

FORM PTO-1595

01/31/92

06-01-2001

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

ET

101737185

To the Honorable Commissioner of Patents and Trademarks. Please
record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Anthony B. Brennan
Michael P. Zamora
Christopher Batich
Kenneth B. Wagener**Additional name(s) of conveying party(ies)
attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

**University of Florida Research
Foundation
317 Walker Hall
Gainesville, Florida 32611-5500
USA**

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Additional name(s) & address(es) attached?

☐ Yes ☒ No**05-03-00; 05-23-00;**Execution Date(s): **06-20-00; 05-04-01**

4. Application Number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the
application is:

A. Patent Application No.(s)

09/529,926

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No5. Name and address of party to whom
correspondence concerning document
should be mailed:**Thomas M. Wozny
Andrus, Sceales, Starke & Sawall, LLP
100 East Wisconsin Avenue
Milwaukee, WI 53202**6. Total number of applications and
patents involved: 17. Total fee (37 CFR 3.41): \$ **40.00**☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number: 01.2000

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a
true copy of the original document.***Dorothy A. Hauser**

Name of Person Signing

Signature

May 22, 2001

Date

Total number of pages comprising cover sheet:

16

OMB No. 0651-0011 (exp. 4/94)

ASSIGNMENT

I, the persons identified below as the "Inventors," have made a certain new and useful invention (the "Invention"), as set forth in an application for United States Letters Patent, bearing substantially the following title and bearing the following application number. The application was executed by me, and it was filed on the indicated date:

Title: **Amino Acid Functionalized Polymers for Graft Copolymerizations**

Application Number: 09/529,926

Date filed: July 6, 2000

The assignee under this agreement is hereby authorized to insert the filing date and application number referred to above, when ascertained.

For good and valuable consideration, I do hereby sell, assign, and transfer to the University of Florida Research Foundation a non-stock, non-profit Florida corporation, and to its legal representatives, successors, and assigns, my entire right, title, and interest in and to the Invention and to all United States and foreign patent applications any of whose claims cover all or part of the Invention, together with all patents resulting from such applications. The University of Florida Research Foundation shall hold such right, title, and interest as fully and completely as they would have been held by me had this assignment and sale not been made.

I agree, upon the University of Florida Research Foundation's request, to execute or assent to such United States and foreign patent applications and to execute all separate assignments and other legal documents that the University of Florida Research Foundation may find necessary or desirable in its exercise of the right, title, and interest assigned above. I also agree to communicate to the University of Florida Research Foundation any facts relating to the Invention or to any of the patent applications or patents contemplated above that may be useful to the University of Florida Research Foundation and to testify as to such facts in any interferences or in litigation, if requested to do so. I shall do all these things without additional compensation but at no expense to me.

I hereby request the Commissioner of Patents to issue to the University of Florida Research Foundation, as the owner of my entire right, title, and interest therein, any Letters Patent of the United States that may be issued for the Invention.

Inventor: Anthony B. Brennan Date: 5-3-00
Name: **Anthony B. Brennan**
Address: **3959 N.W. 37th Place**
Gainesville, FL 32606
Citizenship: **USA**

State of Florida)
County of Alachua) ss.

On this 3rd day of May, 2000, before me personally appeared **ANTHONY B. BRENNAN** known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL

Dawn Rose Byrd
Notary Public
My Commission _____



Inventor: _____ Date: _____
Name: **Michael P. Zamora**
Address: **10501 Pinebrook**
Baton Rouge, LA 70809
Citizenship: **USA**

State of _____)
County of _____) ss.

On this _____ day of _____, 2000, before me personally appeared **MICHAEL P. ZAMORA** known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL

Notary Public
My Commission _____

Inventor: _____ Date: _____

Name: **Christopher Batich**
Address: **3733 N.W. 40th Street**
Gainesville, FL 32606
Citizenship: **USA**

State of _____)
) ss.
County of _____)

On this _____ day of _____, 2000, before me personally appeared **CHRISTOPHER BATICH** known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL

Notary Public
My Commission _____

Inventor: _____ Date: _____

Name: **Kenneth B. Wagener**
Address: **5012 N.W. 15th Place**
Gainesville, FL 32605
Citizenship: **USA**

State of _____)
) ss.
County of _____)

On this _____ day of _____, 2000, before me personally appeared **KENNETH B. WAGENER** known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL

Notary Public
My Commission _____

ASSIGNMENT

I, the persons identified below as the "Inventors," have made a certain new and useful invention (the "Invention"), as set forth in an application for United States Letters Patent, bearing substantially the following title and bearing the following application number. The application was executed by me, and it was filed on the indicated date:

Title: **Amino Acid Functionalized Polymers for Graft Copolymerizations**

Application Number: 09/529,926

Date filed: July 6, 2000

The assignee under this agreement is hereby authorized to insert the filing date and application number referred to above, when ascertained.

