

06-08-2001

HEET

Case 4-31829/A SKB 1346

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

OMB No. 0651-0011 (exp. 4/94)



101742176

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

SmithKline Beecham p.l.c. *5-30-01*

2. Name and address of receiving party(ies)

Name: Novartis International Pharmaceutical Ltd

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Street Address: 48 Church StreetCity: Hamilton HM 12 State: Bermuda ZIP: _____Execution Date March 14, 2001Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/101,926

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas HoxieInternal Address: Novartis CorporationPatent and Trademark Dept.Street Address: 564 Morris AvenueCity: Summit State: NJ ZIP: 07901-10276. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)

\$ 40☐ Enclosed☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-0134 (in the name of Novartis Corporation)

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Susan HessName of Person Signing
Reg. No. 37,350*Susan Hess*
SignatureMay 30, 2001

Date

☐ Certificate of mailing on reverse side

06/08/2001 AAHMED1 00000015 190134 09101926

Total number of pages including cover sheet, attachments, and document: 2

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40.00 CH

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231PATENT
REEL: 011859 FRAME: 0120

ASSIGNMENT

Whereas, SmithKline Beecham p.l.c., New Horizons Court, Brentford, Middlesex TW8 9EP, United Kingdom (hereinafter "SB"), is the assignee and legal owner of the entire right, title and interest in United States Patent Application No. 09/101,926;


Whereas, Novartis International Pharmaceutical Ltd., Sofia House, 48 Church Street, Hamilton HM 12, Bermuda (hereinafter "NIP"), a corporation of Bermuda, wishes to acquire the entire right, title and interest in United States Patent Application No. 09/101,926;

Now, therefore, for good and valuable consideration, the receipt of which is hereby acknowledged, SB hereby sells, transfers, conveys and assigns to NIP its entire right, title and interest in United States Patent Application No. 09/101,926, including the right to apply for letters patent based on said application, and any divisionals and continuations of said application, as well as all letters patent issuing therefrom, and all extensions, reissues, and reexaminations of said letters patent, to the full end of the term or terms for which said letters patent, divisionals, continuations, extensions, reissues, and reexaminations may be issued, the same to be held and enjoyed by NIP for its own use and benefit and for the use and benefit of its successors and assigns, to be used as fully and entirely as said rights would have been held and enjoyed by SB if this assignment had not been made;

SB hereby authorizes the Commissioner of Patents of the United States and other empowered officials of the United States Patent and Trademark Office to transfer said patent application to NIP as assignee of the entire right, title and interest therein or otherwise as NIP may direct, in accordance with this Patent Assignment, and to issue to NIP or as NIP may direct all letters patent and other items referred to above which may issue with respect to said patent application, in accordance with this Patent Assignment;

In witness whereof, SB has caused its duly authorized corporate officer to execute this Assignment effective as of 20 December 2000.

SmithKline Beecham p.l.c.

By 
Name: David Roberts
Title: Senior Vice President
Corporate Intellectual Property

Date 14/03/01