

Form-PTO-1595
1-31-92

TRANSMITTAL OF

06-07-2001

Atty. Docket: 2047.144

To the Director, U.S. Patent and Trademark Office

ments or copy thereof.

1. Name of conveying party(ies):

STAN BOWER, ELLEN BURKE, NANCY E. HARDING,
YAMINI N. PATEL, J. CARRIE SCHNEIDER, DAGMAR
MEISSNER, NEIL A. MORRISON and RALPH MORRISON.

Additional name(s) of conveying party(ies) attached?

☐ Yes ☐ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: April 17, 2001, April 12, 2001, April 17,
2001, April 17, 2001, April 16, 2001, April 26, 2001, April 17,
2001 and April 17, 2001, respectively.

Name: CP KELCO U.S., INC.

Foreign Address: 1313 North Market Street,

Wilmington, Delaware 19894-0001

Domestic Address:

City: State ZIP

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application Number: 09/798,642

Filing Date: March 2, 2001

B. Title of Invention: MUTANT BACTERIAL STRAINS
OF THE GENUS SPHINGOMONAS DEFICIENT IN
PRODUCTION OF POLYHYDROXYBUTYRATE AND A
PROCESS OF CLARIFICATION OF SPHINGANS AND
COMPOSITIONS THEREOF.

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence
concerning document should be mailed:

Name: Fitzpatrick, Cella, Harper & Scinto

30 Rockefeller Plaza

New York, New York 10112-3801

Telephone No.: (212) 218-2100

Facsimile No.: (212) 218-2200

6. Number of applications and patents involved:

One

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number (for deficiency or excess)

06-1205

(Attach duplicate copy of this page if paying by deposit account):

06/07/2001 6TON11 00000039 09798642

01 FC:581

40.00 DP

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and the attached is the original document or is a true copy of the original document.

Raymond R. Mandra (Reg. No. 34,382)

Name of Person Signing

Raymond R. Mandra

Signature

May 31, 2001

Date

Total number of pages including cover sheet, attachments, and documents: 10

ASSIGNMENT

FOR VALUE RECEIVED, WE, STAN BOWER, a citizen of the United States of America; ELLEN BURKE, a citizen of the United States of America; NANCY E. HARDING, a citizen of the United States of America; YAMINI N. PATEL, a citizen of the United States of America; J. CARRIE SCHNEIDER, a citizen of the United States of America; DAGMAR MEISSNER, a citizen of Germany; NEIL A. MORRISON, a citizen of the United Kingdom; and RALPH BEZANSON, a citizen of the United States of America,

residing, respectively, at

3276 Caminito Eastbluff #101, La Jolla, California 92037;
 3051 Cowley Way #23, San Diego, California 92117;
 9038 Ellingham Street, San Diego, California 92129;
 8070 Los Sabalos Street, San Diego, California 92126;
 2621 32nd Street, San Diego, California 92104;
 1661 Beryl Street, San Diego, California 92109;
 12332 Briardale Way, San Diego, California 92128; and
 P.O. Box 636, Alpine, California 91903,

hereby sell, assign, transfer and convey unto **CP KELCO U.S., INC.,**

a corporation of Delaware,

having a place of business at 1313 North Market Street, Wilmington, Delaware 19894-0001;

its successors, assigns and legal representatives (hereinafter called the "Assignee"), the entire right, title and interest, for all countries, in and to certain inventions relating to

MUTANT BACTERIAL STRAINS OF THE GENUS SPHINGOMONAS DEFICIENT IN PRODUCTION OF POLYHYDROXYBUTYRATE AND A PROCESS OF CLARIFICATION OF SPHINGANS AND COMPOSITIONS THEREOF

and described in an application for Letters Patent of the United States, filed on March 2, 2001 as Application No. 09/798,642; in and to said applications, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted, thereon, and all reissues and extensions thereof, and all applications for Letters Patent or other grants of protection of proprietary rights including, but not limited to, inventor's certificates, utility models, utility certificates, patents of importation, registrations of patent and industrial design registrations which may be filed, and which may be granted, upon said inventions in any countries or regions foreign to the United States, and all reissues, renewals and extensions thereof; and we hereby authorize and request the Commissioner for Patents and Trademarks of the United States, and all officials of countries or regions foreign to the United States having authority so to do, to issue all such Letters Patent or other grants of protection upon said inventions to the Assignee or to such nominees as it may designate.

