

06-01-2001

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



101766960

To the Commissioner of Patents and Trademarks: Please record th

1. Name of conveying party(ies):

Andrew Dysart
Norbert Hom
Kevin Barron
Richard Flynn
Paraic O'Connor

Bryan Craig
Kan Moy

2. Name and address of receiving party(ies):

Norbert Technologies, L.L.C.
35 Sixth Street
Stamford, CT 06905

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: February 13 and 23, 2001 March 11, 2001;
April 26, 2001; May 22, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/568,502

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: M. Todd Mitchem
Address: Merchant & Gould P.C.
P.O. Box 2903
Minneapolis, MN 55402-0903



6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our Deposit account number: 13-2725

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

M. Todd Mitchem

Name of Person Signing

Signature

May 24, 2001

Date

Total number of pages including cover sheet, attachments, and document: 9

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Box Assignments
Director - U.S. Patent and Trademark Office
Washington, D.C. 20231

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PATENT
REEL: 011841 FRAME: 0783

ASSIGNMENT

WHEREAS, we, Andrew Dysart, residing at 2437 Bedford Street, Apt. C-17, Stamford, CT 06905, Norbert Hom, residing at 95 Chestnut Ridge Road, Saddle River, NJ 07458, Kevin Barron, residing at 678 Prospect Street, Maplewood, NJ 07040, Richard Flynn, residing at 12455 Whisper Hollow Drive, Apt. G, Maryland Heights, MO 63043, Paraic O'Connor, residing at 40-64 61 Street, Woodside, NY 13377, Bryan Craig, residing at 12425 Whisper Hollow Drive, Apt. A, Maryland Heights, MO 63043, Kan Moy, residing at 15-67 144th Street, Whitestone, NY 13357 and Michael Zwolak, residing at 47 1/2 Edgewood Avenue, Greenwich, CT 06830, made certain new and useful inventions and improvements for which we filed an application for Letters Patent of the United States on May 11, 2000, application Serial No. 09/568,502 which is entitled Method and Apparatus For Storing Data As Objects, Constructing Customized Data Retrieval and Data Processing Requests, and Performing Householding Queries.

AND WHEREAS, Norbert Technologies, L.L.C., a corporation organized and existing under and by virtue of the laws of the State of Connecticut, and having an office and place of business at 35 Sixth Street, Stamford, CT 06905 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

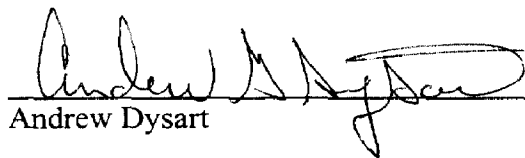
AND, for the consideration aforesaid, we do hereby agree that we and our executors and

and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 26 day of


APRIL, 2001.


Andrew Dysart

STATE OF New Jersey)
COUNTY OF Middlesex) ss.

On this 26th day of April, 2001, before me personally appeared to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]


Notary Public

KERRI COYLE ROGERS
NOTARY PUBLIC OF NEW JERSEY
MY COMMISSION EXPIRES OCT 11, 2003

IN TESTIMONY WHEREOF, I have hereunto set my hand this 22nd day of

May, 2001.

Norbert Hom
Norbert Hom

STATE OF Connecticut)
COUNTY OF Fairfield) ss. Stamford

On this 22nd day of May, 2001, before me personally appeared to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]

M. NURUZZAMAN
NOTARY PUBLIC
MY COMMISSION EXPIRES MAY 31, 2002
Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this _____ day of _____, 20____.

Kevin Barron
Kevin Barron

STATE OF _____)
COUNTY OF _____) ss.

On this _____ day of _____, 20____, before me personally appeared to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]

Notary Public
Notary Public

day of

_____, 20____.

Norbert Hom

STATE OF _____)
) ss.
COUNTY OF _____)

On this _____ day of _____, 20____, before me personally appeared to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]

Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this 11 day of

March, 20 01.

~~Kevin Barron~~

STATE OF New Jersey)
) ss.
COUNTY OF Essex)

On this 11th day of March, 2001, before me personally appeared to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]

Notary Public

EVELYN H. MULLER
Notary Public of New Jersey
My Commission Expires June 5, 2002

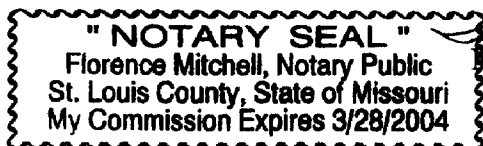
IN TESTIMONY WHEREOF, I have hereunto set my hand this 23 day of
Feb. 2001



Richard Flynn

STATE OF Missouri)
COUNTY OF St. Louis) ss.

On this 23 day of February, 2001, before me personally appeared to me
known and known to me to be the person described in and who executed the foregoing instrument, and he
duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]




Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this _____ day of
_____, 20__.

Paraic O'Connor

STATE OF _____)
COUNTY OF _____) ss.

On this _____ day of _____, 20__, before me personally appeared to me
known and known to me to be the person described in and who executed the foregoing instrument, and he
duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]

Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this _____ day of

Richard Flynn

STATE OF _____)
) ss.
COUNTY OF _____)

On this _____ day of _____, 20____, before me personally appeared to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]

Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this 22nd day of

May, 2001.



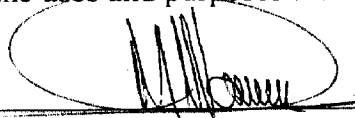
Paraic O'Connor

STATE OF Connecticut)
) ss. Stamford
COUNTY OF Fairfield)

On this 22nd day of May, 2001, before me personally appeared to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]

Notary Public



M. NURUZZAMAN
NOTARY PUBLIC

MY COMMISSION EXPIRES MAY 31, 2002

IN TESTIMONY WHEREOF, I have hereunto set my hand this 13 day of

Feb, 2001.

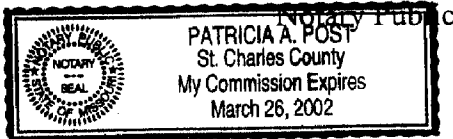

Bryan Craig

STATE OF Missouri)
) ss.
COUNTY OF St. Charles)

On this 13th day of Feb., 2001, before me personally appeared to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]

Patricia A. Post



IN TESTIMONY WHEREOF, I have hereunto set my hand this _____ day of

_____, 20____.

Kan Moy

STATE OF _____)
) ss.
COUNTY OF _____)

On this _____ day of _____, 20____, before me personally appeared to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]

Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this _____ day of

_____, 20__.

Bryan Craig

STATE OF _____)
) ss.
COUNTY OF _____)

On this _____ day of _____, 20__, before me personally appeared to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]

Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this 22rd day of

May, 2001.

Kan Moy
Kan Moy

STATE OF Connecticut)
) ss. Stamford
COUNTY OF Fairfield)

On this 22nd day of May, 2001, before me personally appeared to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]

M. NURUZZAMAN
Notary Public

M. NURUZZAMAN
NOTARY PUBLIC
MY COMMISSION EXPIRES MAY 31, 2002