A/D 581-40

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IGNATED OFFICE (DO/US)

PCT/AU00/00552

25 MAY 2000

25 MAY 1999

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

INSERTION TOOL TITLE:

John Ashton SINCLAIR

APPLICATION FOR DO/US:

Box PCT

Assistant Commissioner for Patents

Washington, D.C. 20231 ATTENTION: DO/US 03/21/01

COVER SHEET FOR ASSIGNMENT (37 C.F.R. § 1.331) FOR INTERNATIONAL APPLICATION ENTERING NATIONAL STAGE IN U.S. DESIGNATED OFFICE (DO/US) UNDER 35 U.S.C. § 371

IDENTIFICATION OF APPLICATION (37 C.F.R. § 3.21 and 37 C.F.R. § 3.31(a)(4))

- 1. The patent application to which the attached assignment (document) refers, is identified as follows:
 - a. Date of execution: March 15, 2001
 - b. Name of each inventor:

1: John Ashton SINCLAIR

2:

3:

c. Title of invention: INSERTION TOOL

NOTE:

See 37 C.F.R. § 3.21.

CERTIFICATION UNDER 37 C.F.R. § 1.10*

(Express Mail label number is mandatory.) (Express Mail certification is optional.)

I hereby certify that this "Cover Sheet for Assignment (Document) Accompanying Application Entering National Phase", along with any document referred to, is being deposited with the United States Postal Service on this date <u>March 21, 2001</u> in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number <u>EL728211785US</u> addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

IBIS CARRILLO

(type or print name of person mo

Signature of person mailing paper

09/744507

WARNING:

Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. § 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

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Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. § 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442

(Cover Sheet for Assignment Application Accompanying International Application Entering National Stage in U.S. Designated Office (DO/US) under 35 U.S.C. § 371—page 1 of 4) 13-10

PATENT

REEL: 011826 FRAME: 0335

NAME OF PARTY(IES) CONVEYING INTEREST (37 C.F.R. § 3.31(a)(1)) 2. The party(ies) conveying this interest is (are): Name 1: John Ashton SINCLAIR Name 2: _____ Name 3: _____ NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST (37 C.F.R. § 3.31(a)(2)) 3. The rights are being conveyed to: Name: PUPBEST PTY LTD Address: 18 PACIFIC HIGHWAY, CHARMHAVEN **NEW SOUTH WALES 2263 AUSTRALIA** Telephone No.: () DESCRIPTION OF INTEREST CONVEYED OR TRANSACTION RECORDED (37 C.F.R. § 3.31(a)(3)) 4. The accompanying document intends to accomplish: [X] an assignment. [] a security agreement. [] a license. [] a merger. [] a change of name. [] a change of address. [] other. NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE **SHOULD BE MAILED** (37 C.F.R. § 3.31(a)(5)) 5. Please address correspondence to: Name: William R. Evans c/o LADAS & PARRY Address: 26 WEST 61ST STREET NEW YORK, NEW YORK 10023 Telephone No.: (212) 708-1930 **DATE ASSIGNMENT (DOCUMENT) EXECUTED (37** C.F.R. § 3.31(a)(7)) A. [X] The attached assignment (document) was executed on March 15, 2001. 6. Date If there is more than one conveying party who executed on different dates, indicate the date of execution of the OR assignment document for each conveying party. (Cover Sheet for Assignment Application Accompanying International Application Entering National Stage in U.S.

PATENT REEL: 011826 FRAME: 0336

Designated Office (DO/US) under 35 U.S.C. § 371—page 2 of 4) 13-10

The attached assignment was executed by	Date on Date TO BE RECORDED if accompanied by a verified English translation attion, signed by the individual making						
GUAGE OF ASSIGNMENT (DOCUMENT) accept and record non-English language documents only adividual making the translation. 37 C.F.R. § 3.26. English language. the English language. A verified English translation is attached.	Date TO BE RECORDED if accompanied by a verified English translation ation, signed by the individual making						
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ORIGINAL DOCUMENT OR TRUE COPY	SUBMITTED						
"Either the original document or a tie copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6×33.1 cm. $(8\frac{1}{2} \times 14$ inches) with a 2.5 cm. (one inch) margin on all sides." 37 C.F.R. § 3.24 .							
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[[assignment] document is two-sided or the wrong size, th [.F.R § 3.24] by providing a tie copy of the original docum per." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.	ne practitioner can comply with the requirement nent using only one side of each page on the						
ER OF APPLICATIONS IDENTIFIED IN	THIS COVER SHEET						
AND THE FEE (37 C.F.R. § 3.31(
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(Cover Sheet for Assignment Application Accompanying International Application Entering National Stage in U.S. Designated Office (DO/US) under 35 U.S.C. § 371—page 3 of 4) 13-10

STATEMENT (37 C.F.R. § 3.31(a)(9)) **AND SIGNATURE** (37 C.F.R. § 3.31(a)(10))

