

ATTORNEY DOCKET NO. 1016	
FORM PTO-1595	RECORDATION FORM COVER SHEET PATENTS ONLY
U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.	
1. Name of conveying party(ies): Carl W. Garnaat; Keith S. Lowe; Bradley A. Roth Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies) Pioneer Hi-Bred International, Inc. 800 Capital Square 400 Locust Street Des Moines, Iowa 50309 Additional name(s) and address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Security Agreement <input type="checkbox"/> Other </div> <div style="width: 45%;"> <input type="checkbox"/> Merger <input type="checkbox"/> Change of Name </div> </div>	
Execution Date: <u>August 13, 2001; August 14, 2001; August 8, 2001</u>	
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> A. Patent Application No.(s) 09/905,558 </div> <div style="width: 45%;"> B. Patent No.(s) </div> </div> Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
5. Name and address of party to whom correspondence concerning document should be mailed: Karen K. Varley Pioneer Hi-Bred International, Inc. Corporate Intellectual Property 7100 N.W. 62 nd Avenue P.O. Box 1000 Johnston, Iowa 50131-1000	6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 3.41) <u>\$40.00</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: <u>16-1852</u> . (Attach a duplicate copy of this page if paying by deposit account)
DO NOT USE THIS SPACE	
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="width: 30%;"> <u>Karen K. Varley</u> Name of Person Signing </div> <div style="width: 30%; text-align: center;"> <u>Karen K Varley</u> Signature </div> <div style="width: 30%; text-align: center;"> <u>August 15, 2001</u> Date </div> </div>	
Total number of pages including cover sheet, attachments, and document: <u>4</u>	

CASE NO. 1016

ASSIGNMENT - WORLDWIDE

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, and in fulfillment of a pre-existing obligation of assignment, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, as indicated below, unto

PIONEER HI-BRED INTERNATIONAL, INC.
800 Capital Square
400 Locust Street
Des Moines, Iowa 50309

its successors and assigns, the entire right, title and interest, so far as concerns the United States and the Territories and Possessions thereof and all foreign countries in and to the invention in **"ZmAXIG1 Polynucleotides and Methods of Use"**

as set forth in this United States Patent Application

☐ executed concurrently herewith
☐ executed on
☒ Serial No. 09/905,558 filed July 13, 2001

said application for United States Letters Patent, including all divisional, renewal, substitute, continuation and Convention applications based in whole or in part upon said inventions or upon said applications, and any and all Letters Patent and reissues and extensions of Letters Patent granted for said inventions or upon said applications and every priority right that is or may be predicated upon or arise from said inventions, said applications, and said Letters Patent; said Assignee being hereby authorized to file patent applications in any or all countries on any or all said inventions in the name of the undersigned or in the name of said Assignee or otherwise as said Assignee may deem advisable, under the International Convention or otherwise; the Commissioner of Patents and Trademarks of the United States of America being hereby authorized to issue or transfer all said Letters Patent to said Assignee in accordance herewith; this assignment being under covenant, not only that full power to make the same is had by the undersigned, but also that such assigned right is not encumbered by any grant, license, or other right theretofore given, and that the undersigned will do all acts reasonably serving to ensure that the said inventions, patent applications and Letters Patent shall be held and enjoyed by said Assignee as fully and entirely as the same could have been held and enjoyed by the undersigned if this assignment had not been made, and particularly to execute and deliver to said Assignee all lawful documents including petitions, specifications, oaths, assignments, invention disclaimers, and lawful affidavits in form and substance which may be requested by said Assignee, to furnish said Assignee with all facts relating to said inventions or the history thereof and any and all documents, photographs, models, samples or other physical exhibits which may be of said inventions, and to testify in any proceedings relating to said inventions, patent applications, Letters Patent.

The undersigned hereby grant an authorized representative of Assignee the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

Date August 13, 2001

Carl W. Garnaat
Carl W. Garnaat

State of Iowa)

County of Polk)

ss.

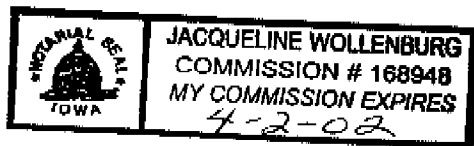
I certify that I know or have satisfactory evidence that Carl W. Garnaat is the person who appeared before me and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated August 13, 2001

Signature of Jacqueline Wollenburg
Notary Public

Printed Name Jacqueline Wollenburg

My appointment expires 4-2-02



Date 8/14/01

Keith S. Lowe
Keith S. Lowe

State of Iowa)

County of Polk)

ss.

I certify that I know or have satisfactory evidence that Keith S. Lowe is the person who appeared before me and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated August 14, 2001

Signature of Jacqueline Wollenburg
Notary Public

Printed Name Jacqueline Wollenburg

My appointment expires 4-2-02

