

06-04-2001



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U.S. Department of Commerce
Patent and Trademark Office
PATENT

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ **New**
☐ **Resubmission (Non-Recordation)**
Document ID#
☐ **Correction of PTO Error**
Reel # Frame #
☐ **Corrective Document**
Reel # Frame #

Conveyance Type

- ☐ **Assignment** ☒ **Security Agreement**
☐ **License** ☐ **Change of Name**
☐ **Merger** ☐ **Other**
U.S. Government
For Use ONLY by U.S. Government Agencies
☐ **Departmental File** ☐ **Secret File**

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached
Execution Date
Month Day Year

Name (line 1) **Telephia, Inc.**

05/04/2001

Second Party

Execution Date
Month Day Year

Receiving Party

☐ Mark if additional names of conveying parties attached

Name (line 1) **Imperial Bank**

Name (line 2)

Address (line 1) **226 Airport Parkway**

Address (line 2)

Address (line 3) **San Jose**

City

CA/USA

State/Country

95110

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of patents and Trademarks, Box Assignments, Washington, D.C. 20231

Correspondent Name and Address

Area Code and Telephone Number

415-693-2487

Name **Melanie Cass**

Address (line 1) **Cooley Godward LLP**

Address (line 2) **One Maritime Plaza, 20th Floor**

Address (line 3) **San Francisco, CA 94111**

Pages

Enter total number of pages of the attached conveyance document including any attachments.

5

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/271,105

09/392,012

09/654,486

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

3

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$ **120.00**

Method of Payment:
Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

03-3115

Authorization to charge additional fees:

Yes ☒

No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Melanie Cass

Name of Person Signing

Melanie Cass

Signature

May 21, 2001

Date

INTELLECTUAL PROPERTY SECURITY AGREEMENT

THIS INTELLECTUAL PROPERTY SECURITY AGREEMENT is entered into as of May 4, 2001 by and between IMPERIAL BANK ("Bank") and TELEPHIA, INC., a Delaware corporation ("Grantor").

RECITALS

A. Bank has agreed to make certain advances of money and to extend certain financial accommodation to Grantor (the "Loans") in the amounts and manner set forth in that certain Loan and Security Agreement by and between Bank and Grantor dated of even date herewith (as the same may be amended, modified or supplemented from time to time, the "Loan Agreement"; capitalized terms used herein are used as defined in the Loan Agreement).

B. Bank is willing to make the Loans to Grantor, but only upon the condition, among others, that Grantor shall grant to Bank a security interest in certain Copyrights, Trademarks and Patents to secure the obligations of Grantor under the Loan Agreement.

C. Pursuant to the terms of the Loan Agreement, Grantor has granted to Bank a security interest in all of Grantor's right, title and interest, whether presently existing or hereafter acquired, in, to and under all of the Collateral.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, and intending to be legally bound, as collateral security for the prompt and complete payment when due of its obligations under the Loan Agreement and all other agreements now existing or hereafter arising between Grantor and Bank, Grantor hereby represents, warrants, covenants and agrees as follows:

AGREEMENT

To secure its obligations under the Loan Agreement and under any other agreement now existing or hereafter arising between Bank and Grantor, Grantor grants and pledges to Bank a security interest in all of Grantor's right, title and interest in, to and under its Intellectual Property Collateral (including without limitation those Copyrights, Patents and Trademarks listed on Schedules A, B and C hereto), and including without limitation all proceeds thereof (such as, by way of example but not by way of limitation, license royalties and proceeds of infringement suits), the right to sue for past, present and future infringements, all rights corresponding thereto throughout the world and all re-issues, divisions continuations, renewals, extensions and continuations-in-part thereof.

This security interest is granted in conjunction with the security interest granted to Bank under the Loan Agreement. The rights and remedies of Bank with respect to the security interest granted hereby are in addition to those set forth in the Loan Agreement and the other Loan Documents, and those which are now or hereafter available to Bank as a matter of law or equity. Each right, power and remedy of Bank provided for herein or in the Loan Agreement or any of the Loan Documents, or now or hereafter existing at law or in equity shall be cumulative and concurrent and shall be in addition to every right, power or remedy provided for herein and the

exercise by Bank of any one or more of the rights, powers or remedies provided for in this Intellectual Property Security Agreement, the Loan Agreement or any of the other Loan Documents, or now or hereafter existing at law or in equity, shall not preclude the simultaneous or later exercise by any person, including Bank, of any or all other rights, powers or remedies.

