

06-08-2001

cket No.: YOR920010144US1

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101743226

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

CAROL J. DOSE; JAMES T. GRIFFIN;
GREGORY M. HEETLAND; JOSEPH C. MALJEVEC;
JENNIFER L. MATTHEWS

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 5/18/01; 5/21/01

2. Name and address of receiving party(ies):

Name: INTERNATIONAL BUSINESS MACHINES

Address: CORPORATION

NEW ORCHARD ROAD

City: ARMONK

State/Prov.: NY

Country: USA

ZIP: 10504

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 5/18/01; 5/21/01

Patent Application No.

Filing date

B. Patent No.(s)

09865967

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: PHILMORE H. COLBURN II

Registration No. 35,101

Address: CANTOR COLBURN LLP

55 GRIFFIN ROAD SOUTH

City: BLOOMFIELD

State/Prov.: CT

Country: USA

ZIP: 06002

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

50-0510

06/08/2001 AAHME1 00000045 500510 09865967

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

DAVID A. FOX, REG. NO. 38,807

Name of Person Signing

Signature

MAY 25, 2001

Date

Total number of pages including cover sheet, attachments, and

9

PATENT

REEL: 011860 FRAME: 0778

ASSIGNMENT

Whereas, we

- (1). **Carol J. Dose** of 460 Forestview Curve, City of Zumbrota, County of Goodhue and State of Minnesota
- (2). **James T. Griffin**, of 501 31st Street NW, City of Rochester, County of Olmsted and State of Minnesota
- (3). **Gregory M. Beetland** of 4102 57th Street Lane NW, City of Rochester, County of Olmsted and Minnesota
- (4). **Joseph C. Maljevec** of 31 South Avenue, #2, City of Wappingers Falls, County of Dutchess and State of New York, and
- (5). **Jennifer L. Matthews** of 1504 Spiderlily View, City of Cedar Park, County of Williamson and State of Texas.

have invented certain improvements in

SYSTEM AND METHOD FOR PERFORMING RATIO PLANNING

and executed a United States Patent Application therefor on

(1) 5-21-01
(Date Inventor signed Declaration)

(4) _____
(Date Inventor signed Declaration)

(2) _____
(Date Inventor signed Declaration)

(5) _____
(Date Inventor signed Declaration)

(3) _____
(Date Inventor signed Declaration)

(6) _____
(Date Inventor signed Declaration)

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(Date Inventor signed Declaration)

(3) _____
(Date Inventor signed Declaration)

(6) _____
(Date Inventor signed Declaration)

A S S I G N M E N T (Continued)

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York, 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and the invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patents granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

(1) Signed at Rochester, NY

Carol J. Dose
(Signature of Inventor)

on 5-21-01
(Date)

CAROL J. DOSE
(Typed Name of Inventor)

(2) Signed at _____

(Signature of Inventor)

on _____
(Date)

JAMES T. GRIFFIN
(Typed name of Inventor)

(3) Signed at _____

(Signature of Inventor)

on _____
(Date)

GREGORY M. HEETLAND
(Typed name of Inventor)

PATENT

REEL: 011860 FRAME: 0782

ASSIGNMENT (Continued)

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York, 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and the invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patents granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

(1) Signed at _____

(Signature of Inventor)

on _____
(Date)

CAROL J. DOSE
(Typed Name of Inventor)

(2) Signed at Rochester, MN


(Signature of Inventor)

on 5/21/2001
(Date)

JAMES T. GRIFFIN
(Typed name of Inventor)

(3) Signed at _____

(Signature of Inventor)

on _____
(Date)

GREGORY M. HEETLAND
(Typed name of Inventor)

A S S I G N M E N T (Continued)

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Signed

(1) Signed at _____

on _____
(Date)

(Signature of Inventor)

CAROL J. DOSE
(Typed Name of Inventor)

(2) Signed at _____

on _____
(Date)

(Signature of Inventor)

JAMES T. GRIFFIN
(Typed name of Inventor)

(3) Signed at Rochester, MN

on 5/18/01
(Date)

Gregory M. Heetland
(Signature of Inventor)

GREGORY M. HEETLAND
(Typed name of Inventor)

ASSIGNMENT (Continued)

(4) Signed at Fish Kill, New YorkJoseph C. Maljevec
(Signature of Inventor)on 5/21/2001
(Date)JOSEPH C. MALJEVEC
(Typed name of Inventor)

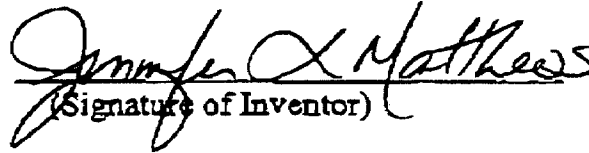
(5) Signed at _____

(Signature of Inventor)on _____
(Date)JENNIFER L. MATTHEWS
(Typed name of Inventor)

ASSIGNMENT (Continued)

(4) Signed at _____

(Signature of Inventor)

on _____
(Date)JOSEPH C. MALJEVEC
(Typed name of Inventor)(5) Signed at 11:39 a.m.
(Signature of Inventor)on 5/21/2001
(Date)JENNIFER L. MATTHEWS
(Typed name of Inventor)