

RECC

06-01-2001

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

5.24.01



101735397

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE
ORIGINAL DOCUMENTS OR COPY THEREOF.

PLEASE RECORD THE ATTACHED

1. Name of conveying party(ies):

(a) Daniel A. Steigerwald

(b) Steven D. Lester

(c) Jonathan J. Wierer, Jr.

Additional name(s) of conveying party(ies) attached?

☐ Yes☒ No

2. Name and address of receiving party(ies):

Name: LumiLeds Lighting, U.S., LLC

Street Address: 370 W. Trimble Road

City: San Jose

CA

95131

Country: USA

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: (a) 04/25/01; (b) 05/15/01; and (c) 04/25/01

Name and address of receiving party(ies):

Name:

Street Address:

City:

State:

Zip:

Country:

Additional name(s) & address(es) attached?

☐ Yes☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) - 09/821,684

Title: Highly Reflective Ohmic Contacts To AlGaInN Flip-Chip LEDs

B. Patent No.(s)

Additional numbers attached?

☐ Yes☒ No

No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Matthew Spark

Internal Address: SKJERVEN MORRILL MacPHERSON LLP

Street Address: 25 METRO DRIVE, SUITE 700

City: SAN JOSE State: CA Zip: 95110

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☒ Authorized to be charged to Deposit Account 19-2386☒ Charge Deposit Account 19-2386 for any additional fees required for this conveyance and credit deposit account 19-2386 any amounts overpaid

DO NOT USE THIS SPACE

8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Matthew J. Spark 43,453
Name of Person Signing

Signature

Date

Total number of pages comprising cover sheet: 1

ASSIGNMENT

For good and valuable consideration, receipt of which is hereby acknowledged, we

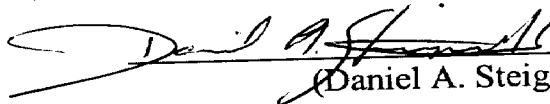
<u>Daniel A. Steigerwald</u>	of <u>10430-B Lockwood Drive; Cupertino, CA 95014</u>
<u>Steven D. Lester</u>	of <u>829 Matadero Ave.; Palo Alto, CA 94306</u>
<u>Jonathan J. Wierer, Jr.</u>	of <u>664 Praderia Circle; Fremont, CA 94539</u>

hereby sell, assign and transfer to LumiLeds Lighting, U.S., LLC, a Delaware Limited Liability Company, having a place of business at 370 W. Trimble Road; San Jose, CA 95131, its successors and assigns, the entire right, title and interest throughout the world in our invention in:

Highly Reflective Ohmic Contacts To AlGaInN Flip-Chip LEDs

for which we have executed a United States patent application on or about the date of this assignment, and all patent applications and patents of every country for said invention, including divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said applications; we authorize the above-named assignee to apply for patents of foreign countries for said invention, and to claim all rights of priority without further authorization from us; we agree to execute all papers useful in connection with said United States and foreign applications, and generally to do everything possible to aid said assignee, their successors, assigns and nominees, at their request and expense, in obtaining and enforcing patents for said invention in all countries; and we request that the United States Patent and Trademark Office issue all patents granted for said invention to the above-named assignee, its successors and assigns.

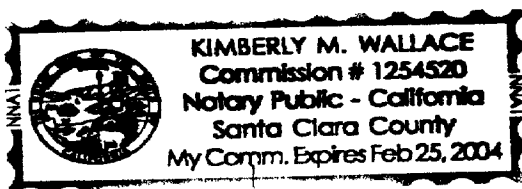
Executed this 25 day of April, 2001.



(Daniel A. Steigerwald)

State of CA)
) ss.
County of Santa Clara

On April 25, 2001 before me, Kimberly M. Wallace, Notary Public personally appeared **Daniel A. Steigerwald** personally known to me ~~or proved to me on the basis of satisfactory evidence~~ to be the person(s) whose name(s) is(are) subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(~~ies~~), and that by his/~~her/their~~ signature(~~s~~) on the instrument the person(~~s~~), or the entity upon behalf of which the person(~~s~~) acted, executed the instrument.

WITNESS my hand and official seal.



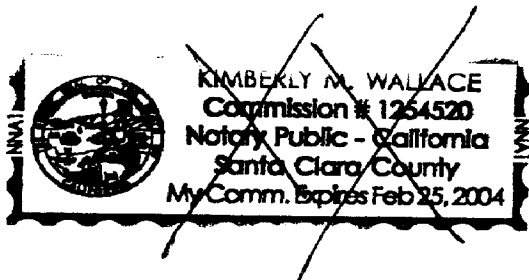

SIGNATURE OF NOTARY

Executed this 15 day of May, 2001.

Steven D. Lester
(Steven D. Lester)

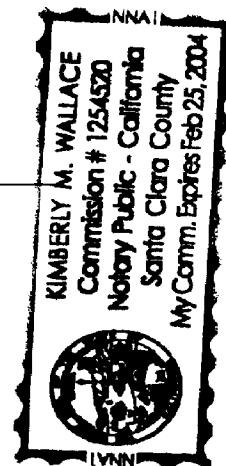
State of CA)
) ss.
County of Santa Clara

On May 14, 2001 before me, Kimberly M. Wallace, Notary Public personally appeared **Steven D. Lester** personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is(are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Kimberly M. Wallace
SIGNATURE OF NOTARY

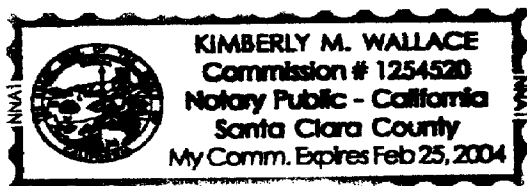


Executed this 25 day of April, 2001.

Jonathan J. Wierer, Jr.
(Jonathan J. Wierer, Jr.)

State of CA)
) ss.
County of Santa Clara

On April 25, 2001 before me, Kimberly M. Wallace, Notary Public personally appeared **Jonathan J. Wierer, Jr.** personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is(are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Kimberly M. Wallace
SIGNATURE OF NOTARY