5/25/01

Name of Person Signing



101737236 To the Assistant Commissioner for Pate. 1. Name of conveying party(ies): 2. Name and address of receiving Jeff L. Kline party(ies): Tim K. Murphy Roland Zapfe Name: International Business Machines Corpor Internal Address: Additional names of conveying party(ies) attached? □ yes
□ no 3. Nature of Conveyance Street Address:__ New Orchard Road Armonk City ☑ Assignment □ Merger State New York Zip 10504 □ Security Agreement
□ Change of Name □ Other . Additional name(s) & address(es) attached? □ yes ਯ no Execution Date: May 22, 2001; May 21, 2001; March 27, 2001 4. Application number(s) or patent number(s): TITLE: DASD (DIRECT ACCESS STORAGE DEVICE) EXPANSION ENCLOSURE If this document is being filed together with a new application, the execution date of the application is_ B. Patent No(s). A. Patent Application No(s). Docket No.: ROC920010606US1 Serial No. 29/139,443 Additional numbers attached? ☐ Yes ☑ No 6. Total number of applications and patents involved: Name and address of party to whom correspondence concerning document should be mailed: \$ 40.00 7. Total fee (37 CFR 3.41): James R. Nock IBM Corporation - Dept. 917 □ Enclosed 3605 Highway 52 North Rochester, MN 55901-7829 Authorized to be charged to deposit account Any deficiencies in the enclosed fees 8. Deposit account number: 09-0465 (Attach duplicate copy of this page if paying by deposit account) DO NOT USE THIS SPACE 9. Statement and signature To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. 23-2001 James R. Nock

Signature

Total number of pages comprising cover sheet: _1

Docket No.: ROC920010606US1

ASSIGNMENT

Whereas, we

Jeff L. Kline (1),City of Austin County of Travis and State of Texas

(2), Tim K. Murphy City of Chicago, County of Cook and State of Illinois.

(3), Roland Zapfe City of Rochester, County of Olmsted, and State of Minnesota

have invented certain improvements in

DASD (DIRECT ACCESS STORAGE DEVICE) EXPANSION ENCLOSURE

and have executed, respectively, a United States patent application therefor on

Dates

Inventors , 2001, (1) (2) May 21 ,2001, May 22

Signed

Declaration and (3) March 27 , 2001

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor:

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

Inventor (1)

(Jeff L. Kline

First Name Initial Last Name Inventor Signature

PATENT REEL: 011838 FRAME: 0625

at Rochester, MN Inventor Inventor (2) (Tim K. Murphy Signature First Name Initial Last Name _, 2001, at Rochester, Minnesota Inventor Inventor (3) (Roland Zapfe Signature Initial Last Name First Name 2001.

RECORDED: 05/25/2001

PATENT REEL: 011838 FRAME: 0626