AND we authorize and empower the said Assignee or nominees to invoke and claim for any application for such Letters Patent or other grants of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by a convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

AND we hereby consent that a copy of this assignment shall be deemed a full and formal equivalent of any assignment, consent to file or like document which may be required in any country or region for any purpose and more particularly in proof of the right of the said Assignee or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

AND we hereby covenant that we have the full right to convey the entire right, title and interest herein assigned and that we have not executed and will not execute any agreement in conflict herewith.

AND we hereby covenant and agree that we will communicate to said Assignee or nominees all facts known to us pertaining to said inventions, and testify in all legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and declarations and in general perform all lawful acts necessary or proper to aid said Assignee or nominees in obtaining, maintaining and enforcing all lawful patent or other grants of protection of said inventions in any and all countries and regions.

IN TESTIMONY WHEREOF, we hereunto set our hands and seals this 17th day of April, 2001.

Stanley J. Bower L.S.
STAN BOWER

ELLEN BURKE L.S.

Nancy E. Harding L.S.
NANCY E. HARDING

Yamini N. Patel L.S.
YAMINI N. PATEL

J. CARRIE SCHNEIDER L.S.

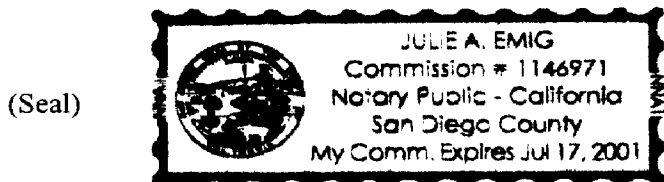
DAGMAR MEISSNER L.S.

Neil A. Morrison L.S.
NEIL A. MORRISON

Ralph Bezanon L.S.
RALPH BEZANSON

STATE OF CALIFORNIA)
)
) SS.:
COUNTY OF SAN DIEGO)

On this 17th day of April, 2001, before me personally appeared NANCY E. HARDING, YAMINI N. PATEL, NEIL A. MORRISON and RALPH BEZANSON, known to me or proved to me on the basis of satisfactory evidence to be the persons whose names are subscribed to the within instrument and who thereupon acknowledged to me that they executed the same for the purposes therein set forth.



Julie A. Emig
Notary Public
(Notary Stamp)

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

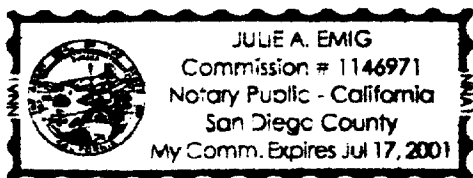
State of California

County of San Diego

On 4/17/01 before me, Julie A. Emig, Notary Public
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Stan Bowes
Name(s) of Signer(s)

☒ personally known to me – OR – ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Julie A. Emig
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: Assignment

Document Date: 4/17/01 Number of Pages: _____

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer
Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney-in-Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer
Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney-in-Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

