

05-31-2001

EET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings → → → ▼ ▼ ▼

101734212

To the Honorable Commissioner of Patents and Trademarks, U.S. Department of Commerce, Washington, D.C. 20530. I am attaching the attached original documents or copy thereof.

1. Name of conveying party(ies):

Materials and Electrochemical Research Corporation

5-25-01

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 5/10/01

2. Name and address of receiving party(ies):

Name: **Fullerene International Corporation**Address: **9 East Loockerman Street**

MAY 25 2001

City: **Dover**State/Prov.: **DE**Country: **USA**

ZIP:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

09/784,910

February 16, 2001

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Leopold Presser**Registration No. **19,827**Address: **SCULLY, SCOTT, MURPHY & PRESSER****400 Garden City Plaza**City: **Garden City** State/Prov.: **NY**Country: **USA** ZIP: **11530**

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

19-1013/SSMP

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark J. Cohen, Reg. No.: 32,211

Name of Person Signing

Signature

May 23, 2001

Date

Total number of pages including cover sheet, attachments, and document:

3

PATENT

REEL: 011834 FRAME: 0465

ASSIGNMENT

"INVENTION" means DIAMOND/CARBON NANOTUBES STRUCTURES FOR EFFICIENT ELECTRON FIELD EMISSION.

"APPLICATION" means the U.S. Patent Application covering the INVENTION, designated as U.S. Serial Number 09/784,910, filed February 16, 2001.

"INVENTOR(s)" means Stevan Dimitrijevic, James C. Withers and Raouf O. Loutfy

"MER" means Materials and Electrochemical Research Corporation, 7960 S. Kolb Road, Tucson, Arizona 85706.

"FIC" means Fullerene International Corporation, 9 East Loockerman Street, Dover, Delaware.

1. MER hereby assigns to FIC its entire right, title and interest in:

- (a) the INVENTION;
- (b) the APPLICATION, all foreign applications and all divisional and continuation, in whole and in part, applications based on the APPLICATION;
- (c) all patents resulting from the APPLICATION and applications described in (b); and
- (d) all reissues, extensions, renewals and reexaminations of the patents described in (c).

2. This Assignment shall allow FIC to file the applications described in paragraph 1 in its own name (but naming the INVENTORS as the inventors) in all countries of the world (where it is permissible) and the right to claim the benefit of the priority right provided by the International Convention of 1883, as amended.

3. MER hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States of America to issue to FIC all U.S. patents described in paragraph 1 that may be granted.

4. MER agrees to execute any further lawful documents that FIC might consider necessary to fully protect its interest in the INVENTION and the documents described in paragraph 1.

F:\work\1511\PI4310\MISC\MER-FIC.Assign.doc

5. MER covenants that it has not granted any right or license to make, use or sell the INVENTION to anyone, except to the extent that it is obligated to grant a nonexclusive, nontransferable, royalty-free, irrevocable license to the Government of the United States of America pursuant to the terms of a certain Government grant(s), which license shall not be affected by the execution and delivery of this Assignment.

6. MER also covenants that it has not encumbered its right, title and interest in the INVENTION or APPLICATION other than the exceptions listed in paragraph 5, and has not, and will not sign any document that conflicts with this Assignment.

"MER"

Materials and Electrochemical Research Corporation

Z. O. Sowby
By:

05/10/01
Date

President
Title