

05-24-2001



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S-18-01

Practitioner's Docket No. ALL 20003

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Box Assignments  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231**

NOTE: "Documents and cover sheets to be recorded should be addressed to Assistant Commissioner for Patents, Box Assignments, Washington, D.C. 20231, unless they are filed together with new applications or with a petition under § 3.81(b)." 37 C.F.R. § 3.27.

**CORRECTIVE ASSIGNMENT (DOCUMENT) COVER SHEET  
(37 C.F.R. § 3.31) M.P.E.P., § 323, 7th Edition**

NOTE: "A cover sheet may not refer to both patents and trademarks." 37 C.F.R. § 3.31(b).

Attached please find a corrective assignment (document) for recordal. The reel and frame number where the incorrectly recorded assignment document appears is as follows:

Reel 011036 Frame 0724

The original cover sheet is submitted herewith.

The original assignment document is also submitted herewith with the corrections made thereon which are each initialed and dated by the party conveying the interest.

29 127 462

**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***  
(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**

deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231

**37 C.F.R. § 1.8(a)**

**37 C.F.R. § 1.10\***

with sufficient postage as first class mail.

as "Express Mail Post Office to Addressee"

Mailing Label No. \_\_\_\_\_ (mandatory)

**TRANSMISSION**

transmitted by facsimile to the Patent and Trademark Office, (703) \_\_\_\_\_

Doreen Straw

Signature

Doreen Straw

(type or print name of person certifying)

Date: May 15, 2001

**\*WARNING:** Each paper or fee filed by Express Mail **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. § 1.10(b).  
"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

**IDENTIFICATION OF APPLICATION(S) AND/OR PATENT(S)  
FOR ASSIGNMENT (DOCUMENT) RECORDAL**

(37 C.F.R. § 3.21 and 37 C.F.R. § 3.31(a)(4))

NOTE: See 37 C.F.R. § 3.21.

NOTE: § 3.21 does not apply to documents other than assignment. Notice of June 24, 1992 (1140 O.G. 63-72 at 67).

1. This assignment is for the following patent application and/or issued patent:

National application: AN: 0 29/ 127,462 filed on August 7, 2000

Provisional application: / filed on

International application: PCT/ /

Patent No: D441,838 Issued: May 8, 2001

(complete if applicable) which was previously assigned and recorded

Date August 7, 2000

Reel 011036

Frame 0724

NOTE: If an assignment of a patent application filed under § 1.53(b) is executed concurrently with, or subsequent to, the execution of the patent application, but before the patent application is filed, it must identify the patent application by its date of execution, name of each inventor, and title of the invention so that there can be no mistake as to the patent application intended. If an assignment of a provisional application under § 1.53(c) is executed before the provisional application is filed, it must identify the provisional application by name of each inventor and title of the invention so that there can be no mistake as to the provisional application intended. 37 C.F.R. § 3.21.

(also complete the following, if applicable)

and also for the applications and/or patents shown on the attached list of FURTHER APPLICATION(S) and/or PATENT(S) BEING ASSIGNED

Number of pages added \_\_\_\_\_

NOTE: "Where there is a listing of properties contained within a document, any listing may be copied and attached to the cover sheet to reduce the amount of typing necessary. A notation of this attachment can be made in lieu of entering every property identification number on the cover sheet. Notice of June 24, 1992 (1140 O.G. 63-72 at 67).

**TOTAL NUMBER OF APPLICATIONS AND/OR PATENTS  
AND TOTAL FEE**

NOTE: All requests to record documents must be accompanied by the appropriate fee; a fee is required for each application or patent against which the document is recorded as identified in the cover sheet. The recording fee is set in § 1.21(h). 37 C.F.R. § 3.41.

2. A. The total number of applications and/or patents identified in this cover sheet is

1

B. The total fee is (37 C.F.R. § 1.21(h)):

1 × \$40.00 = \$ 40.00  
Total number of applications and/or patents

C. Payment of fee is made by:

- Attached is a  check  money order in the amount of \$ \_\_\_\_\_
- Authorization is hereby made to charge the amount of \$ 40.00
- to Deposit Account No. 06-0308 if required
- to Credit card as shown on the attached credit card information authorization form PTO-2038.

**WARNING:** Credit card information should *not* be included on this form as it may become public.

- Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

Please charge Account 06-0308 for any fee deficiency or credit to account any overpayment.

