

06-06-2001

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
**James H. Strickland, Jr.**Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: **May 17, 2001**

2. Name and address of receiving party(ies):

Name: **The UAB Research Foundation**

Internal Address: \_\_\_\_\_

Street Address: **1120G Administration Building****1530 3rd Avenue South**City: **Birmingham** State: **AL** ZIP: **35294**Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

**09/791,368**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Ellen S. Cogen**Internal Address: **Gifford, Krass, Groh, Sprinkle,  
Anderson & Citkowski, P.C.**Street Address: **280 N. Old Woodward Ave., Suite 400**City: **Birmingham** State: **MI** ZIP: **48009**6. Total number of applications and patents involved: **1**7. Total fee (37 CFR 3.41):.....\$ **40.00**☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

**07-1180**

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.***Ellen S. Cogen***Ellen S. Cogen***5/31/01**

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: **2**

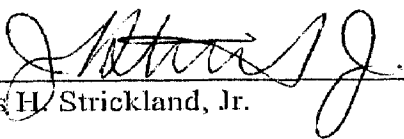
ASSIGNMENT

WHEREAS, I, James H. Strickland, Jr., of 316 Poinciana Drive,  
Birmingham, AL 35209, have invented MEDICAL VENTILATOR  
SYSTEM, for which I have made application for Letters Patent of the United States of America,  
and

WHEREAS, The UAB Research Foundation, a non-profit organization, having a  
principal place of business at 1120G Administration Building, 1530 3<sup>rd</sup> Avenue South,  
Birmingham, AL 35294-0111 desires to acquire the entire right, title and interest in and to said  
invention;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00)  
and other good and valuable considerations, receipt whereof is hereby acknowledged, I, James H.  
Strickland, Jr. by these presents do sell, assign and transfer unto said non-profit organization, its  
successors and assigns, all right title and interest in the United States of America and all foreign  
countries in and to said invention as described in the patent application, executed by me on the  
17<sup>th</sup> day of May, 2001 (and I hereby authorize my attorneys, authorized to  
prosecute said application, to here insert the filing date and serial number of the said application,  
as soon as it is known, Serial No. 09/791,368, filed February 23, 2001), and to any improvements  
on said invention heretofore or hereafter made while I am in the employ of said non-profit  
organization, and any divisions or continuations of said application, and all Patents, United States  
and foreign, granted upon any such applications or for the inventions described therein, and any  
reissues or extensions of said Patents; and I hereby authorize and request the Commissioner of  
Patents to issue all Patents on said United States applications to said non-profit organization as  
assignee thereof.

FOR SAID CONSIDERATIONS, I hereby covenant and agree that I am the  
owner of the full title herein assigned and have the right to assign the same, and agree that I will  
communicate to said non-profit organization or its representatives, any facts known to me  
respecting said invention or inventions and testify in any legal proceedings relating thereto when  
called upon, and will sign all instruments and documents and render such assistance which in the  
judgment of said non-profit organization is necessary to vest in it and protect the legal title  
sought to be assigned.

  
\_\_\_\_\_  
James H. Strickland, Jr.

Date: 5/17/2001