

5/21/01



101732334

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Tim Kerry Keyes; Christopher Donald Johnson; Richard Paul Messmer; Marc Thomas Edgar; Navneet Kapoor

Additional name(s) of conveying party(ies) attached Yes No

2. Name and address of receiving party(ies)

Name: General Electric Company

Internal Address:

Street Address: One River Road

City: Schenectady State: NY ZIP: 12345

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other

Execution Date: March 23, 2001; March 26, 2001; April 9, 2001; March 27, 2001; May 15, 2001; respectively

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/737,628 filed December 15, 2000

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John S. Beulick

Internal Address: Armstrong Teasdale, LLP.

Street Address: One Metropolitan Sq. Suite 2600

City: St. Louis State: MO ZIP: 63102

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41).....\$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

01-2384

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert E. Slenker

Name of Person Signing

Signature

May 17, 2001

Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

Attorney's Docket No.

85CF-00113

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, we, **TIM KERRY KEYES**, of 16 Toplege Road, West Redding, Connecticut 06896, **CHRISTOPHER DONALD JOHNSON**, of 17 Berkshire Drive West, Clifton Park, New York 12065, **RICHARD PAUL MESSMER**, of 735 Riverview Road, Rexford, New York 12148, **MARC THOMAS EDGAR** of 11A Foxfire Lane, Glenmont, New York 12077, and **NAVNEET KAPOOR** of 3-39, Roppongi, 1-chome, Minato-ku, Tokyo 106, sell and assign to General Electric Company, One River Road, Schenectady, New York 12345 a New York Corporation, (hereinafter referred to as "Company") its successors and assigns the entire right, title and interest in and to the improvements in METHODS AND SYSTEMS FOR EFFICIENTLY SAMPLING PORTFOLIOS FOR OPTIMAL UNDERWRITING, invented by us, as described in the United States provisional application filed December 30, 1999, S.N. 60/173,957; as described in the application for the United States patent filed December 15, 2000, S.N. 09/737,628, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States provisional application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

23 Nov 01, 2001



TIM KERRY KEYES

_____, 2001

CHRISTOPHER DONALD JOHNSON

_____, 2001

RICHARD PAUL MESSMER

ASSIGNMENT

Attorney's Docket No.

85CF-00113

_____, 2001

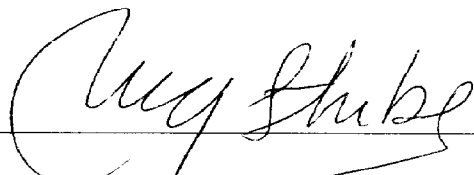
MARC THOMAS EDGAR

_____, 2001

NAVNEET KAPOOR

STATE OF CONNECTICUT
County of FAIRFIELD) ss.

This 23rd day of March, 2001, before me personally came the above-named TIM KERRY KEYES, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.


GUYLE TRIBE
NOTARY PUBLIC
MY COMMISSION EXPIRES NOV. 30, 2001

STATE OF _____)
County of _____) ss.

This _____ day of _____, 2001, before me personally came the above-named CHRISTOPHER DONALD JOHNSON, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

STATE OF _____)
County of _____) ss.

This _____ day of _____, 2001, before me personally came the above-named RICHARD PAUL MESSMER, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

ASSIGNMENT

Attorney's Docket No.

85CF-00113

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, we, **TIM KERRY KEYES**, of 16 Topledge Road, West Redding, Connecticut 06896, **CHRISTOPHER DONALD JOHNSON**, of 17 Berkshire Drive West, Clifton Park, New York 12065, **RICHARD PAUL MESSMER**, of 735 Riverview Road, Rexford, New York 12148, **MARC THOMAS EDGAR** of 11A Foxfire Lane, Glenmont, New York 12077, and **NAVNEET KAPOOR** of 3-39, Roppongi, 1-chome, Minato-ku, Tokyo 106, sell and assign to General Electric Company, One River Road, Schenectady, New York 12345 a New York Corporation, (hereinafter referred to as "Company") its successors and assigns the entire right, title and interest in and to the improvements in METHODS AND SYSTEMS FOR EFFICIENTLY SAMPLING PORTFOLIOS FOR OPTIMAL UNDERWRITING, invented by us, as described in the United States provisional application filed December 30, 1999, S.N. 60/173,957; as described in the application for the United States patent filed December 15, 2000, S.N. 09/737,628, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States provisional application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

_____, 2001

TIM KERRY KEYES

06 March, 2001

Christopher Donald Johnson

CHRISTOPHER DONALD JOHNSON

9 April, 2001

Richard Paul Messmer

RICHARD PAUL MESSMER

ASSIGNMENT

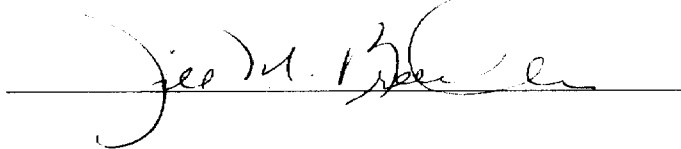
Attorney's Docket No.

85CF-00113

STATE OF NY)
County of Schenectady) ss.

This 27th day of March, 2001, before me personally came the above-named MARC THOMAS EDGAR, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

JILL M. BREEDLOVE
Notary Public in the State of New York
Residing in Albany County
No. 02BR4940840
My Commission Expires July 25, 2002



STATE OF _____)
County of _____) ss.

This _____ day of _____, 2001, before me personally came the above-named NAVNEET KAPOOR, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

ASSIGNMENT

Attorney's Docket No.

85CF-00113

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, we, **TIM KERRY KEYES**, of 16 Topledge Road, West Redding, Connecticut 06896, **CHRISTOPHER DONALD JOHNSON**, of 17 Berkshire Drive West, Clifton Park, New York 12065, **RICHARD PAUL MESSMER**, of 735 Riverview Road, Rexford, New York 12148, **MARC THOMAS EDGAR** of 11A Foxfire Lane, Glenmont, New York 12077, and **NAVNEET KAPOOR** of 3-39, Roppongi, 1-chome, Minato-ku, Tokyo 106, sell and assign to General Electric Company, One River Road, Schenectady, New York 12345 a New York Corporation, (hereinafter referred to as "Company") its successors and assigns the entire right, title and interest in and to the improvements in **METHODS AND SYSTEMS FOR EFFICIENTLY SAMPLING PORTFOLIOS FOR OPTIMAL UNDERWRITING**, invented by us, as described in the United States provisional application filed December 30, 1999 , S.N. 60/173,957; as described in the application for the United States patent filed December 15, 2000, S.N. 09/737,628, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States provisional application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

_____, 2001

TIM KERRY KEYES

_____, 2001

CHRISTOPHER DONALD JOHNSON

_____, 2001

RICHARD PAUL MESSMER

ASSIGNMENT

Attorney's Docket No.

85CF-00113

STATE OF _____)
) ss.
County of _____)

This _____ day of _____, 2001, before me personally came the above-named MARC THOMAS EDGAR, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

STATE OF _____)
) ss.
County of _____)

This ^{15th} day of May, 2001, before me personally came the above-named NAVNEET KAPOOR, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Jennifer Coonan