

FORM PTO-1619A

Expires 06/30/02
OMB 0651-0027

05-30-2001

U.S. Department of Commerce
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PATENT

101733742

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TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

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Resubmission (Non-Recordation)

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Correction of PTO Error

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Conveyance Type

Assignment



Security Agreement



License



Change of Name



Merger



Other

U.S. Government

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Departmental File



Secret File

Conveying Party(ies)

Mark if additional names of conveying parties attached

Execution Date
Month Day Year

Name (line 1) Jay C. Hsu

04/16/2001

Name (line 2)

09/27/2001

Second Party

Name (line 1) Ed Rosenthal

Execution Date
Month Day Year

04/16/2001

Name (line 2)

Receiving Party

Mark if additional names of receiving parties attached

Name (line 1) Kimberly-Clark Worldwide, Inc.

Name (line 2)

Address (line 1) 401 North Lake Street

Address (line 2)

Address (line 3) Neenah

City

Wisconsin/U.S.A.

State/Country

54956

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

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Address (line 4)

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 011831 FRAME: 0853

Correspondent Name and Address

Area Code and Telephone Number (864)271-1592

Name Jason W. Johnston, Dority & Manning, PA

Address (line 1) PO Box 1449

Address (line 2) Greenville, South Carolina 29602-1449

Address (line 3)

Address (line 4)

Pages Enter the total number of pages of the attached conveyance document including any attachments.

4

Application Number(s) or Patent Number(s)

☒ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09/718,071

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT

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Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

04-1403

Authorization to charge additional fees:

Yes

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Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

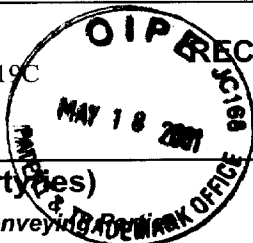
Jason W. Johnston

Name of Person Signing

Signature

05/15/2001

Date



RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Party(ies)

Execution Date
Month Day Year

Name (line 1) Richard L. Shick

04/16/2001

Name (line 2)

Execution Date
Month Day Year

Name (line 1) Audra S. Wright

04/23/2001

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

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Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

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Patent Application Number(s)

Patent Number(s)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jay C. Hsu
Ed Rosenthal
Richard L. Shick
Audra S. Wright

Docket No.: KCX-359 (15169)

Serial No.: 09/718,071

Group Art Unit: 1615

Filed: November 21, 2000

Examiner: Not Yet Furnished

For: "WATER-SOLUBLE LOTIONS FOR PAPER PRODUCTS"

Assignment - Joint Inventors

Commissioner of Patents
Washington, DC 20231

Sir:

WHEREAS, Jay C. Hsu, residing at 615 Portico Point, Alpharetta, Georgia 30022; Ed Rosenthal, residing at 122 East Terra Alta #28, San Antonio, Texas 78209; Richard L. Shick, residing at 340 South Vinyard Way, Alpharetta, Georgia 30004; and Audra S. Wright, residing at 4142 Country Manor Court, Woodstock, Georgia 30188; (hereinafter collectively referred to as Assignors), have made an invention and have each either (1) previously executed an application for Letters Patent of the United States of America therefor or (2) are contemporaneously executing an application for Letters Patent of the United States of America for the invention with is entitled: "WATER-SOLUBLE LOTIONS FOR PAPER PRODUCTS".

AND WHEREAS, Kimberly-Clark Worldwide, Inc., a corporation of the State of Delaware, having offices at 401 North Lake Street, Neenah, Wisconsin 54956, United States of America (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said invention and under said Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by the Assignee to the Assignors of the sum of One U.S. Dollar (\$1.00 U.S.) and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Assignors hereby sell, assign and transfer to the Assignees the full and exclusive right, title and interest to said invention and in and to any and all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, continuation-in-part, divisional, renewal, substitute, re-examination, conversion or reissue

thereof, including all extensions thereof, or any legal equivalent thereof in any foreign country for the full term or terms for which the same may be granted, including any and all convention rights.

The Assignors hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

The Assignors further covenant that the Assignors will promptly provide, upon written request, Assignee with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to the Assignors and that they will promptly execute and deliver to Assignee or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue, extend and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, the Assignors have executed this document on the date indicated below:

Jay C. Hsu Date: April 16, 2001
Jay C. Hsu

Ed Rosenthal Date: _____

Richard L. Shick Date: April 16, 2001
Richard L. Shick

Audra S. Wright Date: April 16, 2001
Audra S. Wright

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jay C. Hsu
Ed Rosenthal
Richard L. Shick
Audra S. Wright

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thereof, including all extensions thereof, or any legal equivalent thereof in any foreign country for the full term or terms for which the same may be granted, including any and all convention rights.

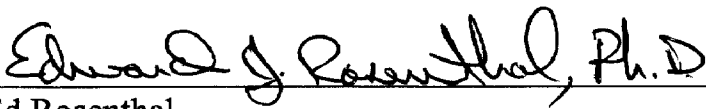
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IN WITNESS WHEREOF, the Assignors have executed this document on the date indicated below:

Jay C. Hsu

Date: _____


Ed Rosenthal

Date: 4/16/01

Richard L. Shick

Date: _____

Audra S. Wright

Date: _____