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(Rev. 6-93)

RECORDATION FORM COVER SHEET

U.S. Department of Commerce

OMB No. 0651-0011 (exp. 4/94)

## PATENTS ONLY

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.

## 1. Name of conveying party(ies):

John L. Henrie  
Myron L. Jensen  
Steven U. Nestel  
Randy C. Jarrett

5-2401  
1-3001

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date:

## 2. Name and address of receiving party(ies):

Name: Emerson Electric Co.

Internal Address:

Street Address: 8000 West Florissant

City: St. Louis State: MO Zip: 63136

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or registration number(s): 09/753,872

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s):

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas V. Miller  
Howrey Simon Arnold & White, LLP  
P.O. Box 4433

City: Houston State: Texas Zip: 77210

6. Total number of applications and patents involved: 17. Total fee (37 C.F.R. 3.41)----- \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account  
if check insufficient or inadvertently omitted8. Deposit account number: 01-2508/10790.0022.NPUS00  
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas V. Miller, 42,002

5-24-01

Name of Person Signing, Reg. No.

Signature

Date

Total number of pages including cover sheet, attachments and documents: 1

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignment  
Washington, D.C. 20231

5/30/2001 09:48:00 00000030 012508 09/753872

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01-04-01

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PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032

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Under the Revised Patent Application Form

02-27-2001

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100622865

(Only for )

Attorney Docket No.

ECRM:022 (10790.0022.NPUS00)

First Inventor or Application Identifier

John L. Henrie

Title

ADAPTER ASSEMBLY FOR HEATERS AND THE LIKE

Express Mail Label No.

EL708375825US Filed January 3, 2001

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ \*Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)

2. ☒ Specification [Total Pages 20]  
(preferred arrangement set forth below)  
- Descriptive title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to Microfiche Appendix  
- Background of the Invention

- Brief Summary of the Invention

- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 U.S.C. 113)

[Total Sheets 9]

4. ☒ Oath or Declaration

[Total Pages 3]

a. ☒ Newly executed (original or copy)

b. ☐ Copy from a prior application (37 C.F.R. 1.63(d))  
(for continuation/divisional with Box 16 completed)

i. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).

\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

### ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)  
6. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a. ☐ Computer Readable Copy

b. ☐ Paper Copy (identical to computer copy)

c. ☐ Statement verifying identity of above copies

### Accompanying Application Parts

7. ☒ Assignment Papers (cover sheet & document(s))

8. ☐ 37 C.F.R. 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney

9. ☐ English Translation Document (if applicable)

10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

11. ☐ Preliminary Amendment

12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

13. ☐ \*Small Entity Statement(s) filed in prior application, Status still proper and desired (PTO/SB/09-12)

14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

15. ☐ Other

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_/\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

NAME	Thomas V. Miller				
ADDRESS	Howrey Simon Arnold & White, LLP				
	PO Box 4433				
CITY	Houston	STATE	TX	ZIP CODE	77210-4433
COUNTRY	U.S.A.	TELEPHONE	(312) 595-1239	FAX	(312) 595-2250

Name (Print/Type)	Thomas V. Miller	Registration No. (Attorney/Agent)	42,002
Signature	<i>Thomas V. Miller</i>	Date	January 3, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PATENT  
REEL: 011835 FRAME: 0917

ASSIGNMENT

**FOR GOOD AND VALUABLE CONSIDERATION**, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned do hereby:

**SELL, ASSIGN AND TRANSFER** to Emerson Electric Co. (the "Assignee"), a corporation of Missouri, having a place of business at 8000 West Florissant, St. Louis, Missouri 63136, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewith, and is entitled "Adapter Assembly For Heaters And The Like", such application and all provisional, divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

**AUTHORIZE AND REQUEST** the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

**WARRANT AND COVENANT** that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

**COVENANT**, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all provisional, divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

**TO BE BINDING** on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Date: 12/18/00

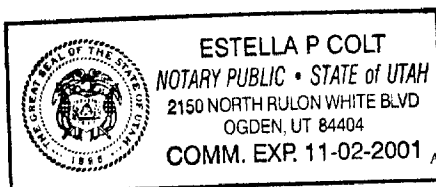
John L. Henrie  
John Henrie

STATE OF UTAH )  
 ) SS:  
COUNTY OF WEBER )

**BEFORE ME**, the undersigned authority, on this 18 day of December 2000, personally appeared John Henrie, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Estella P. Colt  
Notary Public

[seal]



(3/95)  
C: 105229(2971011.DOC)

ARNOLD WHITE & DURKEE

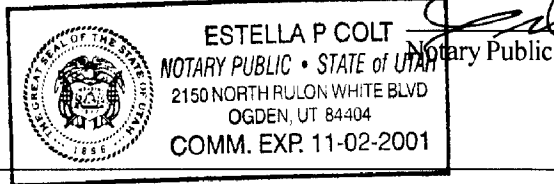
Date: 12/18/00

Myron L. Jensen  
Myron L. Jensen

STATE OF Utah )  
 ) SS:  
COUNTY OF Weber )

BEFORE ME, the undersigned authority, on this 18 day of December 2000, personally appeared Myron L. Jensen known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

[seal]



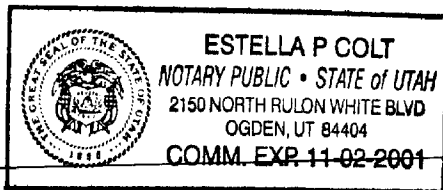
Date: 12-28-2000

Steve U. Nestel  
Steve U. Nestel

STATE OF Utah )  
 ) SS:  
COUNTY OF Weber )

BEFORE ME, the undersigned authority, on this 28 day of December 2000, personally appeared Steve U. Nestel, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

[seal]



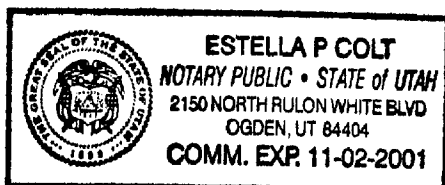
Date: 12/18/2000

Randy C. Jarrett  
Randy C. Jarrett

STATE OF Utah )  
 ) SS:  
COUNTY OF Box Elder )

BEFORE ME, the undersigned authority, on this 18 day of December 2000, personally appeared Randy C. Jarrett, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

[seal]



Arnold White & Durkee  
Notary Public