



Form PTO-1595
(Rev. 6-93)
OMB No. 0651-0047

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06-08-2001



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U.S. DEPARTMENT OF COMMERCE
Patent & Trademark Office

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To The Honorable Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies) thereof.

1. Name of conveying party(ies):

Peter R. Oeltgen
Mark S. Kindy
Juan A. Sanchez

Additional name(s) of conveying party(ies) attached? Yes ☐ No ☒

2. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: May 1, 2001 (Oeltgen & Kindy);
May 17, 2001 (Sanchez)

3. Name and address of receiving party(ies):

Name: University of Kentucky Research
Foundation

Internal Address:

Street Address: 207 Administration Building

City: Lexington

State: KY Zip: 40506-0032

Additional name(s) & address(es) attached? Yes ☐ No ☒

4. Application number(s) or patent number(s):

UND 6.1.01

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 09/757,860

B. Patent No.(s)

Additional numbers attached? Yes ☐ No ☒

5. Name and address of party to whom
correspondence concerning document
should be mailed:

Name: Beverly A. Lyman, Esquire
Internal Address: Wood, Herron & Evans, L.L.P.
2700 Carew Tower
Street Address: 441 Vine Street
City: Cincinnati State: Ohio Zip: 45202

6. Total number of applications and patents
involved: 1

7. Total fee (37 CFR 3.41):\$40.00

☒ Enclosed

☒ Authorized to be charged to deposit account
If deficiencies occur

8. Deposit Account Number: 23-3000

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and Signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any
attached copy is a true copy of the original document.*

Beverly A. Lyman, Reg. No. 41,961

Beverly A. Lyman

Signature

May 25, 2001

Date

Total number of pages including cover sheet, attachments, and document(s): 4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 011861 FRAME: 0396

ASSIGNMENT OF INVENTION AND
PATENTS THEREON

WHEREAS, We, Peter R. Oeltgen, 137 Millwood Drive, Winchester in the State of Kentucky, 40391; Mark S. Kindy, 4004 Chinaberry Court, Lexington in the State of Kentucky, 40513; and Juan A. Sanchez, 4885 Pleasant Grove, Lexington in the State of Kentucky, 40515 have invented a new and improved PROTECTION AGAINST ISCHEMIA AND REPERFUSION INJURY as fully disclosed in an application for Patent and filed by us on January 10, 2001, Serial No. 09/757,860 preparatory to obtaining Letters Patent of the United States therefor; and whereas, UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a Nonprofit Scientific or Educational Institution under Statute of the United States of America organized under the Laws of the State of KENTUCKY, and having its principal office at 207 Administration Building, Lexington, Kentucky 40506-0032 desires to acquire the entire interest in and to the subject-matter disclosed in said application and in and to all patents issued or to be issued thereon.

NOW, THEREFORE, to all whom it may concern, be it known that, for and in consideration of the sum of One Dollar to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred, and by these presents do sell, assign and transfer unto the said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION our entire right, title and interest in and to the subject-matter disclosed in said application and in and to all Letters Patent Domestic and Foreign issued or to be obtained thereon, including all rights and interests with priority rights under the Paris Convention for the Protection of Industrial Property, the International Patent Cooperative Union, European Patent Convention, Common Market Convention, or any other Convention or Union for each country of said Convention or Union; and we do hereby authorize and request the Commissioner of Patents to issue the Letters Patent granted on said application and all future patents granted upon the subject-matter disclosed therein to the above named Assignee, its legal representatives and assigns.

Witness my hand this 1st day of May, 2001.

Peter R Oeltgen Inventor.
Peter R. Oeltgen

STATE OF Kentucky)
COUNTY OF Fayette) ss.

On this 1st day of May, 2001, before me personally appeared Peter R. Oeltgen, to me known and known to me to be the above named individual who duly acknowledged the signing of the foregoing instrument to be a voluntary act and deed and who executed the same for the uses and purposes therein specified.

Ann L. Bussard
Notary Public expires 5/15/04

SEAL

Witness my hand this 1st day of May, 2001.

Mark S. Kindy Ph.D. Inventor.
Mark S. Kindy

STATE OF Kentucky)
COUNTY OF Fayette) ss.

On this 1st day of May, 2001, before me personally appeared Mark S. Kindy, to me known and known to me to be the above named individual who duly acknowledged the signing of the foregoing instrument to be a voluntary act and deed and who executed the same for the uses and purposes therein specified.

Ann L. Bussard
Notary Public expires 5/15/04

SEAL

Witness my hand this 17TH day of MAY, 2001.

Juan A. Sanchez Inventor.
Juan A. Sanchez

STATE OF MASSACHUSETTS)
) ss.
COUNTY OF MIDDLESEX)

On this 17TH day of MAY, 2001, before me personally appeared Juan A. Sanchez, to me known and known to me to be the above named individual who duly acknowledged the signing of the foregoing instrument to be a voluntary act and deed and who executed the same for the uses and purposes therein specified.

[Signature]
Notary Public

SEAL

My Commission Expires 2/16/2007