ASSIGNMENT

FOR VALUE RECEIVED, WE, STAN BOWER, a citizen of the United States of America; ELLEN BURKE, a citizen of the United States of America; NANCY E. HARDING, a citizen of the United States of America; YAMINI N. PATEL, a citizen of the United States of America; J. CARRIE SCHNEIDER, a citizen of the United States of America; DAGMAR MEISSNER, a citizen of Germany; NEIL A. MORRISON, a citizen of the United Kingdom; and RALPH BEZANSON, a citizen of the United States of America,

residing, respectively, at

3276 Caminito Eastbluff #101, La Jolla, California 92037;
 3051 Cowley Way #23, San Diego, California 92117;
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 8070 Los Sabalos Street, San Diego, California 92126;
 2621 32nd Street, San Diego, California 92104;
 1661 Beryl Street, San Diego, California 92109;
 12332 Briardale Way, San Diego, California 92128; and
 P.O. Box 636, Alpine, California 91903,

hereby sell, assign, transfer and convey unto **CP KELCO U.S., INC.,**

a corporation of Delaware,

having a place of business at 1313 North Market Street, Wilmington, Delaware 19894-0001;

its successors, assigns and legal representatives (hereinafter called the "Assignee"), the entire right, title and interest, for all countries, in and to certain inventions relating to

MUTANT BACTERIAL STRAINS OF THE GENUS SPHINGOMONAS DEFICIENT IN PRODUCTION OF POLYHYDROXYBUTYRATE AND A PROCESS OF CLARIFICATION OF SPHINGANS AND COMPOSITIONS THEREOF

and described in an application for Letters Patent of the United States, filed on March 2, 2001 as Application No. 09/798,642; in and to said applications, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted, thereon, and all reissues and extensions thereof, and all applications for Letters Patent or other grants of protection of proprietary rights including, but not limited to, inventor's certificates, utility models, utility certificates, patents of importation, registrations of patent and industrial design registrations which may be filed, and which may be granted, upon said inventions in any countries or regions foreign to the United States, and all reissues, renewals and extensions thereof; and we hereby authorize and request the Commissioner for Patents and Trademarks of the United States, and all officials of countries or regions foreign to the United States having authority so to do, to issue all such Letters Patent or other grants of protection upon said inventions to the Assignee or to such nominees as it may designate.

AND we authorize and empower the said Assignee or nominees to invoke and claim for any application for such Letters Patent or other grants of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by a convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

AND we hereby consent that a copy of this assignment shall be deemed a full and formal equivalent of any assignment, consent to file or like document which may be required in any country or region for any purpose and more particularly in proof of the right of the said Assignee or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

AND we hereby covenant that we have the full right to convey the entire right, title and interest herein assigned and that we have not executed and will not execute any agreement in conflict herewith.

AND we hereby covenant and agree that we will communicate to said Assignee or nominees all facts known to us pertaining to said inventions, and testify in all legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and declarations and in general perform all lawful acts necessary or proper to aid said Assignee or nominees in obtaining, maintaining and enforcing all lawful patent or other grants of protection of said inventions in any and all countries and regions.

IN TESTIMONY WHEREOF, we hereunto set our hands and seals this 12 day of April, 2001.

STAN BOWER L.S.

Ellen Burke 4-12-01 L.S.
ELLEN BURKE

NANCY E. HARDING L.S.

YAMINI N. PATEL L.S.

J. CARRIE SCHNEIDER L.S.


DAGMAR MEISSNER L.S.

NEIL A. MORRISON L.S.

RALPH BEZANSON L.S.

STATE OF CALIFORNIA)
COUNTY OF SAN DIEGO) ss.:

On this 12th day of April, 2001, before me personally appeared ELLEN BURKE, known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and who thereupon acknowledged to me that she executed the same for the purposes therein set forth.

(Seal) 

Annie Y. Sueyoshi
Notary Public
(Notary Stamp)

ASSIGNMENT

FOR VALUE RECEIVED, WE, STAN BOWER, a citizen of the United States of America; ELLEN BURKE, a citizen of the United States of America; NANCY E. HARDING, a citizen of the United States of America; YAMINI N. PATEL, a citizen of the United States of America; J. CARRIE SCHNEIDER, a citizen of the United States of America; DAGMAR MEISSNER, a citizen of Germany; NEIL A. MORRISON, a citizen of the United Kingdom; and RALPH BEZANSON, a citizen of the United States of America,

