

PATENT RECORD

06-01-2001

ENT OF COMMERCE



101735234

1992 U.S. PAT. &amp; TM. OFF. 09/862019 06/21/01

To the Honorable Commissioner of Pa

Please receive the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Jonathan Darrel Coker  
Richard Leo Galbraith  
Eric James Tree

05/21/01

Additional name(s) of conveying party(ies) attached?

☐ Yes☒ No

## 2. Name and address of receiving party(ies):

Name: **International Business Machines Corporation**

Internal Address:

Street Address: **New Orchard Road**City: **Armonk** State: **New York** ZIP: **10504**Additional name(s) & address(es) attached: ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: **May 15 and 17, 2001**

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: **May 15 and 17, 2001**

a. Patent Application No.(s)

**ROC920010066US1**Additional numbers attached? Yes ☐ No ☒

b. Patent No.(s)

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Joan Pennington**Internal Address: **Unit 1804**

Street Address:

**535 North Michigan Avenue**City: **Chicago** State: **IL** ZIP: **60611**6. Total number of applications and patents involved: **1**7. Total fee (37 CFR 3.41)..... **\$ 40.00**☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

**09-0465 of IBM Corporation**

(Attach duplicate copy of this page if paying by deposit account)

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Joan Pennington**

Name of Person Signing

Signature

Date

Total number of pages: 3

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

**ASSIGNMENT**

Whereas, we

- |     |  |   |
|-----|--|---|
| (1) | Jonathan Darrel Coker<br>County of Olmsted | City of Rochester,<br>and State of Minnesota, |
| (2) | Richard Leo Galbraith<br>County of Olmsted | City of Rochester,<br>and State of Minnesota, |
| (3) | Eric James Tree<br>County of Olmsted       | City of Rochester,<br>and State of Minnesota, |

have invented certain improvements in

**METHOD AND APPARATUS FOR CHANNEL EQUALIZATION WITH A DIGITAL FIR FILTER  
USING A PSEUDO RANDOM SEQUENCE**

and have executed, respectively, a United States patent application therefor on

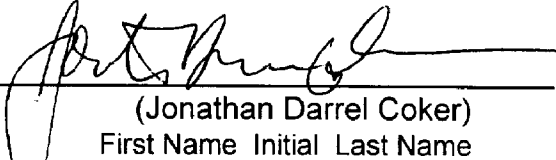
Dates			
Inventors			
Signed			
Declar-			
ation	(1) May 17	, 2001	(2) May 15
	(3) May 17	, 2001	, 2001

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.


**Signed**

Inventor  
(1) at Rochester, MN  
on May 17, 2001.

  
(Jonathan Darrel Coker)  
First Name Initial Last Name

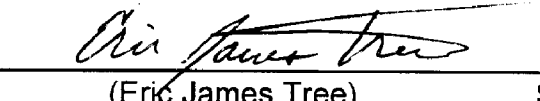
Inventor  
Signature

Inventor  
(2) at Rochester, MN  
on May 15, 2001.

  
(Richard Leo Galbraith)  
First Name Initial Last Name

Inventor  
Signature

Inventor  
(3) at Rochester, MN  
on May 17, 2001.

  
(Eric James Tree)  
First Name Initial Last Name

Inventor  
Signature