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U.S. Department of Commerce

Patent and Trademark Office

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Robert W. Bosley**

Additional name(s) of conveying party(ies) attached?

Yes ☐ No ☒

2. Name and address of receiving party(ies):

**Capstone Turbine Corporation**

Street Address: 6430 Independence Avenue

City / State: Woodland Hills, CA 91367

3. Nature of conveyance:

☒ Assignment
 ☐ Security Agreement  
☐ Merger
 ☐ Change of Name

Execution Date:

3/01/01

Additional name(s) of receiving party(ies) attached?

Yes ☐ No ☒

4. Application number(s) or Patent Number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

08/229, 205

B. Patent No.(s)

5,497,615

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning documents should be mailed:

Name: **Rachele Wittwer**Address: **Irell & Manella LLP  
1800 Avenue of the Stars  
Suite 900**City: **Los Angeles**State: **CA**Zip: **90067**

6. Total no. of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00
☒ Enclosed  
☐ Authorized to charge to deposit account
8. Deposit account number: **09-0946****DO NOT USE THIS SPACE**9. **Statement and signature:** To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name of person signing

ROBERT POPA

Signature

May 23, 2001

Date

Total number pages including cover sheet, attachments, and documents: 3

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**ASSIGNMENT**

This assignment is made by **Robert W. Bosley** Assignor, to **Capstone Turbine Corporation**, Assignee, having a place of business at **6430 Independence Avenue Woodlands Hills, CA 91367**.

WHEREAS, Assignors have invented a new and useful invention entitled **COMPLIANT FOIL HYDRODYNAMIC FLUID FILM RADIAL BEARING** for which an application for United States Letters Patent



**filed April 18, 1994** and given Application No. **08/229,205**  
is being filed herewith; and

WHEREAS, Assignors believe themselves to be the original, first and sole inventor of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and of other good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign and transfer to Assignee, the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights in which such applications are entitled under international conventions, treaties, or otherwise.

Further, Assignor agrees that, upon request and without further compensation, but at no expense to Assignor, his legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignor represents and warrants that he has not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignor authorizes and requests the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as to the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignor has executed this Assignment on this first day of March, 2001.

ASSIGNOR,

Robert W. Bosley

Robert W. Bosley

State of California )

County of Los Angeles ) ss

On this 1<sup>st</sup> day of March, 2001, before me personally appeared Robert W. Bosley personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person or the entity upon behalf of which the person acted executed the instrument.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal the day and year in this certificate first above written.

Debbie Bernard

Notary Public

