61010

06-06-2001



U.S. DEPARTMENT OF COMMERCE

U.S.	Patent	and I	rademark	U

Additional name(s) of conveying party(ies) attached? \(\text{LYESTER} \) Name: \(\text{CONVE} \) Internal Address: \(\text{TRADEW} \) Internal Address: \(\text{TRADEW} \) TRADEW TRADEW Internal Address: \(\text{TRADEW} \) TRADEW TRADEW TRADEW TRADEW TRADEW TRADEW TRADEW TRADEW TRADEW Street Address: \(\text{TRADEW} \) Street Address: \(\text{TRADEW} \) City: \(\text{NASSE} \) Additional name(s) & \(\text{City: NASSE} \) Execution Date: \(\text{Additional name(s)} \) & Additional name(s) & \(\text{Additional name(s)} \) & Additional name(s) & \(\text{BENTION} \) 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution of a patent Application No.(s) \(\text{BENTIONE} \) B. Patent No.(s) Total number of a concerning document should be mailed: Name: \(\text{PHILIP NOENIGE} \) Internal Address: \(\text{TEXENUSE} \) Total fee (37 CFR) Authorized to the concerning document should be mailed: The convergence of Name of Name of Name of the concerning document should be mailed: The convergence of Name of Nam	U.S. DEPARTMENT OF COMI U.S. Patent and Tradema
To the Honorable Commissioner of Patents and Trademarks: Please record the attached 1. Name of conveying party(ies): LAWRENCE KOHLS BRIAN CLARE Additional name(s) of conveying party(ges) attached? Internal Address: P.O. Box Additional name(s) & Additional name(s) & Additional name(s) & Application number(s) or patent number(s): If this document is being filed together with a new application, the execution of A. Patent Application No.(s) OG/228,909 Additional numbers attached? Internal Address: Name: PHILIP NEWIG Street Address: PITAS / KOENIG Authorized to Authorized to Street Address: PITAS / KOENIG ONOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is rue and is a true copy of the original document. PHILIP KOENIG Additional name (s) & Additional number of an account of the original document. PHILIP KOENIG Authorized to A	, , ,
2. Name and address: LAWRENCE KOHLS BRIAN CLARE Additional name(s) of conveying party(ies) attached? Internal Address: Assignment Assignment Change of Name City: NA 558 Execution Date: A Application number(s) or patent number(s): If this document is being filed together with a new application, the execution A. Patent Application No.(s) B. Patent No.(s) COJ 228, 909 Additional numbers attached? Yes No. 5. Name and address of party to whom correspondence concerning document should be mailed: Name: PHILIP NOENIC Internal Address: PITTAS KOENIC City: NA 558 Additional numbers attached? Yes No. Street Address: P.O. BOX 980 WINCHESTER City: INA 518 City: I	l original documents or copy thereof.
Additional name(s) of conveying party(ies) attached? Yes No 3. Nature of conveyance: Assignment Security Agreement Other Other City: NA 558 Execution Date: Additional name(s) & Additional number attached? No.(s) Of / 22 8, 909 Additional numbers attached? Additional numbers attached? Name: PHILIP NOENIG Internal Address: PITTAS NOENIG Street Address: PITTAS NOENIG City: MA 558 Additional name(s) & Additional numbers attached? No.(s) Additional numbers attached? No.(s) Total fee (37 CFR) Authorized to Street Address: PITTAS NOENIG City: MA 558 Additional numbers attached? No.(s) Additional numbers attached? No.(s) FITTAS NOENIG Authorized to Street Address: PITTAS NOENIG City: MA 558 Additional numbers attached? No.(s) Additional numbers attached? No.(s) Additional numbers attached? No.(s) FITTAS NOENIG Additional numbers attached? No.(s) FITTAS NOENIG Additional numbers attached? No.(s) Additional numbers attached?	ss of receiving party(ies) FRENCE WORLDWIDE
Assignment	INDS BUILDING
Additional name(s) & 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution of A. Patent Application No.(s) A Patent Application No.(s) B Patent No.(s) Additional numbers attached? Additional numbers attached	BAY STREET OX N-3813 BAHAHAS State: Zip:_
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution of A. Patent Application No.(s) B. Patent No.(s) B. Patent No.(s) Additional numbers attached? Additional number of a number of	address(es) attached? ☐ Yes 🖟
If this document is being filed together with a new application, the execution of A. Patent Application No.(s) A. Patent Application No.(s) B. Patent No.(s) B. Patent No.(s) Additional numbers attached? Free No. Additional numbers attached? Additional numbers attached? Free No. Additional numbers attached? Additional numbers attached? Free No. Additional numbers attached? Additional numbers attached? Additional numbers attached? Free No. Additional numbers attached? Addition	
A. Patent Application No.(s) O9/228,909 Additional numbers attached? Yes No. 5. Name and address of party to whom correspondence concerning document should be mailed: Name: PHILIP MOENIG Internal Address: F.O. BOX 980 Street Address: P.O. BOX 980 City: State: MA Zip: O1890 ONOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and is a true copy of the original document. PHILIP MOENIG Additional numbers attached? Yes No. 6. Total number of and the correspondence of	date of the application is:
5. Name and address of party to whom correspondence concerning document should be mailed: Name: PHILIP NOENIG Internal Address: FITAS KOENIG Street Address: P.O. BOX 980 WINCHESTER City: State: MA Zip: 01890 DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and is a true copy of the original document. PHILIP NOENIG 6. Total number of all control of all	
Concerning document should be mailed: Name: PHILIP NOENIG Internal Address: Enclosed PITTAS NOENIG Authorized to Street Address: P.O. BOX 980 City: State: MA Zip: 01890 DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and is a true copy of the original document. PHILIP NOENIG 7. Total fee (37 CFR) Renclosed 8. Deposit account of the second delication of th	pplications and patents involve
Internal Address: PiTTAS NOENIG Authorized to Street Address: NINCHESTER City: State: MA Zip: 01890 (Attach duplicate copy DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and is a true copy of the original document. PHILIP NOENIG	
Street Address: P. O. BOX 980 WINCHESTER City: State: MA Zip: 01890 DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and is a true copy of the original document. PHILIP WOENIG	(3.41)
Street Address: P.O. BOX 980 WINCHESTER City: State: MA Zip: 01890 DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and is a true copy of the original document. PHILIP WOENIG	be charged to deposit accou
City: State: MA Zip: O1890 (Attach duplicate copy DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and is a true copy of the original document. PHILIP WOENIG	number:
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and is a true copy of the original document. PHILIP MOENIG	y of this page if paying by deposit ac
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and is a true copy of the original document. PHILIP HOENIG	
	5/29/01 Date
Total number of pages including cover sheet, attachments, and Mail documents to be recorded with required cover sheet inform	mation to:
STORAL 000001/2 00228599 Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231	S

