

05-25-2001

U.S. DEPARTMENT OF COMMERCE

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Patent and Trademark Office
Docket No. 220002054021

101728796

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
University of California, Los Angeles

- ☐ Individual(s) ☐ Association
☐ General Partnership ☐ Limited Partnership
☒ Corporation-State ☐ Other

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: September 9, 2000

2. Name and address of receiving party(ies):

Name: The Regents of the University of California
Internal Address: 12th Floor
Street Address: 1111 Franklin Street
City: Oakland, State: California ZIP: 94607

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): USP No. 5,693,486

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Peng Chen
Morrison & Foerster LLP
3811 Valley Centre Drive
Suite 500
San Diego, California 92130-2332

6. Total number of applications and patents involved: One

7. Total fee (37 C.F.R. § 3.41): \$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account, referencing
Attorney Docket 220002054021

8. Deposit account number: 03-1952

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.21 that may be required by this paper, or to credit any overpayment to Deposit Account No. 03-1952, ref: 220002054021

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name: Peng Chen
Registration No: 43,543

Signature

Date

Total number of pages comprising cover sheet, attachments and document: Three



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PATENT TRADEMARK OFFICE

Mail documents to be recorded with required cover sheet information to:

U.S. Patent and Trademark Office
Office of Public Records
Box Assignments
Crystal Gateway 4, Room 335
Washington, D.C. 20231

sd-39802

PATENT
REEL: 011821 FRAME: 0953

ASSIGNMENT

WHEREAS, University of California, Los Angeles, having its principal place of business at 1400 Ueberroth Bldg., 405 Hilgard Avenue, Los Angeles, CA 91364, (hereinafter "assignor"), is the sole and exclusive owner, by assignment, of the United States Patent No. 5,693,486 issued December 2, 1997, entitled "DNA SEQUENCES ENCODING PROTEGRIN ANALOGS AND THEIR USE IN RECOMBINANT METHODS OF PRODUCING PROTEGRINS", and the inventions described therein; and

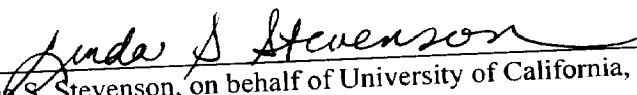
WHEREAS, The Regents of the University of California, a corporation, duly organized under and pursuant to the laws of California, and having its principal place of business at 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, (hereinafter "assignee"), is desirous of acquiring the right, title and interest in, to and under said Patent application and the inventions covered thereby:

NOW, THEREFORE, in consideration of and in exchange for the sum of One Dollar (\$1.00) to be paid by assignee and other good and valuable consideration, the receipt of which is hereby acknowledged, assignor has sold, assigned, and transferred, and does hereby sell, assign, and transfer the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all applications for Letters Patent in the United States of America and in all foreign countries and in all Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said assignees, for their own use and the use of their successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents, may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, the said assignor hereby covenants and agrees to and with the assignee its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said assignor is the sole and lawful owner of the entire right, title and interest in and to the said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that the said assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND assignor hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all Letters Patent or Patents of the United States on said inventions or resulting from said applications and any continuations, divisionals and reissues thereof to assignee as assignee of the entire interest, and hereby covenants that it has full right to convey the entire interest herein assigned, and that it has not executed, and will not execute, any agreements inconsistent herewith.

9/9/00
Date


Linda S. Stevenson, on behalf of University of California, Los Angeles - Assignor

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