

RE

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U.S. DEPARTMENT OF COMMERCE  
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To the Honorable Commissioner of Patents

ched original documents or copy thereof.

## 1. Name of conveying party(ies):

Max Werner Scheiwe  
Shitotomo Yamauchi

## 2. Name and address of receiving party(ies):

Name: Mepha AG

Address: Dornacherstrasse 114

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

City: Aesch State/Prov.: \_\_\_\_\_

Country: Switzerland ZIP: CH-4147

Execution Date: March 2 &amp; 10, 2001

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

Patent Application No. Filing date  
 09/787,474 March 16, 2001

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Daniel A. Scola, Jr.

Registration No. 29,855

Address: HOFFMANN &amp; BARON, LLP

6900 Jericho Turnpike

City: Syosset State/Prov.: NY

Country: USA ZIP: 11791

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account  
☐ Authorized to be charged to deposit account

## 8. Deposit account number:

08-2461

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Christina L. Warrick

Name of Person Signing

Signature

May 16, 2001

Date

Total number of pages including cover sheet, attachments, and document:

5

Docket: 753-9

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☒ U.S. Application or ☐ U.S. Patent  
By: ☒ Inventor or ☐ Present Owner

### ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR: (inventor(s) or person(s) or entity(ies) who own the invention)

Max Werner Scheiwe

Name

Adolf Strübe Strasse 16

Address

D-79689 Maulburg/Germany

Address

Germany

Nationality

Shitotomo Yamauchi

Name

1-18-7-401 Kamiochiai, Shinjuku

Address

Tokyo, 161-0034 / Japan

Japan

Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on \_\_\_\_\_

Reel \_\_\_\_\_

Frame \_\_\_\_\_

hereby sells, assigns and transfers to

ASSIGNEE:

Mepha AG

(Type or print name of ASSIGNEE)

Dornacherstrasse 114

Address

CH-4147 Aesch/Switzerland

Switzerland

Nationality

PATENT

REEL: 011832 FRAME: 0641

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒ the entire right, title and interest

☐ an undivided \_\_\_\_\_ percent (\_\_\_\_\_% ) interest

for the United States and its territorial possessions

☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

**TOPICAL FORMULATION OF ALKYL-,PHENYL-PYRIDONE**

(check and complete (a), (b), (c), (d),(e), (f) or (g))

and which is found in

- (a) ☒ U.S. patent application executed on even date herewith
- (b) ☐ U.S. patent application executed on \_\_\_\_\_
- (c) ☐ U.S. patent application naming the above inventor(s) for the above-identified invention.  
☐ Express Mail label No. : \_\_\_\_\_, mailed: \_\_\_\_\_  
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known
- (d) ☐ U.S. application serial no. 0 / \_\_\_\_\_ filed on \_\_\_\_\_
- (e) ☒ International application No. **PCT/EP98/05971**
- (f) ☐ U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_  
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
- (g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

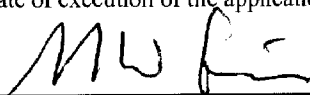
ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

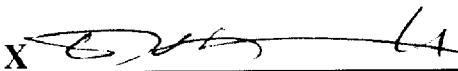
IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

**WARNING:** Date of signing must be the **same** as the date of execution of the application if item (a) was checked above.

Date: X March 2, 2001

X   
Max Werner Scheiwe

Date: X March 10, 2001.

X   
Shitotomo Yamauchi

(If ASSIGNOR is a legal entity, complete the following information)

**Dr. M. W. Scheiwe**  
**Chief Officer Operations**


\_\_\_\_\_  
Type or print the name of the above person  
authorized to sign on behalf of ASSIGNOR

\_\_\_\_\_  
Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261.


STATE OF  
SS  
COUNTY OF

On this 2 day of March , 2001 before me personally appeared **Max Werner Scheiwe** to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his free act and deed.

  
\_\_\_\_\_  
Notary Public Etienne Häner

STATE OF  
SS  
COUNTY OF JAPAN

On this 10<sup>th</sup> day of March , 2001 before me personally appeared **Shitotomo Yamauchi** to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his free act and deed.

  
\_\_\_\_\_  
Notary Public  
Toshio Nakagawa