. F0:E81

47 (0)

PATENT

REEL: 011852 FRAME: 0401

ASSIGNMENT OF INVENTION AND OF PATENT APPLICATIONS

In consideration of the payment by ASSIGNEE to ASSIGNORS of the sum of One Dollar lawful money (U.S. \$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS (and Joint Inventors)

Lawrence Kohls, a citizen of the United States residing at 1033 W. Loyola Avenue, Chicago, Illinois 60626, and

Brian Clare, a citizen of the United States residing at 21805 Pleasant Grove Road, Marengo, ILL 60152,

hereby sell, assign and transfer to:

ASSIGNEE Convergence Worldwide Ltd., a corporation duly organized and existing under the laws of the island of Nevis, and having an office for the transaction of business at the Tradewinds Building, Fourth Floor, Bay Street, P.O. Box N-3813 Nassau, Bahamas, and to the successors, assigns and legal representatives of the ASSIGNEE,

the entire right, title and interest, for the United States and its territorial possessions, and in all foreign countries, including all rights to claim priority in and to any and all improvements, which are disclosed in the invention entitled:

TRADING SYSTEM FOR FIXED VALUE CONTRACTS as it is found in a United States Patent Application, Serial Number 09/228909, filed in the name of the said inventors on January 11, 1999 (and also in corresponding patent applications including United Kingdom GB patent application No. 9909914.5, filed April 29, 1999, Australian patent application No. 65355/99 filed December 21, 1999, and PCT international patent application No. PCT/US 00/00634 filed January 11, 2000).

and in and to all Letters Patent to be obtained for said invention in any country by virtue of the above patent applications or of any continuations, divisions, or substitutes thereof, and (as to letters patent) any reissues or re-examinations thereof,

TO HAVE AND TO HOLD THE SAME to the full end of the term or terms to which any and all of said letters patent may be granted;

ASSIGNORS accordingly authorize and request the Commissioner of Patents and Trademarks to issue any U.S. letters patent that may result from said applications SN 09/228909 or PCT/US 00/00634 to said Convergence Worldwide Ltd. as the assignee of the entire right, title and interest in and to the same.

ASSIGNORS hereby covenant that no assignment, sale, license, agreement or other encumbrance has been or will be made or entered into that would conflict with this assignment, and that said ASSIGNORS, and their administrators and executors, shall and will do all lawful acts and things, and make, execute and deliver, without further compensation, any and all other instruments in writing, further applications, papers, affidavits, powers of attorney, assignments and any other document which may be required to more fully or effectively secure to and vest in ASSIGNEE the entire right, title and interest in and to said invention, letters patent, rights, benefits and advantages hereby sold, transferred, assigned and conveyed.

IN WITNESS THEREOF, we have hereunto set our hands and seals on the dates set

forth opposite our names.

March .2000

RECORDED: 06/01/2001

Lawrence Kohls

are

PATENT REEL: 011852 FRAME: 0402

March 7,2000