AND METHOD, DRIVE UNIT, ACCESS METHOD, DATA RECORDING MEDIUM, RECORDING MEDIUM PRODUCING APPARATUS AND METHOD

for which application for Letters Patent of the United States of America was executed by me on the date indicated next to my name and address;

AND WHEREAS, SONY CORPORATION, a Japanese corporation, with offices at 7-35, Kitashinagawa 6-chome, Shinagawa-ku, Tokyo 141-0001 Japan (hereinafter referred to as ASSIGNEE) is desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, as a sole or joint inventor as indicated below, by these presents do hereby assign, sell and transfer unto the said ASSIGNEE, its successors, assigns, and legal representatives, the entire right, title and interest in the said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue the said United States Letters Patent to said ASSIGNEE, as the assignee of the whole right, title and interest thereto;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEE or its designee, as ASSIGNEE or its successors, assigns and legal representatives may from time-to-time present to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters patent which may be granted for my aforesaid invention, as the ASSIGNEE thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

And I hereby authorize and request my attorney(s) of record in this application to insert the serial number and filing date of this application in the spaces that follow: International Serial Number: PCT/JP00/05543, International Filing Date: 18 August 2000.

This assignment executed on the dates indicated below.

Tomoyuki ASANO

Name of first or sole inventor Execution date of U.S. Patent Application

Kanagawa, Japan

Residence of first or sole inventor

Tomoyuki Asano
Signature of first or sole inventor

March 27, 2001
Date of this assignment

Yoshitomo OSAWA

Name of second inventor Execution date of U.S. Patent Application

Kanagawa, Japan

Residence of second inventor

Yoshitomo Osaawa
Signature of second inventor

March 27, 2001
Date of this assignment

PATENT of 1

RECORDED: 04/18/2001

REEL: 011814 FRAME: 0511