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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

6-421
HOUGH, Walter J.
LI, Cheng G.
ZHOU, Ying

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: May 23, 2001

2. Name and address of receiving party(ies)

Name: Cummins Engine Company, Inc.

Internal Address: _____

Street Address: 500 Jackson StreetCity: Columbus State: Indiana Zip: 47202Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 09/690,495

B. Patent No.(s) _____

Additional number(s) attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: C. John Brannon, Esq.

Internal Address: _____

Woodard, Emhardt, Naughton, Moriarty & McNettStreet Address: Bank One Center/Tower111 Monument Circle, Suite 3700City: Indianapolis State: Indiana Zip: 46204

6. Total number of applications and patents involved

1

7. Total fee (37 CFR 3.41) _____ \$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

23-3030

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

C. John Brannon, Reg. No. 44,557

Name of Person Signing

Signature

May 30, 2001

Date

Total number of pages including cover sheet, attachments, and document:

7

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patent & Trademarks, Box Assignments
Washington, D.C. 20231

06/07/2001 AAHME1 00000191 09690495

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PATENT
REEL: 011859 FRAME: 0959

ASSIGNMENT

WHEREAS, Walter J. Hough, 5514 Stoneview Drive, John's Island, South Carolina, 29455; Cheng G. Li of 3439 Victoria Avenue, Columbus, Indiana 47203; and Ying Zhou of 16240 SW Snapdragon Lane, Tigard, Oregon 97223, hereinafter referred to as "Assignor," has made a new and useful invention in FILTER SYSTEM FOR THE REMOVAL OF HYDROCARBON DEPOSITS FROM A COOLED EXHAUST GAS RECIRCULATING ENGINE, for which Assignor has made application for Letters Patent of the United States; and

WHEREAS, Cummins Engine Company, Inc., hereinafter referred to as Assignee, a corporation of the State of Indiana, having a principal place of business at 500 Jackson Street, Columbus, Indiana 47202, desires to acquire all of the entire right, title, and interest in, to and under said Invention disclosed, described and/or claimed, or intended so to be in said application, and in, to and under said application, and in, to and under any and all Letters Patent, United States and foreign, which may be obtained therefor and thereon;

NOW, THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar (\$1.00) and other good, valuable and sufficient considerations to Assignor in hand paid, the receipt of which is hereby acknowledged, Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer unto Assignee, all of the entire right, title, and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and in, to and under said application for United States Letters Patent executed by Assignor this ____ day of _____, 200__, and any and all other applications thereon and arising therefrom, including any and all divisions and continuations thereof, and any and all patents to be issued and obtained therefor and thereon, United States and foreign, including all reissues and extensions thereof.

Assignor hereby authorizes and requests the firm of Woodard, Emhardt, Naughton, Moriarty & McNett of Bank One Center Tower, 111 Monument Circle, Suite 3700, Indianapolis, Indiana 46204-5137 to insert here in parentheses (Serial No. 09/690,495, Filed October 17, 2000) the date and serial number of said application when officially known and Assignors hereby authorizes and requests the Honorable Assistant Commissioner of Patents to issue said Letters Patent to Assignee, its assigns and legal representatives.

ASSIGNMENT

Page 1 of 4

PATENT

REEL: 011859 FRAME: 0960

Assignor agrees to assign and execute any and all other papers necessary or desirable for the procurement of Letters Patent on said invention in this and all foreign countries for the use of Assignee.

WITNESS Assignor's hands this 12th day of April, 2001.

Walter J. Hough
Walter J. Hough

STATE OF SOUTH CAROLINA)
) SS:
COUNTY OF Charleston)

Before me, a Notary Public, in and for said Country and State, personally appeared the above-named Walter J. Hough, to me personally known, and who executed the foregoing Assignment in my presence and acknowledged the execution thereof as their free and voluntary act and deed for the uses and purposes therein set forth and expressed.

Linda Higgins
Notary Public
Printed: Linda Higgins
Resident of Charleston County

My Commission Expires:
NOTARY PUBLIC FOR SOUTH CAROLINA
My commission expires August 30, 2009

Assignor agrees to assign and execute any and all other papers necessary or desirable for the procurement of Letters Patent on said invention in this and all foreign countries for the use of Assignee.

WITNESS Assignor's hands this 21 day of May, 2001.

Cheng G. Li
Cheng G. Li

STATE OF Michigan

)

) SS:

COUNTY OF Oakland

)

Before me, a Notary Public, in and for said Country and State, personally appeared the above-named Cheng G. Li, to me personally known, and who executed the foregoing Assignment in my presence and acknowledged the execution thereof as their free and voluntary act and deed for the uses and purposes therein set forth and expressed.

Stefanie M. Lee
Notary Public
Printed: Stefanie M. Lee
Resident of Lapeer County
Acting in Oakland County

My Commission Expires:

July 26, 2005

Assignor agrees to assign and execute any and all other papers necessary or desirable for the procurement of Letters Patent on said invention in this and all foreign countries for the use of Assignee.

WITNESS Assignor's hands this 23 day of May, 2001.

[Signature]
Ying Zhou

STATE OF OREGON)
COUNTY OF Washington) SS:
)

Before me, a Notary Public, in and for said Country and State, personally appeared the above-named Ying Zhou, to me personally known, and who executed the foregoing Assignment in my presence and acknowledged the execution thereof as their free and voluntary act and deed for the uses and purposes therein set forth and expressed.

[Signature]
Notary Public
Printed: Dana L. Connor
Resident of Columbia County

My Commission Expires:

10-19-2003

