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HEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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4.17.01

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Alan P. ESCHER, Jingxue LIU

## 2. Name and address of receiving party(ies):

Name: Loma Linda University

Address: \_\_\_\_\_

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_City: Loma LindaState/Prov.: CaliforniaCountry: USZIP: 92350Execution Date: April 16, 2001

Additional name(s) &amp; address(es)

☐ Yes ☒ No

## 4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: April 17, 2001

Patent Application No.

Filing date

B. Patent No.(s)

04/19/2001 UEDUVIJE 00000082 PCT/US01/12392  
06 FD:581 40.00 DP

Additional numbers

☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David A. Farah, M.D.Registration No. 38,134Address: c/o Sheldon & Mak225 South Lake Avenue9th FloorCity: PasadenaState/Prov.: CaliforniaCountry: USZIP: 91101

## 6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

## 8. Deposit account number:

19-2090

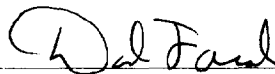
DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David A. Farah, M.D.

Name of Person Signing



Signature

April 17, 2001

Date

3

Total number of pages including cover sheet, attachments, and

PATENT

REEL: 011832 FRAME: 0849

PCT/US 01/12392

ASSIGNMENT

WHEREAS, I, Alan P. Escher, have invented certain new and useful improvements disclosed in a PCT application titled "Method of Preventing or Treating Diabetes," and filed on April 17, 2001.


AND WHEREAS Loma Linda University, a nonprofit organization (hereinafter, together with any successors, legal representatives of assigns thereof, called "Assignee"), wants to acquire the entire right, title, and interest in and to said improvements and application:

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, I have sold, assigned, transferred and set over, and do hereby sell, assign, transfer and set over to Assignee the entire right, title, and interest in and to said improvements, and said application and all divisions, substitutions, continuations and continuations-in-part thereof, and all priority rights under the International Convention for the Protection of Industrial Property for every member country, and all applications for patents (including related rights such as utility-model registrations, inventor(s) certificates, and the like), heretofore or hereafter filed for said improvements in any country, and all patents (including all extensions, renewals, and reissues thereof) granted for said improvements in any country; and I hereby authorize and request all officials of the countries whose duty it is to issue patents on applications as aforesaid, to issue all patents for said improvements to Assignee in accordance with the terms of this assignment;

AND I HEREBY covenant that I have full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith;

AND I HEREBY further covenant and agree that I will communicate to Assignee any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, continuations-in-part, substitute and reissue applications, make all rightful oaths, and generally do everything possible to aid Assignee to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand this 16<sup>th</sup> day of April, 2001.  
2001.

  
Alan P. Escher

PCT/US 01/12392

ASSIGNMENT

WHEREAS, I, Jingxue Liu, have invented certain new and useful improvements disclosed in a PCT application titled "Method of Preventing or Treating Diabetes," and filed on April 17, 2001.

AND WHEREAS Loma Linda University, a nonprofit organization (hereinafter, together with any successors, legal representatives of assigns thereof, called "Assignee"), wants to acquire the entire right, title, and interest in and to said improvements and application:

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, I have sold, assigned, transferred and set over, and do hereby sell, assign, transfer and set over to Assignee the entire right, title, and interest in and to said improvements, and said application and all divisions, substitutions, continuations and continuations-in-part thereof, and all priority rights under the International Convention for the Protection of Industrial Property for every member country, and all applications for patents (including related rights such as utility-model registrations, inventor(s) certificates, and the like), heretofore or hereafter filed for said improvements in any country, and all patents (including all extensions, renewals, and reissues thereof) granted for said improvements in any country; and I hereby authorize and request all officials of the countries whose duty it is to issue patents on applications as aforesaid, to issue all patents for said improvements to Assignee in accordance with the terms of this assignment:

AND I HEREBY covenant that I have full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith;

AND I HEREBY further covenant and agree that I will communicate to Assignee any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, continuations-in-part, substitute and reissue applications, make all rightful oaths, and generally do everything possible to aid Assignee to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand this 16th day of April, 2001.

  
Jingxue Liu