

FORM PTO-1619A
Expires 06/30/99
OMB 0651-0027

06-01-2001



101737168

U.S. Department of Commerce
Patent and Trademark Office
PATENT

5/29/01

RECORDATION FORM COVER SHEET PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
- ☐ Resubmission (Non-Recordation)
Document ID#
- ☐ Correction of PTO Error
Reel # Frame #
- ☐ Corrective Document
Reel # Frame #

Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other
- U.S. Government**
(For Use ONLY by U.S. Government Agencies)
- ☐ Departmental File ☐ Secret File

Conveying Party(ies)

- ☒ Mark if additional names of conveying parties attached
- Execution Date
Month Day Year
Mar. 15, 2001

Name (line 1) Carrie Brown

Name (line 2)

Second Party

Name (line 1) Lorton Trent

Execution Date
Month Day Year
Feb. 2, 2001

Name (line 2)

Receiving Party

- ☐ Mark if additional names of receiving parties attached

Name (line 1) Innovative Human Services, Inc.

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3) Dallas

Texas

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

/D/H/Rangel/Pr

PATENT
REEL: 011839 FRAME: 0481

Correspondent Name and Address

Area Code and Telephone Number (713) 547-2069

Name John W. Montgomery

Address (line 1) Haynes and Boone, L.L.P.

Address (line 2) 1000 Louisiana

Address (line 3) Suite 4300

Address (line 4) Houston, Texas 77002

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

9

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09/565,169

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

08-1394

Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

John W. Montgomery

Name of Person Signing



Signature

23 May 2001

Date

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

Enter additional Conveying Parties

☐ Mark if additional names of conveying parties attached

Name (line 1) Jack Atkinson

Execution Date
Month Day Year

Jan. 31, 2001

Name (line 2)

Name (line 1) Janet H. Allaire

Execution Date
Month Day Year

April 11, 2001

Name (line 2)

Name (line 1) Eric Frische

Execution Date
Month Day Year

Feb. 22, 2001

Name (line 2)

Receiving Party(ies)

Enter additional Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

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Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

ASSIGNMENT

WHEREAS, WE,

- | | | |
|-----|------------------|--|
| (1) | CARRIE BROWN, | of Dallas
State of Texas; |
| (2) | LORTON TRENT | of McKinney
State of Texas |
| (3) | JACK ATKINSON | of Southlake
State of Texas; |
| (4) | JANET H. ALLAIRE | of Charlottesville
State of Virginia; |
| (5) | ERIC FRISCHE | of Chandler
State of Arizona |

have invented certain improvements in

**HYGIENIC SALIVA COMPENSATION DEVICE
WITH IMPROVED HANDHELD AND INTRA-ORAL COLLECTION DEVICE**

and filed a United States patent application on May 3, 2000, Application No.: 09/565,169;

WHEREAS, **Innovative Human Services, Inc.** a corporation organized and existing under the laws of the State of Texas, having its principal office and place of business in the City of Dallas, State of Texas, desires to acquire the entire right, title and interest in the application and invention, and to any United States and foreign patents to be obtained therefor;

NOW, THEREFORE, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby acknowledge my prior existing obligation and hereby sell, assign, and transfer to Assignee its successors and assigns, the entire right, title, and interest in the application and invention therein disclosed for the United States and foreign countries, to and under the said improvements, and the said application and all divisionals, renewals, continuing applications thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in the application to Assignee its successors and assigns; and we hereby agree that Assignee may apply for foreign Letters Patent on the invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by Assignee.

Signed and sealed:

LOCATIONDATESIGNATURE

(1) Amwood National Bank March 15, 2001 Carrie Brown
Carrie Brown

(1) State of TEXAS
County of Dallas ss.:

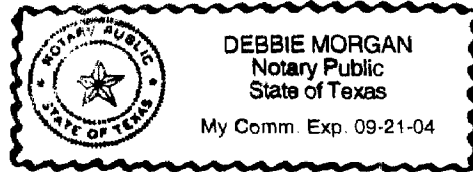
On this 15 day of March, 2001, before me personally came Carrie Brown to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and acknowledged to me that the execution of the same was a free act and deed.

Debbie Morgan
PRINT NAME OF NOTARY

Debbie Morgan
SIGNATURE OF NOTARY

☐ Personally Known

☒ Produced Identification in the form of TX License



Signed and sealed:

LOCATIONDATESIGNATURE

(1) UNIVERSITY PARK 2/1/01 Lorton Trent
Lorton Trent

(1) State of Texas
County of Dallas) ss.:

On this 2nd day of February, 2001, before me personally came Lorton Trent to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and acknowledged to me that the execution of the same was a free act and deed.

