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U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Docket No.: FUM-03301

JCE72 U.S. PTO  
09/860345  
05/18/01

1. Name of conveying party(ies):

Motoko TANAKA

Additional name(s) of conveying party(ies) attached?

Yes ☐ No ☒

Nature of conveyance:

(X) Assignment

( ) Merger

( ) Security Agreement

( ) Change of Name

( ) Other:

Execution Date: May 15, 2001

2. Name and address of receiving party(ies)

Name: NEC Corporation

Internal Address: \_\_\_\_\_

Street Address: 7-1, Shiba 5-chomeMinato-ku, Tokyo, JapanAdditional name(s) & address(es) attached? Yes ☐ No ☒4. Application number(s) or patent number(s): 09860345If this document is being filed together with a new application, the execution date of the applications is: May 15, 2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? Yes ☐ No ☒

5. Name and address of party to whom correspondence concerning document should be mailed:

6.

Name: Patent GroupInternal Address: Hutchins, Wheeler & DittmarStreet Address: 101 Federal StreetCity: Boston State: MA ZIP: 021106. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ \$40.00

X Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: \_\_\_\_\_

(Attached duplicate copy of this page if paying by deposit account)

**DO NOT USE THIS SPACE**

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Donald W. Muirhead; 33,978

Name of Person Signing

Signature

May 18, 2001

Date

Total number of pages including cover sheet, attachments, and document: 2Mail documents to be recorded with required covered sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

HWD2 902627v1

**PATENT**  
**REEL: 011829 FRAME: 0835**

ASSIGNMENT

WHEREAS, each of the Undersigned has developed certain inventions described in U.S. patent application entitled:

NONVOLATILE SEMICONDUCTOR MEMORY DEVICE AND METHOD OF MANUFACTURING THE SAME

- (X) executed on even date herewith;  
( ) executed on \_\_\_\_\_;  
( ) now U.S. Application No. \_\_\_\_\_ filed \_\_\_\_\_;

and has full right to convey their entire interest, both legal and equitable, in and to said inventions free from all prior assignments, agreements, licenses, mortgages, security interests, or other encumbrances whatsoever; and

WHEREAS, NEC Corporation ("ASSIGNEE"), a Japanese corporation having executive offices at 7-1, Shiba 5-chome, Minato-ku, Tokyo, Japan, is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged by each of the Undersigned, each of the Undersigned hereby sells, assigns and transfers unto said ASSIGNEE, its successors and assigns, their entire right, title and interest in and to said invention or inventions, as described in the aforesaid application and all applications resulting therefrom, including any and all divisionals, continuations, continuations in part, substitute application, and reissues or extensions thereof; and all resulting patents;

AND each of the Undersigned hereby authorizes and requests the issuing authority to issue any and all patents on said application or applications to said ASSIGNEE or its successors and assigns; the Undersigned agree(s) that the attorney of record in said application shall hereinafter act on behalf of said ASSIGNEE;

AND, each of the Undersigned further agrees, without any further payment or compensation by said ASSIGNEE or its successors and assigns, to communicate to said ASSIGNEE, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; to testify in any interference or other legal proceedings, whenever requested; to execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and to generally do everything possible to aid said ASSIGNEE, its successors or assigns and nominees to secure, obtain and enforce proper patent protection for said invention or inventions in this or any foreign country;

Each of the undersigned hereby authorizes Hutchins, Wheeler & Dittmar, of 101 Federal Street, Boston, MA 02110, to insert hereon any further identification information necessary or desirable for recordation of this document.

Inventors(s)

Date Signed

Witnesses

1. Motoko Tanaka  
Name: Motoko TANAKA

May 15, 2001

Hiroshi Uchida  
Shinji Matsu

2. \_\_\_\_\_  
Name: \_\_\_\_\_

3. \_\_\_\_\_  
Name: \_\_\_\_\_

4. \_\_\_\_\_  
Name: \_\_\_\_\_

( ) Additional inventors are being named on separately numbered sheets attached hereto.