9.	To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.					
NOTE:	"The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the document." Notice of June 24, 1992, 1140 O.G. 63-76, at 65.					
Date: <u>N</u>	March 21, 2001					
	Name of the day of the latest the					
	Name of party submitting document					
	Signature of party submitting document					
	(complete the following, if the party submitting the document is applicant's attorney)					
	SIGNATURE OF PRACTITIONER					
Reg. No	o. 25,858					
	William R. Evans					
Tel. No	(type or print name of practitioner) 1.: (212) 708-1930					
	<u></u>					
Custom	P.O. Address ner No.					
	c/o Ladas & Parry 26 West 61st Street					
	New York, N.Y. 10023					
	TOTAL NUMBER OF PAGES BEING SUBMITTED					
10.	The total number of pages being submitted, including cover sheet attachment(s), and documents are:					
	7					
	Total number of pages submitted					
	(Cover Sheet for Assignment Application Accompanying International Application Entering National Stage in U.S.					

Practitioner's Docket No. <u>U 013233-6</u>	-	PATENT
	or: or:	[] U.S. and/or [] Foreign Rights [X] U.S. Application or [] U.S. Provisional Application
F	or:	U.S. Patent
	or:	[] PCT Application
В	By:	[X] Inventor(s) or [] Present Owner
ASSIGN	IMEN	T OF INVENTION
		GNEE to ASSIGNOR of the sum of One Dollar (\$1.00), and for other good and valuable consideration,
ASSIGNOR:		
Inventor(s) or person(s) or entity(ies) who own the invention		
		John Ashton Sinclair
		(type or print name(s) of ASSIGNOR(S)) Lot 1731 Combine Road
		Address
		Wingham New South Wales 2429 Australia
		Australian Nationality
		Ivationality
(if assignment is by person o and this was r	r entity recorde	to whom invention was previously assigned d in PTO, add the following)
	Reel	
, t	rame	
hereby sells, assigns and transfers to		
ASSIGNEE:		Pupbest Pty Ltd
		(type or print name(s) of ASSIGNEE(S))
		18 Pacific Highway, Charmhaven
		Address New South Wales 2263 Australia
		THOM SOUTH WARES 2205 THE STATE OF
		Australian
		Nationality
and the successors, assigns and legal repr	esenta	tives of the ASSIGNEE

(Assignment of Invention—page 1 of 3) 16-3

. (complete one of the following)

		[x]	the entire right, title and interest
		[]	an undivided percent (%) interest
for th	e United	States a	nd its territorial possessions
			(check the following box, if foreign rights are also to be assigned)
		[]	and in all foreign countries, including all rights to claim priority,
in and	-	and all ir	mprovements which are disclosed in the invention entitled: ΓΟΟL
		ntor(s)_	JOHN ASHTON SINCLAIR
			(check and complete (a), (b), (c), (d), (e), (f) or (g))
and w	hich is t	found in	(37 C.F.R. § 3.21)
	(a)	ן ז	U.S. patent application executed on even date herewith
	(b)		U.S. patent application executed on
	(c)		U.S. provisional application naming the above inventor(s) for the above-entitled
			invention.
			[] Express mail label no.:
			Mailed:
		[]	Mailed: To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR
			signing below, hereby authorize and request my attorney to insert below the
			filing date and application number when they become known.
	(d)	[]	U.S. application nofiled on
	(e)	[X]	International application no. PCT/AU00 / 00552 filed on May 25, 2000
	(f)	[]	U.S. patent no issued
			[] A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
			(also check (g), if foreign application(s) is also being assigned)
	(g)	[]	and any legal equivalent thereof in a foreign country, including the right to claim priority
conti	in and to nuation, ination t	division	tters Patent to be obtained for said invention by the above application or any n, renewal, or substitute thereof, and as to letters patent any reissue or re-
:11 1			tereby covenants that no assignment, sale, agreement or encumbrance has been or

(Assignment of Invention—page 2 of 3) 16-3

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

RECORDED: 03/21/2001

	WAR	VING:		The date of signing checked above.	must be the same as the date of execution of the application, if them (d) was
Date:	(X)_	MAR	1	5 2001	(X) Jel. //Li
					Signature of ASSIGNOR(S)
Date:					
Date:					
Date.					
Date:					
				(if ASSIGNOR is a l	egal entity, complete the following information)
					(
					(type or print the name of the above person authorized to sign on behalf of ASSIGNOR)
					Title
N.O.	- 37	• ,		ti- eti an au logali	zation is necessary. If the assignment is notarized or legalized, then it will onl
NOTE	u: No be j	witnes orima	sing facie	, notarization or tegati e evidence of execution	n. 35 USC 261. Use next page if notarization is desired.
				[] Notarizat	tion or Legalization Page Added.

(Assignment of Invention—page 3 of 3) 16-3