

05-24-2001



FORM PTO-1619A

101724396

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- 05/18/01*
- ☒ New
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- Reel # _____ Frame # _____
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- Reel # _____ Frame # _____

Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other _____

Conveying Party(ies)

Name (line 1) SHEN; Thomas Y.S.

- ☐ Mark if additional names of conveying parties attached
- Execution Date
May 9, 2001

Second Party

Name (line 1) CHEN; Wen-Chang

Execution Date
May 9, 2001**Third Party**

Name (line 1) LIN; Hong-Ming

Execution Date
May 8, 2001**Fourth Party**

Name (line 1) CHUANG; Jen-Hung

Execution Date
May 8, 2001**Receiving Party**

Name (line 1) APEX BIOTECHNOLOGY CORPORATION

- ☐ Mark if additional names of receiving parties attached

Address (line 1) 2F, No. 1, Innovation Road II

Address (line 2) Hsinchu Science Based Industrial Park

Address (line 3) Hsinchu, Taiwan, R.O.C.

- ☐ If document to be recorded
is an assignment and the
receiving party is not
domiciled in the U.S.,
an appointment of domestic
representative is attached.

Domestic Representative Name and Address

Enter for the First Receiving Party only.

Name: G. Franklin Rothwell

Address (line 1): Rothwell, Figg, Ernst & Manbeck

Address (line 2): 555 13th St., N.W.

Address (line 3): Suite 701-East

Address (line 4): Washington, D.C. 20004

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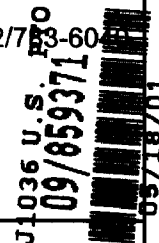
1036 U.S. PTO
09/05/01
05/18/01

PATENT
REEL: 011818 FRAME: 0442

Correspondent Name and Address

Name: G. Franklin Rothwell
Address (line 1): Rothwell, Figg, Ernst & Manbeck
Address (line 2): 555 13th St., N.W.
Address (line 3): Suite 701-East
Address (line 4): Washington, D.C. 20004

Area Code and Telephone Number: 202/783-6081

**Pages**

Enter the total number of pages of the attached conveyance document
including any attachments

2

Application Number(s) or Patent Number(s)

[] Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property)

Patent Application Number(s):

Patent Number(s):

09/859371

If this document is being filed together with a new Patent Application, enter the date of the patent
application was signed by the first named executing inventor.

May 9, 2001

Patent Cooperation Treaty (PCT)

Enter PCT application Number
only if a U.S. Application Number
has not been assigned

Number of Properties

Enter the total number of properties involved. # 1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment: ☒ Enclosed ☐ Deposit Account ☐ Credit Card

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: 02-2135

Authorization to charge additional fees: Yes ☒ No ☐**Statement and Signature**

**To the best of my knowledge and belief, the foregoing information is true and correct and any
attached copy is a true copy of the original document. Charges to deposit account are authorized,
as indicated herein.**

G. Franklin Rothwell

Name of Person Signing

Registration No. 18,125

Docket No. 2498-104

Signature

G. F. Rothwell

Date

5.18.01

Agency Docket Number: _____

Rothwell, Figg, Ernst & Kurz, p.c.

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, I/we Thomas Y.S. Shen, a citizen of Taiwan, R.O.C.,
First Named Inventor
 residing at 2F, NO.1, Innovation Road II, Hsinchu Science Based Industrial Park,
Hsinchu, Taiwan, R.O.C.
Wen-Chang Chen, a citizen of Taiwan, R.O.C.,
Second named inventor, if any
 residing at 7F, No. 74, Chin-Hua Street, Chin-Tai Li, Ta-An, Taipei, Taiwan, R.O.C.
Hong-Ming Lin, a citizen of Taiwan, R.O.C.,
Third Named Inventor, if any
 residing at 2F, No. 7, Lane 82, Chao-Chou Street, Chin-Hua Li, Ta-An, Taipei, Taiwan,
R.O.C.
Jen-Hung Chuang, a citizen of Taiwan, R.O.C.,
Fourth Named Inventor, if any
 residing at No. 920, Chang-Sheng Road, Tieh-Shan Tsun, Wai-Pu Hsiang, Taichung Hsie
Taiwan, R.O.C.
Fifth Named Inventor, if any
 residing at _____
Sixth Named Inventor, if any
 residing at _____
Seventh Named Inventor, if any
 residing at _____
Eighth Named Inventor, if any
 residing at _____

ASSIGNOR(S), am/are the inventor(s) of an invention in (insert title) TEST STRIP AND BIOSENSOR INCORPORATING
WITH NANOMETER METAL PARTICLES
 for which I/we have executed an application for Letters Patent of the United States,

[X] of even date herewith;

(Check One)

[] U.S. Serial Number _____ filed _____;
 [] International Application No. PCT/ _____ filed _____;

and

WHEREAS, Apex Biotechnology Corporation, Taiwan, R.O.C., 2F, No. 1,
Innovation Road II, Hsinchu Science Based Industrial Park, Hsinchu, Taiwan, R.O.C.
 ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me/us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, I/we, the said ASSIGNOR(S) have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reexamination certificates, reissues and extensions thereof, and I/we hereby authorize and request the Commissioner of Patents of the United States, to issue all Letters Patent for said invention to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND I/WE HEREBY covenant that I/we have the full right to convey the entire interest herein assigned, and that I/we have not executed, and will not execute, any agreement in conflict herewith.

AND I/WE HEREBY further covenant and agree that I/we will communicate to said ASSIGNEE, its successors, legal representatives and assigns, any facts known to me/us respecting said invention, and testify in any legal proceeding, sign all legal papers,

PATENT

REEL: 011818 FRAME: 0444

execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention at the expense of the ASSIGNEE.

IN TESTIMONY WHEREOF, I/we hereunto set my/our hand(s) and seal(s) the day and year set opposite my/our signature(s).

Thomas Y.S. Shen	✓	<u>[Signature]</u>	L.S.	Date	✓	<u>May 9 2001</u>	—
Wen-Chang Chen	✓	<u>W.C. Chen</u>	L.S.	Date	✓	<u>May 9, 2001</u>	—
Hong-Ming Lin	✓	<u>[Signature]</u>	L.S.	Date	✓	<u>May 8, 2001</u>	—
Jen-Hung Chuang	✓	<u>J.H. Chuang</u>	L.S.	Date	✓	<u>May 8, 2001</u>	—
			L.S.	Date			—
			L.S.	Date			—
			L.S.	Date			—
			L.S.	Date			—

Witness:

Name

Date

Name

Date