Grantor represents and warrants that Exhibits A, B, and C attached hereto set forth any and all intellectual property rights in connection to which Grantor has registered or filed an application with either the United States Patent and Trademark Office or the United States Copyright Office, as applicable.

Notwithstanding anything contained herein to the contrary, the grant of the security interest provided for herein shall not extend to, and the term "Intellectual Property Collateral" shall not include, any property rights or licenses to the extent that (i) they are nonassignable by law or by their terms without the consent of the licensor thereof or another party (but only to the extent such prohibition on transfer is enforceable under applicable law, including, without limitation, Section 9318(4) of the UCC), or (ii) the granting of a security interest therein is contrary to applicable law or the terms of the agreement pursuant to which the rights or property is acquired, provided that upon the cessation of any such restriction or prohibition, such property shall automatically become part of the Intellectual Property Collateral.

So long as no Event of Default has occurred and is continuing, this Intellectual Property Security Agreement shall terminate and Bank shall release all of its interest in and to the Intellectual Property Collateral upon payment and performance in full by Borrower of its Obligations under the Loan Agreement (other than Obligations arising under Section 12.2 for which no claims have been made by Bank as of such time all other Obligations have been indefeasibly repaid in full).

IN WITNESS WHEREOF, the parties have caused this Intellectual Property Security Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.

GRANTOR:

TELEPHIA, INC.

Address of Grantor:

200 Vallejo Street
San Francisco, CA 94110

Attn: Chief Financial Officer

By: Buecar

Title: SVP & CFO

BANK:

IMPERIAL BANK

Address of Bank:

226 Airport Parkway
San Jose, CA 95110

Attn: Corporate Banking Center

By: [Signature]

Title: FVP

EXHIBIT A
COPYRIGHTS

Description	Registration Number	Registration Date
None		

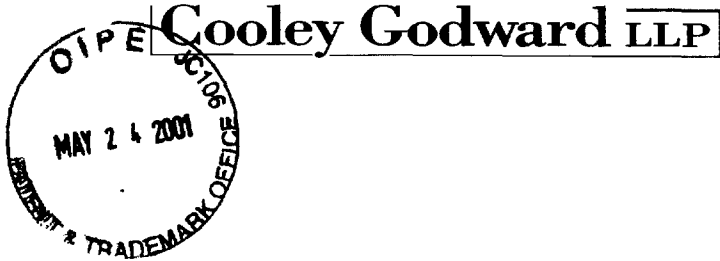
EXHIBIT B

PATENTS

Description	Registration/ Application Number	Registration/ Application Date
System and Method for Gathering Data from Wireless Communications Networks	09/271,105	3/17/99
	09/392,012	9/8/99
System and Method for Measuring Wireless Device and Network Usage and Performance Metrics	09/654,486	9/1/00

EXHIBIT C
TRADEMARKS

Description	Registration/ Application Number	Registration/ Application Date
Telephia and Design	76/222,065	March 8, 2001
Essential Intelligence	76/024,543	January 16, 2001
Telephia	75/824,639	October 18, 1999



Cooley Godward LLP

May 21, 2001

VIA FEDERAL EXPRESS

Commissioner of Patents and Trademarks
Box Assignments
Washington, DC 20231

Re: Recording of Intellectual Property Security Agreement

Dear Commissioner:

The following document is being submitted for recording with the U.S. Patent and Trademark Office:

1. Intellectual Property Security Agreement (the "Security Agreement") dated as of May 4, 2001, whereby **Telephia, Inc.** is granting a security interest in all patent/patent applications listed on the attached Patent Recordation Cover Sheet to **Imperial Bank.**

I have also enclosed a check for \$120.00 to cover the fees required to record the Security Agreement. The Commissioner is hereby authorized to charge any underpayment of such fees to Deposit Account No. 03-3115.

After the enclosed Security Agreement has been recorded please return to the attention of the undersigned with the certificate of recordation.

Please acknowledge receipt of the letter and the accompanying enclosures by date stamping the enclosed self-addressed prepaid green return receipt card and returning to the undersigned.

Sincerely,

Melanie M. Cass
Credit Finance Senior Paralegal

Enclosures

cc: Aileen Montebon *via facsimile 650-213-1710* (w/o enclosures)
J. David Larsen, Esq. (w/o enclosures)

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