FORM PTO-1595 (Rev. 6-93)	RE(05-29-	·2001	SHEET	₺.S. DEPARTMENT OF COMMERCE Patent and Trademark Office
OMB Nc 3651-0011 (exp. 4/94)					
5/21/01		10173			**************************************
Tab settings		10173	2334		
To the Honorable Commission	er of Patents an	d Trademarks:	Please record the att	ached original docum	ents or copy thereof.
1. Name of conveying party(ies):			2. Name and addre	ess of receiving party	(ies)
Tim Kerry Keyes; Christopher Dor Messmer; Marc Thomas Edgar; Navr		ichard Paul	Name: <u>General Electric Company</u>		
			Internal Address	x	
Additional name(s) of conveying party(ies) attac	thed Yes X N	o			
			Street Address:_	One River Road	
3. Nature of conveyance:					
	☐ Merg	er	City: Schenectad	dy_State: <u>NY_</u> ZIP: <u>1</u>	12345
☐ Security Agreement	☐ Chan	ge of Name			
☐ Other				_	
Execution Date: March 23, 2001; Ma March 27, 2001; May 15, 2001; respe		pril 9, 2001;	Additional name(s) & address(es) attached? Yes No		
4. Application number(s) or patent nu	umber(s):				
If this document is being filed toge	ether with a new	application, the	execution date of the	e application is:	
			B. Patent No.(s)		
A. Patent Application No.(s)		B. Faterit 140.(3)			
09/737,628 filed December 15,	, 2000				
	Addit	tional numbers attac	hed? Yes 🗓 No	1.00	
Name and address of party to who concerning document should be n		ence	6. Total number of	applications and pate	ents involved: 1
Name: <u>John S. Beulick</u>		7. Total fee (37 CFR 3.41)\$_40.00			
_			☐ Enclosed		
Internal Address: <u>Armstrong Teasdale, LLP.</u>		☐ Authorized to be charged to deposit account			
Street Address: <u>One Metropolitan Sq. Suite 2600</u>			10.18.47.		
-		8. Deposit account	: number:		
City: <u>St. Louis</u> State: <u>MO</u> ZIP:	<u>63102</u>		<u>01-2384</u>		
		(Attach duplicate copy of this page if paying by deposit account)			
		DO NOT USE	THIS SPACE		
Statement and signature. To the best of my knowledge and original document.	belief, the foreg	going information	n is true and correct a	and any attached copy	y is a true copy of the
	_	RIS	501		17,0004
Robert E. Slenker Name of Person Signing	— <i>–</i>	Sic	mature		May 17, 2001 Date
	Tatalan b	inaludi	shoot attaches to as 4.4	ocument: 10	
	lotal number of pa	ages including cover	sheet, attachments, and do	Journelle, 10	

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

Attorney's Docket No.

85CF-00113

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, we, TIM KERRY KEYES, of 16 Topledge Road, West Redding, Connecticut 06896, CHRISTOPHER DONALD JOHNSON, of 17 Berkshire Drive West, Clifton Park, New York 12065, RICHARD PAUL MESSMER, of 735 Riverview Road, Rexford, New York 12148, MARC THOMAS EDGAR of 11A Foxfire Lane, Glenmont, New York 12077, and NAVNEET KAPOOR of 3-39, Roppongi, 1-chome, Minato-ku, Tokyo 106, sell and assign to General Electric Company, One River Road, Schenectady, New York 12345 a New York Corporation, (hereinafter referred to as "Company") its successors and assigns the entire right, title and interest in and to the improvements in METHODS AND EFFICIENTLY SYSTEMS FOR SAMPLING PORTFOLIOS FOR **OPTIMAL** UNDERWRITING, invented by us, as described in the United States provisional application filed December 30, 1999, S.N. 60/173,957; as described in the application for the United States patent filed December 15, 2000, S.N. 09/737,628, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States provisional application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

23 Money	, 2001	hur Kerry Keys
	, 2001	TIM KERRY KEYES
	·	CHRISTOPHER DONALD JOHNSON
	, 2001	RICHARD PAUL MESSMER

REEL: 011829 FRAME: 0656

	ASSIGNMENT		Attorney's Docket No. 85CF-00113
	, 2001 MARC T	HOMAS EDC	GAR
	, 2001 NAVNEI	ET KAPOOR	
STATE OF CONNECTION County of FAICHEA This 25 day of pared TIM KERRY KEYE) ss.)) M 2 12 l , 2001,	before me per:	sonally came the above-
named TIM KERRY KEYE foregoing assignment, who will for the purposes therein	acknowledged to me that	he executed the	Italy who executed the he same of his own free
STATE OF	_)) ss. _)	GUILLE NOTARY MY COMMISSION EXP	PUBLIC PIRES NOV. 30. 2001
Thisday of _ named CHRISTOPHER DO executed the foregoing assign own free will for the purpose	nment, who acknowledged	personally knov	wn as the individual who
STATE OF	_) _) ss. _)		
Thisday of _ named RICHARD PAUL Mi the foregoing assignment, wh will for the purposes therein s	no acknowledged to me that	y known as the	individual who executed