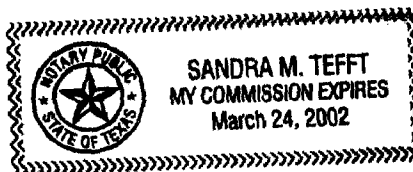
Sandra M. Tefft
PRINT NAME OF NOTARY

Sandra M. Tefft
SIGNATURE OF NOTARY

☐ Personally Known

☒ Produced Identification in the form of _____
TX DL # 02590033

237408.1



Signed and sealed:

LOCATIONDATESIGNATURE

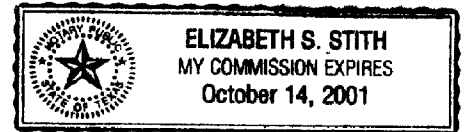
(1) Corpus Christi Texas 1/31/2001 Jack Atkinson
 Jack Atkinson

(1) State of TEXAS
 County of Tarrant) ss:

On this 31st day of Jan, 2001, before me personally came Jack Atkinson to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and acknowledged to me that the execution of the same was a free act and deed.

Elizabeth S. Stith
 PRINT NAME OF NOTARY

Elizabeth S. Stith
 SIGNATURE OF NOTARY



☐ Personally Known

☒ Produced Identification in the form of Texas
Driver Lic.

237408.1

Signed and sealed:

	<u>LOCATION</u>	<u>DATE</u>	<u>SIGNATURE</u>
(1)	<u>Charlottesville, VA</u>	<u>4-11-01</u>	<u>Janet H. Allaire</u> Janet H. Allaire
(1)	State of <u>VIRGINIA</u> County of <u>Albemarle</u>) ss.:		

On this 11th day of April, 2001, before me personally came Janet H. Allaire to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and acknowledged to me that the execution of the same was a free act and deed.

Carolyn A. Fitzgerald
PRINT NAME OF NOTARY

Carolyn A. Fitzgerald
SIGNATURE OF NOTARY

☒ Personally Known

☐ Produced Identification in the form of _____

237408.1

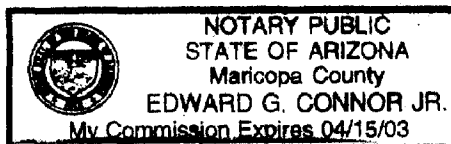
Signed and sealed:

LOCATIONDATESIGNATURE(1) Maricopa County, state of Arizona 22 Feb 2001Eric Frische
Eric Frische Frische(1) State of ARIZONA
County of MARICOPA) ss.:

On this 22nd day of February, 2001, before me personally Eric Frische to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and acknowledged to me that the execution of the same was a free act and deed.

Edward Connor
PRINT NAME OF NOTARYEdward Connor
SIGNATURE OF NOTARY☐ Personally Known☒ Produced Identification in the form of Dr's License

H-237408.1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	§	
Carrie Brown, et al.	§	Group Art Unit: 3761
	§	
Serial No.: 09/565,169	§	
	§	
Filed: May 3, 2000	§	Examiner: Unknown
	§	
For: HYGIENIC SALIVA COMPENSATION	§	
DEVICE WITH IMPROVED	§	
HANDHELD AND INTRA-ORAL	§	Attorney Docket No.: 29339.9
COLLECTION DEVICE	§	

TRANSMITTAL

Box Assignment

Assistant Commissioner for Patents
Washington, D.C. 20231


Dear Sir:

Enclosed are the following regarding the above-identified patent application:

1. Recordation Form Cover Sheet;
2. Assignment;
3. Check in the amount of \$40.00; and
4. return postcard.

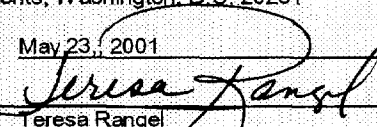
The Commissioner is hereby authorized to charge payment of any further fees associated with any of the papers submitted herewith or to credit any overpayment to Deposit Account No. 08-1394, Order No.: 29339.9.

Respectfully submitted,


John W. Montgomery
Registration No. 40,298

Date: May 23, 2001

HAYNES AND BOONE, L.L.P.
1000 Louisiana Street, Suite 4300
Houston, Texas 77002-5012
Telephone: (713) 547-2069
Facsimile: (713) 236-5680

<p>I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner For Patents, Washington, D.C. 20231</p> <p>on <u>May 23, 2001</u></p> <p> Teresa Rangel</p>
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PATENT

RECORDED: 05/29/2001

REEL: 011839 FRAME: 0490