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 P.O. Box 636, Alpine, California 91903,

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a corporation of Delaware,

having a place of business at 1313 North Market Street, Wilmington, Delaware 19894-0001;

its successors, assigns and legal representatives (hereinafter called the "Assignee"), the entire right, title and interest, for all countries, in and to certain inventions relating to

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AND we authorize and empower the said Assignee or nominees to invoke and claim for any application for such Letters Patent or other grants of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by a convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

AND we hereby consent that a copy of this assignment shall be deemed a full and formal equivalent of any assignment, consent to file or like document which may be required in any country or region for any purpose and more particularly in proof of the right of the said Assignee or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

AND we hereby covenant that we have the full right to convey the entire right, title and interest herein assigned and that we have not executed and will not execute any agreement in conflict herewith.

AND we hereby covenant and agree that we will communicate to said Assignee or nominees all facts known to us pertaining to said inventions, and testify in all legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and declarations and in general perform all lawful acts necessary or proper to aid said Assignee or nominees in obtaining, maintaining and enforcing all lawful patent or other grants of protection of said inventions in any and all countries and regions.

IN TESTIMONY WHEREOF, we hereunto set our hands and seals this 16 day of April, 2001.

STAN BOWER L.S.

ELLEN BURKE L.S.

NANCY E. HARDING L.S.

YAMINI N. PATEL L.S.

Jane C Schneider
J. CARRIE SCHNEIDER L.S.

DAGMAR MEISSNER L.S.

NEIL A. MORRISON L.S.

RALPH BEZANSON L.S.

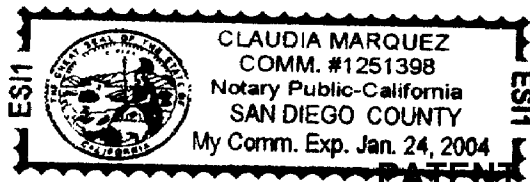
STATE OF CALIFORNIA)
) ss.:
COUNTY OF SAN DIEGO)

On this 16 day of April, 2001, before me personally appeared J. CARRIE SCHNEIDER, known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and who thereupon acknowledged to me that she executed the same for the purposes therein set forth.

(Seal)

Claudia Marquez
Notary Public
(Notary Stamp)

CA_MAIN 20578 v 1



ASSIGNMENT

FOR VALUE RECEIVED, WE, STAN BOWER, a citizen of the United States of America; ELLEN BURKE, a citizen of the United States of America; NANCY E. HARDING, a citizen of the United States of America; YAMINI N. PATEL, a citizen of the United States of America; J. CARRIE SCHNEIDER, a citizen of the United States of America; DAGMAR MEISSNER, a citizen of Germany; NEIL A. MORRISON, a citizen of the United Kingdom; and RALPH BEZANSON, a citizen of the United States of America,

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IN TESTIMONY WHEREOF, we hereunto set our hands and seals this 26th day of April, 2001.

STAN BOWER L.S.

ELLEN BURKE L.S.

NANCY E. HARDING L.S.

YAMINI N. PATEL L.S.

J. CARRIE SCHNEIDER L.S.

Dagmar Meissner
DAGMAR MEISSNER L.S.

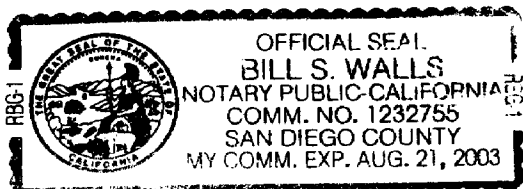
NEIL A. MORRISON L.S.

RALPH BEZANSON L.S.

STATE OF CALIFORNIA)
COUNTY OF SAN DIEGO) : ss.:

On this 26th day of APRIL, 2001, before me personally appeared DAGMAR MEISSNER, known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and who thereupon acknowledged to me that she executed the same for the purposes therein set forth.

(Seal)



Bill S. Walls
Notary Public
(Notary Stamp)

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