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U.S. DEPARTMENT OF COMMERCE
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

LAWRENCE KOHLS
BRIAN CLAREAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: _____

2. Name and address of receiving party(ies)

Name: CONVERGENCE WORLDWIDE LTD.

Internal Address: _____

TRADEWINDS BUILDING
FOURTH FLOOR

Street Address: BAY STREET

P.O. Box N-3813

City: NASSAU State: BAHAMAS Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/228,909

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: PHILIP KOENIG

Internal Address: _____

PITAS / KOENIG

Street Address: P.O. Box 980

WINCHESTER

City: FL State: MA Zip: 01890

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

PHILIP KOENIG

Name of Person Signing

Philip Koenig

Signature

5/29/01

Date

Total number of pages including cover sheet, attachments, and documents: ☐Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
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PATENT
REEL: 011852 FRAME: 0401

ASSIGNMENT OF INVENTION AND OF PATENT APPLICATIONS

In consideration of the payment by ASSIGNEE to ASSIGNORS of the sum of One Dollar lawful money (U.S. \$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS (and Joint Inventors)

Lawrence Kohls, a citizen of the United States residing at 1033 W. Loyola Avenue, Chicago, Illinois 60626, and

Brian Clare, a citizen of the United States residing at 21805 Pleasant Grove Road, Marengo, ILL 60152,

hereby sell, assign and transfer to:

ASSIGNEE Convergence Worldwide Ltd., a corporation duly organized and existing under the laws of the island of Nevis, and having an office for the transaction of business at the Tradewinds Building, Fourth Floor, Bay Street, P.O. Box N-3813 Nassau, Bahamas, and to the successors, assigns and legal representatives of the ASSIGNEE,

the entire right, title and interest, for the United States and its territorial possessions, and in all foreign countries, including all rights to claim priority in and to any and all improvements, which are disclosed in the invention entitled:

TRADING SYSTEM FOR FIXED VALUE CONTRACTS

as it is found in a United States Patent Application, Serial Number 09/228909, filed in the name of the said inventors on January 11, 1999 (and also in corresponding patent applications including United Kingdom GB patent application No. 9909914.5, filed April 29, 1999, Australian patent application No. 65355/99 filed December 21, 1999, and PCT international patent application No. PCT/US 00/00634 filed January 11, 2000),


and in and to all Letters Patent to be obtained for said invention in any country by virtue of the above patent applications or of any continuations, divisions, or substitutes thereof, and (as to letters patent) any reissues or re-examinations thereof,

TO HAVE AND TO HOLD THE SAME to the full end of the term or terms to which any and all of said letters patent may be granted;


ASSIGNORS accordingly authorize and request the Commissioner of Patents and Trademarks to issue any U.S. letters patent that may result from said applications SN 09/228909 or PCT/US 00/00634 to said Convergence Worldwide Ltd. as the assignee of the entire right, title and interest in and to the same.

ASSIGNORS hereby covenant that no assignment, sale, license, agreement or other encumbrance has been or will be made or entered into that would conflict with this assignment, and that said ASSIGNORS, and their administrators and executors, shall and will do all lawful acts and things, and make, execute and deliver, without further compensation, any and all other instruments in writing, further applications, papers, affidavits, powers of attorney, assignments and any other document which may be required to more fully or effectively secure to and vest in ASSIGNEE the entire right, title and interest in and to said invention, letters patent, rights, benefits and advantages hereby sold, transferred, assigned and conveyed.

IN WITNESS THEREOF, we have hereunto set our hands and seals on the dates set forth opposite our names.


Lawrence Kohls

March, 2000


Brian Clare

March 7, 2000