For good and valuable consideration, I do hereby sell, assign, and transfer to the University of Florida Research Foundation a non-stock, non-profit Florida corporation, and to its legal representatives, successors, and assigns, my entire right, title, and interest in and to the Invention and to all United States and foreign patent applications any of whose claims cover all or part of the Invention, together with all patents resulting from such applications. The University of Florida Research Foundation shall hold such right, title, and interest as fully and completely as they would have been held by me had this assignment and sale not been made.

I agree, upon the University of Florida Research Foundation's request, to execute or assent to such United States and foreign patent applications and to execute all separate assignments and other legal documents that the University of Florida Research Foundation may find necessary or desirable in its exercise of the right, title, and interest assigned above. I also agree to communicate to the University of Florida Research Foundation any facts relating to the Invention or to any of the patent applications or patents contemplated above that may be useful to the University of Florida Research Foundation and to testify as to such facts in any interferences or in litigation, if requested to do so. I shall do all these things without additional compensation but at no expense to me.

I hereby request the Commissioner of Patents to issue to the University of Florida Research Foundation, as the owner of my entire right, title, and interest therein, any Letters Patent of the United States that may be issued for the Invention.

Inventor: _____ Date: _____

Name: **Anthony B. Brennan**
Address: **3959 N.W. 37th Place**
Gainesville, FL 32606
Citizenship: **USA**

State of _____)
) ss.
County of _____)

On this _____ day of _____, 2000, before me personally appeared **ANTHONY B. BRENNAN** known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL

Notary Public
My Commission _____

Inventor: Michael P. Zamora Date: 5/4/01
Name: **Michael P. Zamora**
Address: **~~10501 Pinebrook~~ 10112 Oakline Dr^{MZ}**
Baton Rouge, LA 70809
Citizenship: **USA**

State of Louisiana)
Parish) ss.
County of East Baton Rouge)

On this 4th day of May, 2001, before me personally appeared **MICHAEL P. ZAMORA** known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL

Angela N. Corvers
Notary Public
My Commission _____

ANGELA N. CORVERS
NOTARY PUBLIC
MY COMMISSION IS FOR LIFE

Inventor: _____ Date: _____

Name: **Christopher Batich**
Address: **3733 N.W. 40th Street**
Gainesville, FL 32606
Citizenship: **USA**

State of _____)
) ss.
County of _____)

On this _____ day of _____, 2000, before me personally appeared **CHRISTOPHER BATICH** known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL

Notary Public
My Commission _____

Inventor: _____ Date: _____

Name: **Kenneth B. Wagener**
Address: **5012 N.W. 15th Place**
Gainesville, FL 32605
Citizenship: **USA**

State of _____)
) ss.
County of _____)

On this _____ day of _____, 2000, before me personally appeared **Kenneth B. Wagener** known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL

Notary Public
My Commission _____

ASSIGNMENT

I, the persons identified below as the "Inventors," have made a certain new and useful invention (the "Invention"), as set forth in an application for United States Letters Patent, bearing substantially the following title and bearing the following application number. The application was executed by me, and it was filed on the indicated date:

Title: **Amino Acid Functionalized Polymers for Graft Copolymerizations**

Application Number: 09/529,926

Date filed: July 6, 2000

The assignee under this agreement is hereby authorized to insert the filing date and application number referred to above, when ascertained.

For good and valuable consideration, I do hereby sell, assign, and transfer to the University of Florida Research Foundation a non-stock, non-profit Florida corporation, and to its legal representatives, successors, and assigns, my entire right, title, and interest in and to the Invention and to all United States and foreign patent applications any of whose claims cover all or part of the Invention, together with all patents resulting from such applications. The University of Florida Research Foundation shall hold such right, title, and interest as fully and completely as they would have been held by me had this assignment and sale not been made.

