

08-13-2001

Form PTO-1595
(Rev. 03/01)

REC

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OMB No. 0651-0027 (exp. 5/31/2002)

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Doering, Kenneth
Seidel, Thomas E.
Gadgil, Prasad N.MRD
8/10/01

2. Name and address of receiving party(ies)

Name: Genus, Inc.

Internal Address: _____

Street Address: 1139 Karlstad Drive

City: Sunnyvale State: CA Zip: 94089

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: July 29, 2001

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)
09/225,081

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Boys, Donald R.

Internal Address: _____

Street Address: P.O. Box 187

City: Aromas State: CA Zip: 95004

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

50-0534

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark A. Boys

Name of Person Signing

Signature

08/09/2001

Date

Total number of pages including cover sheet, attachments, and documents: 5

08/13/2001 GT0N11 00000087 09225081

Mail documents to be recorded with required cover sheet information to:

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RECORDATION FORM COVER SHEET - ATTACHMENT

1. Additional Names of conveying party(ies):

Galewski, Carl J.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Additional Names and address of receiving party(ies):

Name: _____

Name: _____

Internal Address: _____

Internal Address: _____

Street Address: _____

Street Address: _____

City: _____ State: _____ Zip: _____

City: _____ State: _____ Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Additional Application number(s) or patent number(s):

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

ASSIGNMENT

For good and valuable consideration, receipt of which is hereby acknowledged, I, **Kenneth Doering, Carl J. Galewski, Prasad N. Gadgil, and Tom Seidel**, do hereby sell, assign and transfer unto **Genus, Inc.**, (a California Corporation having its principal place of business **1139 Karlstad Drive, Sunnyvale, CA 94089**, hereinafter called the "Assignee"), its successors and assigns, the entire world-wide title, interest and right, including the right of priority controlled by me, in, to and under Letters Patent of the United States, the patent application, docket number **P374**, entitled "**Processing Chamber for Atomic Layer Deposition Processes**", which bears the serial number **09/225,081**, filed **01/04/1999**, and the inventions and any of them therein set forth and described, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefore based on the original application for said U.S. Letters Patent; and

For the above consideration I agree promptly upon request of the Assignee, its successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional or reissue, or other papers which may be necessary or desirable fully to secure to the Assignee, its successors and assigns, the inventions and any of them, described in said application and all patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of appeal and interference proceedings involving said inventions and in the adjudication or re-examination of said Letters Patent provided the expenses which may be incurred by us in lending such cooperation and assistance be paid by the Assignee.

IN WITNESS WHEREOF, I hereunto set my hand and seal:

Kenneth B Doering 10.27.00
Kenneth Doering Date

Prasad N. Gadgil Date

Carl J. Galewski Date

Thomas E Seidel 01.26.2000
Tom Seidel Date

State of (CALIFORNIA)

County of (SUNNYVALE)

ASSIGNMENT 374

For good and valuable consideration, receipt of which is hereby acknowledged, I, **Prasad N. Gadgil**, do hereby sell, assign and transfer unto **Genus, Inc.**, (a California Corporation having its principal place of business **1139 Karlstad Drive, Sunnyvale, CA 94089**, hereinafter called the "Assignee"), its successors and assigns, the entire world-wide title, interest and right, including the right of priority controlled by me, in, to and under Letters Patent of the United States, the patent application, docket number **P374**, entitled "**Processing Chamber for Atomic Layer Deposition Processes**", which bears the serial number **09/225,081**, filed **01/04/1999**, and the inventions and any of them therein set forth and described, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefore based on the original application for said U.S. Letters Patent; and

For the above consideration I agree promptly upon request of the Assignee, its successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional or reissue, or other papers which may be necessary or desirable fully to secure to the Assignee, its successors and assigns, the inventions and any of them, described in said application and all patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of appeal and interference proceedings involving said inventions and in the adjudication or re-examination of said Letters Patent provided the expenses which may be incurred by us in lending such cooperation and assistance be paid by the Assignee.

IN WITNESS WHEREOF, I hereunto set my hand and seal:

Prasad N. Gadgil
Prasad N. Gadgil

07/29/2001
Date

State of (CALIFORNIA)

County of (SUNNYVALE)

This application is granted on 01/16/2001. The US patent # 6,174,377 is issued.

Prasad N. Gadgil

PATENT


REEL: 011859 FRAME: 0757

ASSIGNMENT 374

For good and valuable consideration, receipt of which is hereby acknowledged, I, **Carl J. Galewski**, do hereby sell, assign and transfer unto **Genus, Inc.**, (a California Corporation having its principal place of business **1139 Karlstad Drive, Sunnyvale, CA 94089**, hereinafter called the "Assignee"), its successors and assigns, the entire world-wide title, interest and right, including the right of priority controlled by me, in, to and under Letters Patent of the United States, the patent application, docket number **P374**, entitled "**Processing Chamber for Atomic Layer Deposition Processes**", which bears the serial number **09/225,081**, filed **01/04/1999**, and the inventions and any of them therein set forth and described, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefore based on the original application for said U.S. Letters Patent; and

For the above consideration I agree promptly upon request of the Assignee, its successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional or reissue, or other papers which may be necessary or desirable fully to secure to the Assignee, its successors and assigns, the inventions and any of them, described in said application and all patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of appeal and interference proceedings involving said inventions and in the adjudication or re-examination of said Letters Patent provided the expenses which may be incurred by us in lending such cooperation and assistance be paid by the Assignee.

IN WITNESS WHEREOF, I hereunto set my hand and seal:



Carl J. Galewski

6/28/01
Date

State of (CALIFORNIA)

County of (SUNNYVALE)