	ASSIGNMENT	85CF-00113
STATE OF)	
County of) ss.)	
Thisday of named MARC THOMAS E	, 2001, b DGAR, to me personally knowledged to me that he	efore me personally came the above own as the individual who executed the executed the same of his own free wil
STATE OF)) ss)	
named NAVNEET KAPO	OR, to me personally know acknowledged to me that he	efore me personally came the above n as the individual who executed the executed the same of his own free wil

Attorney's Docket No.

Attorney's Docket No.

85CF-00113

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TIM KERRY KEYES

J6 MARCH , 2001

CHRISTOPHER DONALD JOHNSON

P April , 2001

RICHARD PAUL MESSMER

	ASSIGNMENT	85CF-00113
March 27, 2001	Marc THOMA	Tomas Edgar SEDGAR
, 2001	NAVNEET KAP	POOR
STATE OF) ss. County of) Thisday of named TIM KERRY KEYES, to reforegoing assignment, who acknow will for the purposes therein set for	me personally known as the wledged to me that he executed to the that he executed the second that he executed the second	
STATE OF	, mile welling miles and	of New York ady Co. 33 ov. 4, 20
	Janne	asmin
STATE OF NG) ss. County of Sepenetady) This Grand day of named RICHARD PAUL MESSM the foregoing assignment, who ack will for the purposes therein set fort JOANNE A. SMITH	ER, to me personally known nowledged to me that he exth.	
Notary Public in the State of New York Qualified in Schenectady Co. No. 01SM5068633 My Commission Expires Nov. 4, 20 <u>2</u>	2	

Attorney's Docket No.

85CF-00113

STATE OF)
STATE OF NY) ss. County of Schenechady)
This $\frac{27th}{d}$ day of $\frac{M_{avel}}{M_{avel}}$, 2001, before me personally came the abovenamed MARC THOMAS EDGAR, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.
JILL M. BREEDLOVE Notary Public in the State of New York Residing in Albany County No. 02BR4940840 My Commission Expires July 25, 2002
STATE OF)) ss.
County of)
Thisday of, 2001, before me personally came the above-named NAVNEET KAPOOR, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Attorney's Docket No.

85CF-00113

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, we, TIM KERRY KEYES, of 16 Topledge Road, West Redding, Connecticut 06896, CHRISTOPHER DONALD JOHNSON, of 17 Berkshire Drive West, Clifton Park, New York 12065, RICHARD PAUL MESSMER, of 735 Riverview Road, Rexford, New York 12148, MARC THOMAS EDGAR of 11A Foxfire Lane, Glenmont, New York 12077, and NAVNEET KAPOOR of 3-39, Roppongi, 1-chome, Minato-ku, Tokyo 106, sell and assign to General Electric Company, One River Road, Schenectady, New York 12345 a New York Corporation, (hereinafter referred to as "Company") its successors and assigns the entire right, title and interest in and to the improvements in METHODS AND **SYSTEMS** FOR EFFICIENTLY SAMPLING **PORTFOLIOS** FOR OPTIMAL UNDERWRITING, invented by us, as described in the United States provisional application filed December 30, 1999, S.N. 60/173,957; as described in the application for the United States patent filed December 15, 2000, S.N. 09/737,628, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States provisional application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

	TIM KERRY KEYES
, 2001	CHRISTOPHER DONALD JOHNSON
, 2001	RICHARD PAUL MESSMER

2001

	ASSIGNMENT	Attorney's Docket No. 85CF-00113
, 2001	MARC THOMA	S EDGAR
May 15, 2001	NAVNEET KAP	oor
STATE OF)) ss. County of)		
	e personally known as the ledged to me that he executed to me that he executed to the control of	
STATE OF)) ss. County of)		
	OHNSON, to me personall who acknowledged to me the	ne personally came the above- ly known as the individual who nat he executed the same of his
STATE OF) ss. County of)		
	R, to me personally known owledged to me that he exe	ne personally came the above- as the individual who executed cuted the same of his own free

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Jennifice Comoin

RECORDED: 05/21/2001