I agree, upon the University of Florida Research Foundation's request, to execute or assent to such United States and foreign patent applications and to execute all separate assignments and other legal documents that the University of Florida Research Foundation may find necessary or desirable in its exercise of the right, title, and interest assigned above. I also agree to communicate to the University of Florida Research Foundation any facts relating to the Invention or to any of the patent applications or patents contemplated above that may be useful to the University of Florida Research Foundation and to testify as to such facts in any interferences or in litigation, if requested to do so. I shall do all these things without additional compensation but at no expense to me.

I hereby request the Commissioner of Patents to issue to the University of Florida Research Foundation, as the owner of my entire right, title, and interest therein, any Letters Patent of the United States that may be issued for the Invention.

Inventor: _____ Date: _____

Name: **Anthony B. Brennan**
Address: **3959 N.W. 37th Place**
Gainesville, FL 32606
Citizenship: **USA**

State of _____)
) ss.
County of _____)

On this _____ day of _____, 2000, before me personally appeared **ANTHONY B. BRENNAN** known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL

Notary Public
My Commission _____

Inventor: _____ Date: _____

Name: **Michael P. Zamora**
Address: **10501 Pinebrook**
Baton Rouge, LA 70809
Citizenship: **USA**

State of _____)
) ss.
County of _____)

On this _____ day of _____, 2000, before me personally appeared **MICHAEL P. ZAMORA** known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL

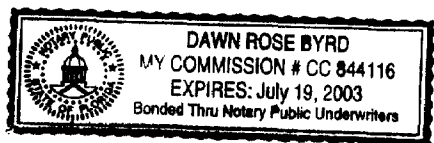
Notary Public
My Commission _____

Inventor: CBatich Date: 5/23/00
Name: **Christopher Batich**
Address: **3733 N.W. 40th Street**
Gainesville, FL 32606
Citizenship: **USA**

State of Florida)
County of Alachua) ss.

On this 23rd day of May, 2000, before me personally appeared **CHRISTOPHER BATICH** known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL



[Signature]
Notary Public
My Commission _____

Inventor: _____ Date: _____
Name: **Kenneth B. Wagener**
Address: **5012 N.W. 15th Place**
Gainesville, FL 32605
Citizenship: **USA**

State of _____)
County of _____) ss.

On this _____ day of _____, 2000, before me personally appeared **KENNETH B. WAGENER** known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL

Notary Public
My Commission _____

ASSIGNMENT

I, the persons identified below as the "Inventors," have made a certain new and useful invention (the "Invention"), as set forth in an application for United States Letters Patent, bearing substantially the following title and bearing the following application number. The application was executed by me, and it was filed on the indicated date:

Title: **Amino Acid Functionalized Polymers for Graft Copolymerizations**

Application Number: 09/529,926

Date filed: July 6, 2000

The assignee under this agreement is hereby authorized to insert the filing date and application number referred to above, when ascertained.

For good and valuable consideration, I do hereby sell, assign, and transfer to the University of Florida Research Foundation a non-stock, non-profit Florida corporation, and to its legal representatives, successors, and assigns, my entire right, title, and interest in and to the Invention and to all United States and foreign patent applications any of whose claims cover all or part of the Invention, together with all patents resulting from such applications. The University of Florida Research Foundation shall hold such right, title, and interest as fully and completely as they would have been held by me had this assignment and sale not been made.

I agree, upon the University of Florida Research Foundation's request, to execute or assent to such United States and foreign patent applications and to execute all separate assignments and other legal documents that the University of Florida Research Foundation may find necessary or desirable in its exercise of the right, title, and interest assigned above. I also agree to communicate to the University of Florida Research Foundation any facts relating to the Invention or to any of the patent applications or patents contemplated above that may be useful to the University of Florida Research Foundation and to testify as to such facts in any interferences or in litigation, if requested to do so. I shall do all these things without additional compensation but at no expense to me.

I hereby request the Commissioner of Patents to issue to the University of Florida Research Foundation, as the owner of my entire right, title, and interest therein, any Letters Patent of the United States that may be issued for the Invention.

