Substitute Form PTO-1595 Attorney Docket No.: 12361-004001

06-08-2001 THE REPORT OF THE PERSON OF THE PERSON

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'ER SHEET

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Commissioner for Patents: Please record the attached original docur	
Name of conveying party(ies): Name of conveying party(ies):	2. Name and address of receiving party(ies):
X. Steve Yao	GENERAL PHOTONICS CORPORATION 13766 Arapahoe Place Chino, California 91710
Additional name(s) attached? ☐ Yes 図 No	
3. Nature of conveyance:	
☑ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:	MRD 6/4/01
Execution Date: 5/10/01	Additional names/addresses attached? ☐ Yes ☑ No
4. Application number(s) or patent number(s):	
If this document is being filed with a new application, the executi	on date of the application is:
A. Patent Application No(s).:	B: Patent No(s).:
	5,978,125
Additional numbers	attached? □ Yes ☒ No
Name/address of party to whom correspondence concerning document should be mailed:	6. Total number of applications/patents involved: 1
BING AI	7. Total fee (37 CFR §3.41): \$40
Fish & Richardson P.C. 4350 La Jolla Village Drive, Suite 500 San Diego, CA 92122	图 Enclosed □ Authorized to charge Deposit Account.
	8. Deposit Account No.: 06-1050
	Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.
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9. Statement and Signature: To the best of my knowledge a any attached copy is a true copy of the original docume	nd belief, the foregoing information is true and correct and ent
any dilution dopy to a series 1.7	
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Reg. No. 43,312 Name of Person Signing Signature	Date
Name of Ferson organing	Total number of pages including coversheet, attachments and document: 2

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner of Patents, Washington, D.C. 20231.

May 22, 2001 Date of Deposit

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Signature

Nancy Grant
Typed Name of Person Signing Certificate

PATENT REEL: 011855 FRAME: 0936

ASSIGNMENT

For valuable consideration, I, X. STEVE YAO of Diamond Bar, California, hereby assign to: General Photonics Corporation, a California corporation having a place of business at: 13766 Arapahoe Place, Chino, California 91710; and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by me, entitled COMPACT PROGRAMMABLE PHOTONIC VARIABLE DELAY DEVICES, filed November 30, 1995, and assigned U.S. Serial Number <u>08/564,920</u>, issued November 2, 1999, and assigned U.S. Patent Number 5,978,125; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

Date: $\frac{5/16/61}{}$

RECORDED: 06/04/2001

X. STEVE YAO

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REEL: 011855 FRAME: 0937