**NAME OF PARTY(IES) CONVEYING INTEREST**

(37 C.F.R. § 3.31(a)(1))

*NOTE: "The term 'party' as used in 37 C.F.R. § 3.31 means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the documents." Notice of June 24, 1992 (1140 O.G. 63-72, at 65); M.P.E.P. § 302.07, 7th ed.*

3. The party(ies) conveying interest is (are):

- Name 1: Steven M. Haas, 190 Delaware Trail, Copley, Ohio 44321
- Name 2:
- Name 3:

**NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST**

(37 C.F.R. § 3.31(a)(2))

4. The rights are being conveyed to:

Name: Allen Company, Division of LAG, Inc.  
 Address: 14077 Cedar Road  
Cleveland, Ohio 44118

**DESCRIPTION OF INTEREST CONVEYED OR  
TRANSACTION RECORDED** (37 C.F.R. § 3.31(a)(3))

5. The accompanying document intends to accomplish:

- an assignment.
- a security agreement.
- a merger.
- a license.
- a change of name.
- a change of address.
- other: Correct Name of Assignee

**NAME AND ADDRESS OF PARTY TO WHOM  
CORRESPONDENCE SHOULD BE MAILED** (37 C.F.R. § 3.31(a)(5))

6. Please address correspondence to:

Name: Fay, Sharpe, Fagan, Minnich & McKee, LLP  
Address: 1100 Superior Avenue, 7th Floor  
Cleveland, Ohio 44114-2518  
Telephone No.: ( 216 )861-5582

**DATE ORIGINAL ASSIGNMENT (DOCUMENT) EXECUTED**  
(37 C.F.R. § 3.31(a)(7))

7. A.  The original attached assignment (document) was executed by  
Steven M. Haas on August 7, 2000 (Date)

NOTE: If there is more than one conveying party who executed on different dates, indicate the date of execution of the assignment document for each conveying party.

- B.  The original attached assignment was executed by \_\_\_\_\_  
on \_\_\_\_\_ (Date)
- The original attached assignment was executed by \_\_\_\_\_  
on \_\_\_\_\_ (Date)

Corrective Assignment (Document) Cover Sheet (37 C.F.R. § 3.31) [16-19]—page 4 of 6)

**DATE CORRECTED ASSIGNMENT (DOCUMENT) EXECUTED**  
(37 C.F.R. § 3.31(a)(7))

8. A.  The attached corrected assignment (document) was executed by  
Steven M. Haas on May 14, 2001 (Date)

NOTE: If there is more than one conveying party who executed on different dates, indicate the date of execution of the assignment document for each conveying party.

B.  The attached corrected assignment was executed by \_\_\_\_\_  
on \_\_\_\_\_. (Date)

The attached corrected assignment was executed by \_\_\_\_\_  
on \_\_\_\_\_. (Date)

**LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED**

NOTE: "The Office will accept and record non-English language documents only if accompanied by an English translation signed by the individual making the translation." 37 C.F.R. § 3.26.

9. The attached document:

is in the English language.

is not in the English language and an English translation signed by the individual making the translation is attached.

**ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED**

NOTE: See 37 C.F.R. § 3.24.

10. Submitted herewith are:

the original documents.

a true copy of the original documents, which I certify to be a true copy.

NOTE: "If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.

NOTE: "Certification shall be made by the person submitting a copy of an original document that the document submitted is a true copy of the original. The certification is not required to be in an oath or declaration form." M.P.E.P., § 302.01, 7th ed.

**ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF ADDRESS**

(check item, if applicable)

11.  Because the purpose of the attached documents is to record a change of address of the assignee, the particulars of the previously recorded assignments for each application and/or patent are shown.

**ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF NAME**

(check item, if applicable)

- 12.  Because the purpose of the attached documents is to record a change of name of the assignee, the particulars of the previously recorded assignments for each application and/or patent are shown.

**CHANGE OF PATENT MAINTENANCE FEE ADDRESS**

(check item, if applicable)

- 13.  A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent to the Office separately.

**STATEMENT (37 C.F.R. § 3.31(a)(9)) AND SIGNATURE (37 C.F.R. § 3.31(a)(10))**

- 14. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

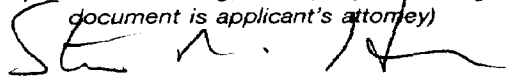
NOTE: "The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the document." Notice of June 24, 1992, 1140 O.G. 63-76, at 65; M.P.E.P. § 302.07, 6th ed.

