

05-30-2001

Docket #: SVL920010019US1

RECORD/

US DEPARTMENT OF COMMERCE  
Patent and Trademark Office

5-15-01

101731359

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof:

## 1. Name of Conveying party (ies):

Curt L. Cotner  
James W. Pickel  
Julie A. Watts

Additional name (s) of conveying party (ies) attached

☐ Yes ☒ No

## 2. Name and address of receiving party (ies):

Name: International Business Machines  
Corporation

Street: New Orchard Road

City: Armonk State: NY ZIP: 10504

Additional name (s) &amp; addresses (es) attached?

☐ Yes ☒ No

## 3. Nature of Conveyance:

☒ Assignment

Execution Date: 05/09/2001; 05/14/2001; 05/11/2001

## 4. Application number (s) or patent number (s):

If this document is being filed together with a new application, the execution date of the application is:  
May 9, 2001; May 14, 2001 and May 11, 2001.

A. Patent Application No (s):

B. Patent No. (s):

Additional numbers attached? ☐ Yes ☒ No

69/858735

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: IBM Corporation  
c/o Richard M. Goldman  
Internal Address: Intellectual Property Law  
J46/G4  
Street Address: 555 Bailey Avenue

City: San Jose State: CA Zip: 95141-1003

## 6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit Account Number: 09-0460

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard M. Goldman (#25,585)

5/15/01

Date

Total number of pages including cover sheet, attachments, and document: (2)

ASSIGNMENT

Whereas, I

INVENTOR  
AND CITY

- (1) **Inventor**, Curt L. Cotner, of City of Gilroy, County of Santa Clara, and State of California  
 (2) **Inventor** James W. Pickel, of City of Gilroy, County of Santa Clara, and State of California  
 (3) **Inventor** Julie A. Watts, of City of Morgan Hill, County of Santa Clara, and State of California

have invented certain improvements in

TITLE

**METHOD FOR MANAGING DISTRIBUTED SAVEPOINTS ACROSS MULTIPLE DBMS'S WITHIN A DISTRIBUTED TRANSACTION**

and executed, respectively, a United States patent application therefor on the following date(s):

DATE THAT  
INVENTOR (S)  
SIGNED THE  
DECLARATION

- (1) May 9, 2001  
 (2) May 14, 2001  
 (3) May 11, 2001

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

CITY  
DATE

(1) at San Jose  
 on May 8, 2001

Curt L. Cotner  
 SIGNATURE  
 INVENTOR

CITY  
DATE

(2) at San Jose  
 on May 14, 2001

James W. Pickel  
 SIGNATURE  
 INVENTOR

CITY  
DATE

(3) at San Jose  
 on May 11, 2001

Julie A. Watts  
 SIGNATURE  
 INVENTOR