

06-01-2001

Docket No. RD-28, 602

FORM PTO 1595
1-31-92

HEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

5/24/01

101737422

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Alok Mani Srivastava
Holly Ann Comanzo
Anant Achyut Settur

2. Name and address of receiving party(ies):

Name: General Electric Company

Internal Address: _____

Street Address: One River RoadCity: Schenectady State: NY ZIP: 12345Additional name(s) & address(es) attached? ☐ Yes ☒ NoAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: May 1, 2001 May 1, 2001May 2, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

May 1, 2001 May 1, 2001 May 2, 2001

A. Patent Application No.(s)

09/681,686

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: General Electric CompanyInternal Address: CRD Patent Docket Rm. 4A59Bldg. K-1, SalamoneP.O. Box 8Street Address: One Research CircleCity: Schenectady State: NY ZIP: 12301

6. Total number of applications and patents involved:

17. Total fee (37 CFR 3.41.....)\$40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

07-0868

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*TOAN P. VO

Name of Person Signing

TOAN P. VO

Signature

5/21/01Total number of pages including cover sheet, attachments and document 3

OMB No. 0651-0011 (exp 4/94)

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Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

ASSIGNMENT

Docket Number
RD-28,602

In consideration of One Dollar and Other good and valuable consideration, of which I (we) acknowledge receipt, I (we)

Alok Mani Srivastava
Holly Ann Comanzo
Anant Achyut Setlur

[illegible]

sell and assign to each of General Electric Company, a New York corporation and Avery Dennison Corporation, a Delaware corporation, their successors and assigns, an undivided one half of the entire right, title and interest in and to the improvements in:

YELLOW LIGHT-EMITTING HALOPHOSPHATE PHOSPHORS AND LIGHT SOURCES INCORPORATING THE SAME

invented by me (us), as described in the application for United States patent

executed concurrently herewith, or

☒ U.S. Serial No.: 09/681,686 , filed 5/21/2001

and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patents on said improvements or resulting therefrom to said General Electric Company and Avery Dennison Corporation, or their successors or assigns and agree that on request and without further consideration, but at the expense of said Companies, I (we) will communicate to said Companies or their representatives or nominees any facts known to me (us) respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Companies, their successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

05/01/01
Date

Alok Mani Srivastava

State of New York) SS:

County of Schenectady)

This 1st day of May, 2001, before me personally came the

above-named Alok Mani Srivastava to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

JEAN G. MYERS
Notary Public in the State of New York
Qualified in Schenectady Co.
No. 01MY808880 300

Seal

Jean B Myers
(Notary Public)

NY Commission Expires Nov. 11, 2000

ASSIGNMENTDocket Number
RD-28,60205/01/01

Date

Holly Ann Comanzo

Holly Ann Comanzo

State of New York) SS:County of Schenectady)This 1st day of May, 2001, before me personally camethe above-named Holly Ann Comanzo to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.**JEAN G. MYERS**
Notary Public in the State of New York
Qualified in Schenectady Co.
No. 01MY000880 2002
My Commission Expires Nov. 11, 2003

Seal

Jean G. Myers
(Notary Public)05/02/01

Date

Anant Achyut Setlur

Anant Achyut Setlur

State of New York) SS:County of Schenectady)This 2nd day of May, 2001, before me personally camethe above-named Anant Achyut Setlur to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.**JEAN G. MYERS**
Notary Public in the State of New York
Qualified in Schenectady Co.
No. 01MY000880 2002
My Commission Expires Nov. 11, 2003

Seal

Jean G. Myers
(Notary Public)

Date

State of _____) SS:

County of _____)

This _____ day of _____, 20____, before me personally came

the above-named _____ to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)