Date: May 14, 2001

\_\_\_\_\_  
Name of party submitting document

\_\_\_\_\_  
Signature of party submitting document

(complete the following, if the party submitting the document is applicant's attorney)



\_\_\_\_\_  
SIGNATURE OF PRACTITIONER

Reg. No.: 37,841

Steven M. Haas

(type or print name of practitioner)

Tel. No.: ( 216 ) 861-5582

Fay, Sharpe, Fagan, Minnich & McKee, LLP

1100 Superior Avenue, 7th Floor

P.O. Address

Customer No.:

Cleveland, Ohio 44114-2518

**TOTAL NUMBER OF PAGES BEING SUBMITTED**

- 15. The total number of pages being submitted, including cover sheet attachment(s), and documents are:

10

Total number of pages submitted

Corrective Assignment (Document) Cover Sheet (37 C.F.R. § 3.31) [16-19]—page 6 of 6

09-06-2000



PATENT

101450761

PATENT AND TRADEMARK OFFICE

Applicants : Steven M. Haas  
 Title : LAVATORY FAUCET  
 Serial No. : Unknown  
 Filed : Herewith  
 Attorney Docket : ALL 2 0003

JCS42 U.S. PTO  
 29/127462  
 08/07/00

*g.7.70*

ASSIGNMENT TRANSMITTAL LETTER

Assistant Commissioner  
 for Patents  
 Washington, DC 20231

JCS42 U.S. PTO  
 29/127462  
 08/07/00

Dear Sir:

Please record the attached original document or copy thereof.

1. Name of Party conveying an interest:

Steven M. Haas  
 190 Delaware Trail  
 Copley, Ohio 44321

*29127462*

2. Name and Address of Party receiving an interest:

Allen Company  
 14077 Cedar Road  
 Cleveland, Ohio 44118

CERTIFICATE OF MAILING

"Express Mail" Mailing Label Number: EL581515719US

Date of Deposit: 8/7/00

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1,10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*[Signature]*  
 Benjamin Trella

09/05/2000 NTHAI1 00000100 29127462  
 01 FC:581 40.00 DP

3. Description of the interest conveyed:

Assignment  Change of Name

Security Agreement  Merger

Other \_\_\_\_\_

Execution Date: August 7, 2000

4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is:

August 7, 2000  
Date

A. Patent Application No.(s) B. Patent No.(s)

\_\_\_\_\_

Additional sheet attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Steven M. Haas  
FAY, SHARPE, FAGAN, MINNICH & MCKEE, LLP  
1100 Superior Avenue, Seventh Floor  
Cleveland, Ohio 44114-2518

6. Number of applications and patents involved: 1

7. Amount of fee enclosed or authorized to be charged:

\$40.00

8. Deposit Account Number (attached duplicate copy of this form if paying by Deposit Account): 06-0308



DO NOT USE THIS SPACE

9. Statement and signature.
10. Total number of pages 4.

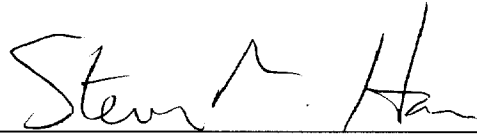
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Respectfully submitted,

FAY, SHARPE, FAGAN,  
MINNICH & MCKEE, LLP

Date:

August 7, 2000



Steven M. Haas  
Reg. No. 37,841  
1100 Superior Avenue  
Seventh Floor  
Cleveland, OH 44114-2518  
(216) 861-5582

ASSIGNMENT

Whereas, I, Steven M. Haas, a citizen of the United States of America and a resident of Copley, Ohio, whose post office address is 190 Delaware Trail, Copley, Ohio 44321, having invented a certain new, original, and ornamental design for a:

**LAVATORY FAUCET**

for which application for Design Letters Patent of the United States has been executed by me on the 7<sup>th</sup> day of August, 2000 and filed in the U.S. Patent and Trademark Office herewith, do hereby, in consideration of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, sell, assign, and transfer unto **Allen Company**, a corporation of the state of Ohio having a principal place of business at:

14077 Cedar Road  
Cleveland, Ohio 44118

*Sam H. May 14, 2001*  
**Division of LAG, Inc.**

the full and exclusive right, title, and interest in and to the said invention in the United States and its territorial possessions, and in all foreign countries with all rights under the International Convention including the right to claim priority, and the entire and exclusive right, title, and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries, and in and to any and all divisions, reissues, continuations, and extensions thereof.

I therefore authorize and request the Patent Office officials in the United States and in any and all foreign countries to issue any and all Design Patent when granted, solely to the said

**Allen Company**

for its sole use, and that of its successors, and assigns.

Signed at Cleveland, Ohio on this 7<sup>th</sup> day of August, 2000.  
Steven M. Haas  
Steven M. Haas

STATE OF OHIO )  
 ) :SS  
COUNTY OF Cuyahoga )

Personally appeared before me this day, the above named **Steven M. Haas**, to me personally known, who acknowledged that he signed the foregoing instrument for the uses and purposes therein mentioned, and that the same is his free act and deed.

In testimony whereof, I have hereunto set my hand and affixed my seal, this 7<sup>th</sup> day of August, 2000.

Mary Ann Temesvari  
Notary Public

(Seal)

**MARY ANN TEMESVARI, Notary Public**  
State of Ohio  
My Commission Expires July 23, 2001  
Recorded in Medina County