

05-23-2001

Docket No.: 010028

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
Copyright 1996-97 LegalStar
P08A/REV02



ET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101730559

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): MRY 5-16-01
Ram B. Gupta
Pratibhash Chattopadhyay

2. Name and address of receiving party(ies):

Name: Auburn University

Address: _____

109/858301
05/16/01

Additional names(s) of conveying party(ies) Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other _____

City: Auburn University State/Prov.: AL

Country: USA ZIP: 36849-5127

Execution Date: May 9, 2001

Additional name(s) & address(es) Yes No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: May 14, 2001

Patent Application No. Filing date

B. Patent No.(s)

09858301

Additional numbers Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Paul A. Beck

Registration No. 22,289

Address: Paul A. Beck & Associates

Suite 100

1575 McFarland Road

City: Pittsburgh State/Prov.: PA

Country: USA ZIP: 15216-1808

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ 40.00

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

Authorized to be charged to deposit account

8. Deposit account number: _____

45/22/2001 BSYANE 00000224 05A5A201

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul A. Beck

Name of Person Signing

Signature

5/16/01

Date

Total number of pages including cover sheet, attachments, and

4

ASSIGNMENT

Whereas, We, Ram B. Gupta and Pratibhash Chattopadhyay, residing at 161 Prathers Lake Dr., Auburn, AL 36830; and 257 South Gay Street, Apt # 224 B, Auburn, AL 36830 respectively, have invented certain new and useful improvements in a METHOD OF FORMING NANOPARTICLES AND MIRCROPARTICLES OF CONTROLLABLE SIZE USING SUPERCRITICAL FLUIDS AND ULTRA SOUND for which we have this day executed an application for United States Letters Patent;

And Whereas Auburn University, located at Auburn University, Alabama, 36849-5127 hereinafter called the "ASSIGNEE", is desirous of acquiring the entire right, title, and interest in and to said application and the inventions and improvements therein disclosed;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration paid to us by said ASSIGNEE, receipt whereof we hereby acknowledge, we do hereby assign, sell, transfer, and set over unto said ASSIGNEE the entire right, title, and interest in and to said application and the inventions and improvements therein disclosed for the United States and all foreign countries and any Letters Patent which may issue therefor in the United States and all foreign countries and all divisions, reissues, continuations, renewals, and/or extensions thereof including all priority rights under the International Convention associated therewith for each country and the Union, said ASSIGNEE to have and to hold the interests herein assigned to the full ends of the terms of said Letters Patent and any and all divisions, reissues, continuations, renewals, and/or extensions thereof, respectively, as fully and entirely as the same would have been held and enjoyed by us had this assignment not been made.

The Commissioner of Patents and Trademarks is requested to issue such Letters Patent in accordance herewith. We covenant that we are the lawful owners of the said application, inventions, and improvements, that the same are unencumbered, that no license has been granted to make, use or vend the said inventions or improvements or any of them, and that we have the full right to make this assignment.

And for the consideration aforesaid, we agree jointly and individually that we will communicate to said assignee or the representatives thereof any facts known to us respecting said inventions and improvements, and will, upon request, but without expense to us, testify in any legal proceedings, sign all lawful papers, execute all divisional, reissue, continuation, renewal, and/or extension applications, make all rightful oaths, and generally do all other and further lawful acts, deemed necessary or expedient by said ASSIGNEE or by counsel for said ASSIGNEE, to assist or enable said ASSIGNEE to obtain and enforce full benefits from the rights and interests herein assigned. This

PATENT

REEL: 011815 FRAME: 0880

assignment shall be binding upon our heirs, executors, administrators, and/or assigns, and shall inure to the benefits of the heirs, executors, administrators, successors, and/or assigns, as the case may be, of said ASSIGNEE.

By: BBG Gupta
Ram B. Gupta

Date: 05/09/01

State of Alabama

County of Lee

Before me, a Notary Public in and for the said County and State, personally appeared Ram. B. Gupta, who acknowledged that he/she is the person who executed the foregoing Assignment and acknowledged it to be his/her free and voluntary act.

Witness my hand and notarial seal this 9th day of May, 2001.

(Notarial Seal)

Debra A. Enebak
Notary Public
Debra A. Enebak

NOTARY PUBLIC STATE OF ALABAMA AT LARGE
MY COMMISSION EXPIRES: Apr 5, 2008
BONDED THRU NOTARY PUBLIC UNDERWRITERS

By: Pratibhash Chattopadhyay
Pratibhash Chattopadhyay CHATTOPADHYAY

Date: 05/09/01

State of Alabama

County of Lee

Before me, a Notary Public in and for the said County and State, personally appeared Pratibhash Chattopadhyay, who acknowledged that he/she is the person who executed the foregoing Assignment and acknowledged it to be his/her free and voluntary act.

Witness my hand and notarial seal this 9th day of May, 2001.

(Notarial Seal)

Debra A. Enebak
Notary Public
2 Debra A. Enebak

NOTARY PUBLIC STATE OF ALABAMA AT LARGE
MY COMMISSION EXPIRES: Apr 5, 2008
BONDED THRU NOTARY PUBLIC UNDERWRITERS