Inventor: _____ Date: _____

Name: **Anthony B. Brennan**
Address: **3959 N.W. 37th Place**
Gainesville, FL 32606
Citizenship: **USA**

State of _____)
) ss.
County of _____)

On this _____ day of _____, 2000, before me personally appeared **ANTHONY B. BRENNAN** known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL

Notary Public
My Commission _____

Inventor: _____ Date: _____

Name: **Michael P. Zamora**
Address: **10501 Pinebrook**
Baton Rouge, LA 70809
Citizenship: **USA**

State of _____)
) ss.
County of _____)

On this _____ day of _____, 2000, before me personally appeared **MICHAEL P. ZAMORA** known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL

Notary Public
My Commission _____

Inventor: _____ Date: _____

Name: **Christopher Batich**
Address: **3733 N.W. 40th Street**
Gainesville, FL 32606
Citizenship: **USA**

State of _____)
) ss.
County of _____)

On this _____ day of _____, 2000, before me personally appeared **CHRISTOPHER BATICH** known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL

Notary Public
My Commission _____

Inventor: Kenneth B. Wagener Date: 20 JUNE 2000

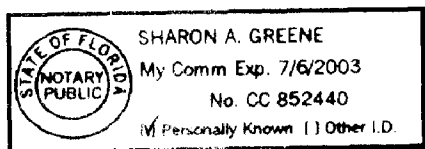
Name: **Kenneth B. Wagener**
Address: **5012 N.W. 15th Place**
Gainesville, FL 32605
Citizenship: **USA**

State of Florida)
) ss.
County of Alachua)

On this 20 day of June, 2000, before me personally appeared **Kenneth B. Wagener** known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL

Sharon A. Greene
Notary Public
My Commission 7/6/2003



PTO/SB/17

FEE TRANSMITTAL

COMPLETE IF KNOWN

Application Number **09/529,926**
 Filing Date **July 6, 2000**
 First Named Inventor **Anthony B. Brennan**
 Group Art Unit **1713**
 Examiner Name
 Attorney Docket Number **3775-00010**

Total Amount of Payment (\$) **40.00**

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

01.2000

Deposit Account Name

ANDRUS, SCEALES, STARKE & SAWALL, LLP

☒ Charge any additional fee required under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance. 37 CFR 1.311(b)

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath	
127	50	227	25 Surcharge - late provisional filing fee or cover sheet	
139	130	139	130 Non-English specification	
147	2,520	147	2,520 For filing a request for examination	
112	920*	112	920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	
115	110	215	55 Extension for response within first month	
116	380	216	190 Extension for response within second month	
117	870	217	435 Extension for response within third month	
118	1,360	218	680 Extension for response within fourth month	
128	1,850	228	925 Extension for response within fifth month	
119	300	218	150 Notice of Appeal	
120	300	220	150 Filing a brief in support of an appeal	
121	260	221	130 Request for oral hearing	
138	1,510	138	1,510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive unavoidably abandoned application	
141	1,210	241	605 Petition to revive unintentionally abandoned application	
142	1,240	242	620 Utility issue fee (or reissue)	
143	440	243	220 Design issue fee	
144	580	244	290 Plant issue fee	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Petitions related to provisional applications	
126	240	126	240 Submission of Information Disclos. Stmt.	
591	40	591	40 Recording each patent assignment per property (times number of properties)	\$40.00
146	710	246	355 Filing a submission after final rejection (37 CFR 1.29(a))	
149	710	249	355 For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) _____

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **\$40.00**

1. FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355 Utility filing fee	
106	320	206	160 Design filing fee	
107	490	207	245 Plant filing fee	
108	710	208	355 Reissue filing fee	
114	150	214	75 Provisional filing fee	

SUBTOTAL (1) (\$)

2. CLAIMS

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee From below	Fee Paid
Total claims	-	=	X	=	
Independent Claims	-	=	X	=	
Multiple Dependent Claims		=	X	=	
103	18	203	9 Claims in excess of 20		
102	80	202	40 Independent claims in excess of 3		
104	270	204	135 Multiple dependent claim		
109	80	209	40 Reissue independent claims over original patent		
110	18	210	9 Reissue claims in excess of 20 and over original patent		

SUBTOTAL (2) (\$)

SUBMITTED BY

Typed or Printed Name **Thomas M. Wozny**Signature *Thomas M. Wozny*

Date

5-22-01

Complete (if applicable)

Registration Number **28,922**

Deposit Account User ID