

06-01-2001



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DOCKET NO. 210_219

APPENDIX B

Form PTO 1595 U.S. Department of Commerce

5-16-01

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents on hand thereof.

<p>1. Name of conveying party(ies) Stephen L. Moretti</p> <p>Additional name(s) of conveying parties attached <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: Carrier Corporation Address: Carrier World Headquarters Building One Carrier Place Farmington, CT 06034</p> <p>Additional name(s) attached? <input checked="" type="checkbox"/> No</p>
<p>3. Nature of Conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other</p> <p>Execution Date <u>February 13, 2001</u></p>	<div style="text-align: right; border: 1px solid black; padding: 2px;"> 11046 U.S. PTO 09/859099 </div>
<p>4. Application number(s) or patent number(s)</p> <p>If this document is being filed together with a new application, the execution date of this application is February 13, 2001.</p> <p>A. Patent Application No.(s) B. Patent No.(s)</p> <p style="font-size: 1.5em; font-weight: bold; margin-left: 20px;">09/859099</p> <p style="text-align: right;">Additional Numbers attached? <input checked="" type="checkbox"/> No</p>	
<p>5. Name and address of party to whom correspondence concerning this document should be mailed:</p> <p>Name: Thomas J. Wall</p> <p>Address: WALL MARJAMA & BILINSKI 101 South Salina Street, Suite 400 Syracuse, NY 13202 Telephone: (315) 425-9000 Facsimile: (315) 425-9114</p>	<p>6. Total number of application and patents involved: <u>1</u></p> <hr/> <p>7. Total fee (37 CFR 3.4) \$40.00</p> <p>Enclosed <input checked="" type="checkbox"/> Authorized to charge any deficiency or credit any over payment to Deposit Account No.03-0835.</p> <hr/> <p>8. Charge Deposit account 03-0835 <input checked="" type="checkbox"/> \$40</p>
DO NOT USE THIS SPACE	
<p>9. Statement and Signature:</p> <p>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="width: 45%;"> <p style="font-size: 1.5em; font-weight: bold; margin-left: 20px;"><i>Thomas J. Wall</i></p> <p>Thomas J. Wall Reg. No. 24,280</p> </div> <div style="width: 45%; text-align: right;"> <p style="font-size: 1.5em; font-weight: bold; margin-left: 20px;"><i>May 16, 2001</i></p> <p>_____ Date Total Number of Pages Comprising Cover Sheet 3</p> </div> </div>	
<p>OMB NO 0651-0011(EXP. 4/94)</p> <p style="text-align: center;">DO NOT DETACH THIS PORTION</p> <p>Mail documents to be recorded with required cover sheet information to:</p> <p style="text-align: center;">COMMISSIONER OF PATENTS AND TRADEMARKS BOX ASSIGNMENTS WASHINGTON D.C. 20231</p>	

**PATENT
REEL: 011838 FRAME: 0651**

ASSIGNMENT

(1-8) Insert Name(s) of Inventor(s)

- (1) Stephen L. Moretti
- (2) _____
- (3) _____
- (4) _____
- (5) _____
- (6) _____
- (7) _____
- (8) _____

In consideration of the sum of dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to

(9) Insert name of Assignee

(9) **Carrier Corporation**

(10) Insert address of Assignee

(10) **Carrier World Headquarters Building, One Carrier Place,
Farmington, CT 06034**

(hereinafter designated as the Assignee) the entire right, title and interest for the United States, its territories, dependencies and possessions, in the invention, and all applications for patent and any Letters Patent which may be granted therefor, known as

(11) Insert Identification of Invention, such as Title, Case Number or Foreign Application Number

(11) **FAN MOTOR MOUNT**
(Case No. 210 219)

for which the undersigned have executed an application for patent in the United States of America

(12) Insert Date of Signing of Application

(12) on FEB. 13, 2001

(13) Alternative identification

(13) U.S. Application(s) Serial Number(s) _
for filed application(s) filed Concurrently Herewith

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

6) The undersigned hereby grant(s) Thomas J. Wall, of WALL MARJAMA & BILINSKI the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date 02/13/01 Signature Stephen L. Moretti (SEAL)
Stephen L. Moretti

This assignment should preferably be signed before: (a) a Notary Public if within the U.S.A. or (b) a U.S. Consul if outside the U.S.A. If neither, then it should be signed before at least two witnesses who also sign here:

Date _____ Witness _____

Date _____ Witness _____

STATE OF New York }
COUNTY OF Onondaga } SS:

On this 13th day of February 2001, before me, the subscriber(s) personally came Stephen L. Moretti to me known and known to me to be the person(s) described in and who executed the within instrument and he(they) acknowledged to me that he (they) executed the same.

Luan McCormick
Notary Public

LUANN McCORMICK
Notary Public, State of New York
Qualified in Onondaga Co. No. 4886345
My Commission Expires March 2, 2001