

06-11-2001

IEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner

101744215

he attached original documents or copy thereof.

1. Name of conveying party(ies):

1. Yigang Cai
2. Simon Xu Chen
3. Ning-Yin Chou
4. Valentin Mircea Hossu
5. Chitresh Kumar Yadav

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date(s): May 10, 2001
May 11, 2001
May 15, 2001
May 23, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: May 10, 2001
May 11, 2001
May 15, 2001
May 23, 2001

A. Patent Application No.(s)

09/808934

B. Patent No.(s)

[Patent Number not found in DB]

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Docket Administrator

Internal Address: Room 3J-219

Street Address: 101 Crawfords Corner Road

City: Holmdel State: NJ ZIP: 07733-3030

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00

☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 12-2325

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jack R. Penrod

Name of Person Signing

Jack R. Penrod
Signature

6-1-01
Date

Total number of pages including cover sheet, attachments, and document: 7

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignment
Washington, D.C. 20231

Case Name/No.: Cai 17-1-2-1-1

Copy: C. Baldwin

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231, on June 1, 2001

John Richied
Signature

ASSIGNMENT AND AGREEMENT

For value received, we, Yigang Cai, of Naperville in the County of DuPage and the State of Illinois, and Simon Xu Chen, of QingDao in the County of QingDao and the Country of China, and Ning-Yin Chou, of Naperville in the County of Will and the State of Illinois, and Valentin Mircea Hossu, of Winfield in the County of DuPage and the State of Illinois, and Chitresh Kumar Yadav, of Lisle in the County of DuPage and the State of Illinois, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey, 07974-0636, U.S.A. and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Communication Of Intelligent Network Signaling Between A SSP And An External Intelligent Peripheral** described in an application for Letters Patent of the United States, Serial No. 09/808934, filed March 15, 2001, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Yigang Cai
Yigang Cai

Date: 5/11/2001

United States of America)

State of Illinois) ss.:

County of DeWitt)

On this 11th day of May, 2001, before me personally came, Yigang Cai to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Joan Richied
Notary Public



Xu Chen
Xu Chen

Date: 05/23/2001

On this _____ day of _____, 20____, before me personally came, Xu Chen to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public



Ning-Yin Chou

Date: 5/10/01

United States of America)

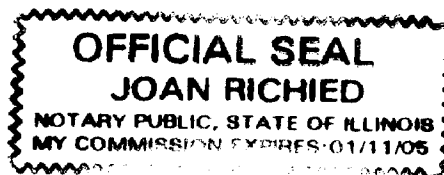
State of Illinois) ss.:

County of Du Page)

On this 10th day of May, 2001, before me personally came, Ning-Yin Chou to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public



Valentin M. Hossu
Valentin Mircea Hossu

Date: 5/15/2001

United States of America)

State of Illinois) ss.:

County of DeWitt)

On this 15th day of May, 2001, before me personally came, Valentin Mircea Hossu to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Joan Richied
Notary Public



Chitresh

Chitresh Kumar Yadav

Date: 05-10-2001

United States of America)

State of Illinois) ss.:County of DeWitt)

On this 10th day of May, 20 01, before me personally came, Chitresh Kumar Yadav, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Joan Richied

Notary Public



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