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REC



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To the Commissioner for Patents. Please record the attached original documents or copies thereof.

1. Name of Conveying Party(ies):
**Heinz HORNUNG
 PHILLIPP Achim**

Additional names of conveying parties attached.

2. Name and Address of Receiving Party(ies):
 Name: **Giesecke & Devrient GmbH**
 Internal Address:
 Street Address: **Prinzregentenstr. 159**
 City, State, Zip: **D-81677 München, Germany**

Additional name(s) and address(es) attached.

MRD 4-27-01

3. Nature of Conveyance:
 Assignment Merger
 Security Agreement Change of Name
 Other:

Execution Date: **9 April 2001**

4. (A) Patent Application Number(s):
09/786,195

09786195

If this document is being filed together with a new application, the execution date of the application is:

Additional Numbers Attached

4. (B) Patent Number(s):

5. Name and Address of Party to whom Correspondence Concerning this Document Should be Mailed:
 Name: **J. Ernest Kenney**
 Address: **Bacon & Thomas, PLLC
 625 Slaters Lane Fourth Floor
 Alexandria, VA 22314**

Total Number of Applications and Patents Involved: **1**

7. Total Fee: (37 CFR 3.41) **\$40.00**

Enclosed
 Authorized to be charged to deposit account

8. Deposit Account Number: **02-0200**
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9. Statement and Signature:
To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

J. Ernest Kenney April 27, 2001
 Name of Person Signing Signature Date

Total number of pages including cover sheet, attachments and document: **3**

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ASSIGNMENT

WHEREAS, I (we), **Heinz HORNUNG and Achim PHILIPP**

whose post office address(es) appear(s) below, hereinafter referred to as ASSIGNOR, is(are) the owner of certain new and useful improvements in **METHOD AND DEVICE FOR CONTROLLING PAPER DOCUMENTS OF VALUE**

(hereinafter referred to as the INVENTION) for which an application for United States Letters Patent was

- executed on even date herewith
- executed on:
- filed on: **02 March 2001** Serial No.: **09/786,195**
- established by PCT International Patent Application No.: **PCT/EP99/06027** filed: **17 August 1999**
designating the United States of America

WHEREAS, **Giesecke & Devrient GmbH**

whose post office address is **Prinzregentenstr. 159, D-81677 München, Germany** hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to the same in the United States;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, I (we), ASSIGNOR, by these presents do sell, assign and transfer unto said ASSIGNEE, the entire right, title, and interest in and to said INVENTION and application throughout the United States of America, including any and all Letters Patent granted on any division, continuation, continuation-in-part and reissue of said application.

ALSO, ASSIGNOR hereby agrees to execute any documents that legally may be required in connection with the filing, prosecution and maintenance of said application or any other patent application(s) in the United States for said INVENTION, including additional documents that may be required to affirm the rights of ASSIGNEE in and to said INVENTION, all without further consideration. ASSIGNOR also agrees, without further consideration and at ASSIGNEE'S expense, to identify and communicate to ASSIGNEE at ASSIGNEE'S request documents and information concerning the INVENTION that are within ASSIGNOR'S possession or control, and to provide further assurances and testimony on behalf of ASSIGNEE that lawfully may be required of ASSIGNOR in respect of the prosecution, maintenance and defense of any patent application or patent encompassed within the terms of this instrument. ASSIGNOR'S obligations under this instrument shall extend to ASSIGNOR'S heirs, executors, administrators and other legal representatives.

ALSO, ASSIGNOR hereby authorizes and requests the Commissioner for Patents to issue any and all Letters Patent referred to above to ASSIGNEE, as the ASSIGNEE of the entire right, title and interest in and to the same, for ASSIGNEE'S sole use and behoof; and for the use and behoof of ASSIGNEE'S legal representatives and successors, to the full end of the term for which such Letters Patent may be granted, as fully and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

ASSIGNOR authorizes any member of the firm of **Bacon & Thomas, PLLC** to insert or complete any information in this document needed to effect its recordal in the U.S. Patent and Trademark Office.

Assignor Name Heinz HORNUNG	Address Weichselbaumer Straße 15, D-82205 Gilching, Germany
Where Signed <i>Monich</i>	Signature <i>Heinz Hornung</i>
Date <i>09 April 2001</i>	Witness (optional)
Witness (optional)	Print/Type Name
Print/Type Name	Signature
Signature	

Continued on Next Page

ASSIGNMENT

Page: 2

<i>Assignor Name</i> Achim PHILIPP	<i>Address</i> Fürstatter Strasse 17, D-83059 Kolbermoor, Germany
<i>Where Signed</i> Munich	
<i>Date</i> 09.04.01	<i>Signature</i> Achim Philipp
<i>Witness (optional)</i>	<i>Witness (optional)</i>
<i>Print/Type Name</i>	<i>Print/Type Name</i>
<i>Signature</i>	<i>Signature</i>

<i>Assignor Name</i>	<i>Address</i>
<i>Where Signed</i>	
<i>Date</i>	<i>Signature</i>
<i>Witness (optional)</i>	<i>Witness (optional)</i>
<i>Print/Type Name</i>	<i>Print/Type Name</i>
<i>Signature</i>	<i>Signature</i>