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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

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05/17/01
Lawrence A. Clevenger
Louis L. Hsu
Carl J. Radens
Joseph F. Shepard Jr.

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: International Business Machines CorporationAddress: New Orchard RoadCity: ArmonkState/Prov.: NYCountry: USAZIP: 10504

Additional name(s) & address(es)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

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If this document is being filed together with a new application, the execution date of the application is: _____

Patent Application No.

Filing date

B. Patent No.(s)

09/858485

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Frederick W. Gibb, IIIRegistration No. 37,629Address: McGinn & Gibb, PLLC8321 Old Courthouse RoadSuite 200City: ViennaState/Prov.: VACountry: USAZIP: 22182

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

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Frederick W. Gibb, III (703) 761-4100

Name of Person Signing

Signature

5/16/01

Date

3

Total number of pages including cover sheet, attachments, and

PATENT

REEL: 011818 FRAME: 0405

ASSIGNMENT

Whereas, we

Inventor

(1) Lawrence A. Clevenger
County of Dutchess

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State of New York

(2) Louis L. Hsu
County of Dutchess

City of Fishkill
State of New York

(3) Carl J. Radens
County of Dutchess

City of LaGrangeville
and State of New York

(4) Joseph F. Shepard Jr.
County of Dutchess

City of Fishkill
and State of New York

have invented certain improvements in

Title

**HIGH DIELECTRIC CONSTANT MATERIALS FORMING COMPONENTS
OF DRAM STORAGE CELLS**

Dates That Inventors and have executed, respectively, a United States patent application therefor on

Signed the	(1) 5/10/01, 2001	(2) 5/10/01, 2001
Declaration	(3) 5/10/01, 2001	(4) 5/10/01, 2001

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York, 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

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