## 

5.04	,01	IN TH	e unitel19174	1625	EMARK C	FFICE	
	In Re the Application of:  OmegaTech Inc.			Group Art Unit:  Examiner:			
	Serial No.: 09/231,899			RECORDATION OF ASSIGNMENT			
	Filed: January 14, 1999						
	Atty. File No.: 2997-34			CERTIFICATE OF MAILING			
	For: "SCHIZOCHYTRIUM PKS GENES" )  BOX ASSIGNMENTS Commissioner of Patents Washington, D.C. 20231				I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231 ON SHERIDAN ROSS P.C.  BY:  BY:		
	Dear Sir:						
	Please record the attached original documents or copy thereof:						
	1.	Name	e of conveying party(ies):			V/11 -4	
			Calgene, LLC				
	2. Name and address of receiving pa				):		
		OmegaTech, Inc. 4909 Nautilus Court North, Suite 208 Boulder, CO 80301-3242					
	3.	Natur	e of Conveyance:				
		[X] []	Assignment Security Agreement Other:	[]	Merger Change of Name	•	
		Execu	ution Date: May 4, 2001				
	<ul> <li>Application number(s) or patent number(s)</li> <li>If this document is being filed together with a new application, the execution date the application is:</li> </ul>						
		A.	Patent Application No.(s)	: 09/23	1,899		
	1000年1月1日	572151					

PATENT REEL: 011853 FRAME: 0332

- B. Patent No.(s): None.
- 5. Name and address of party to whom correspondence concerning documents should be mailed:

Angela Dallas-Pedretti Sheridan Ross P.C. 1560 Broadway, Suite 1200 Denver, Colorado 80202-5141

- 6. Total number of applications and patents involved: 1.
- 7. Total fee (37 CFR 3.41).....\$40.00.
  - [X] Enclosed
  - [X] Any deficiency in payment of recording fee is authorized to be charged to Deposit Account.
  - [] Authorized to be charged to deposit account.
- 8. Deposit account number: 19-1970.
- 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Total number of pages including cover sheet, attachments and document: 5

Respectfully submitted,

SHERIDAN ROSS P.C.

Bv:

Angela Dallas-Pedretti Registration No. 42,460

1560 Broadway, Suite 1200

Denver, CO 80202-5141

(303) 863-9700

Date: May 29, 2001

Allas-Pedretti.

## ASSIGNMENT OF PATENT APPLICATION RIGHTS

Calgene, LLC, a corporation organized and existing under the laws of Delaware, having a place of business at 1920 Fifth Street, Davis, CA (hereinafter called "Assignor"), hereby assigns certain rights to OmegaTech, Inc, a corporation organized and existing under the laws of Colorado, having a place of business at 4909 Nautilus Court North, Boulder CO 80302 (hereinafter called the "Assignee"):

WHEREAS as of May 10, 2000 Assignor was the owner of the following Applications:

## APPLICATION(S):

Patent Application Serial No.: 09/231,899 Filed: January 14, 1999

TITLE: SCHIZOCHYTRIUM PKS GENES

AND WHEREAS Assignor has agreed with Assignee for the transfer to it of the whole right, title and interest in said Application.

NOW THEREFORE, to all to whom it may concern, be it known that, for and in consideration of One Dollar and other good and valuable considerations, paid by Assignee to Assignor, the receipt and sufficiency whereof are hereby acknowledged, Assignor hereby assigns and transfers to Assignee and Assignee's legal representatives, successors and assigns, the entire right, title and interest in and to said Inventions and Applications, including continuations, continuation-in-part, divisional, reissues and reexaminations, and the letters patent, both foreign and domestic, that may or shall issue thereon; and Assignor does hereby authorize and request the Director of Patents and Trademarks to issue said letters patent to the above-mentioned Assignee agreeably with the terms of this assignment.

ASSIGNOR hereby covenants that Assignor has full right to convey the entire interest herein being assigned and represents that Assignor has not executed and will not execute any agreement inconsistent with this Assignment or to the detriment of the applications, and inventions being assigned hereby.

AND for the same consideration, Assignor hereby covenants and agrees to and with Assignee, its successor, legal representatives and assigns, that, as of May 10, 2000, Assignor is the sole and lawful owner of the entire right, title, and interest in and to the said inventions and the Applications above-mentioned, and that the same are unencumbered and that assignor has

PATENT

REEL: 011853 FRAME: 0334

good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, Assignor hereby covenants and agrees to and with Assignee, its successors, legal representatives and assigns, that Assignor will, whenever counsel of Assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or that any division, continuation or continuation-in-part of any letters patent, to be obtained therein, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to Assignor, its successors, legal representatives and assigns, but at the cost and expense of the Assignee, its successors, legal representatives and assigns.

IN WITNESS WHEREOF, Assignor has caused these presents to be executed by its officers thereunto duly authorized and its corporate seal to be affixed this day of April, 2001. This assignment is effective as of May 10, 2000.

\_\_\_\_ Calgene, LLC

By Blus Pocher

Printed Name: Dennis R. Hoerner, Jr.

Printed Title: Assistant Secretary

ATTEST:

Assistant Secretary

PATENT

**REEL: 011853 FRAME: 0335** 

## <u>ACKNOWLEDGEMENT</u>

State of Mo )

) SS.

On this day of April, 2001, before me personally appeared

bonnie P. Hoesnee Tr., to me known, who, being by me duly sworn, did depose and say that he is Activated Secretary of Calgene, LLC, the corporation described in and which executed the above instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation; and that he signed his name thereto by like order.

My Commission Expires:

JUDITH A LORENZ St. Louis County My Commission Expires: November 20, 2003

(Notarial Seal)

Accepted by Omegatech, Inc.

By Robert M. LiSeyn:

Title: VP, SECRETHRY & GENORAL COUNSEL

**PATENT** 

REEL: 011853 FRAME: 0336

RECORDED: 06/04/2001