

06-11-2001

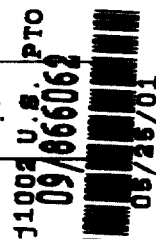
U.S. DEPARTMENT OF COMMERCE



Patent and Trademark Office

101744625

RECORDATION FORM COVER SHEET



To the Honorable Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name(s) of conveying party:

Robert J. Martinsen

15/95/14

2. Name and address of receiving party:

Novalux, Inc.
1170 Sonora Court
Sunnyvale, California 94086

3. Nature of conveyance: Assignment

Execution Date: May 24, 2001

4. Application number:

Docket No.: 11809/6

If this Assignment is being filed together with a new application, enter the execution date of the application (date Declaration signed): May 24, 2001

5. Name and address of party to whom correspondence concerning Assignment should be mailed:

Shawn W. O'Dowd
KENYON & KENYON
333 W. San Carlos Street, Suite 600
San Jose, CA 95110-2711

09/866062

6. Total number of applications involved: 1

7. Total fee (37 CFR 3.41) = \$40.00. Charge fee to Deposit Account No. 11-0600.

8. A duplicate copy of this sheet is attached for that purpose.

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: May 25, 2001
Telephone: (408) 975-7500
Facsimile: (408) 975-7501

Signature of Attorney:

[Signature]
Shawn W. O'Dowd
(Reg. No. 34,687)

06/08/2001 AAHMED1 00000154 110600 09866062
01 FC:581 40.00 CH

ASSIGNMENT

In consideration of good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I (we) the undersigned hereby sell, assign, convey, and transfer to Novalux, Inc., a California corporation, having a principal place of business at 1170 Sonora Court, Sunnyvale, California 94086 ("Assignee"), and its successors, assigns, and legal representatives, the entire right, title, and interest for the United States and all foreign countries, in and to any and all inventions and improvements that are disclosed in the United States patent application entitled

METHOD AND APPARATUS FOR CONTROLLING THERMAL VARIATIONS IN AN OPTICAL DEVICE

which was executed on the date(s) identified below and is filed herewith; or

which was filed on _____ and assigned Application No. _____ ;
Date

and in and to said application and any related divisional, continuing, substitute, renewal, reissue, or other patent application, certificate of invention, or other form of protection that has been or will be filed in the United States or in any foreign country on any of said inventions and improvements; and in and to all original and reissued patents that have been or will be issued in the United States or in any foreign country on said inventions and improvements; and in and to all rights of priority resulting from the filing of said United States patent application;

agree that Assignee may apply for and receive a patent or patents for said inventions and improvements in its own name; and that when requested, without charge to, but at the expense of, Assignee, its successors, assigns, and legal representatives; in order to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all documents and other papers including divisional, continuing, substitute, renewal, reissue, and all other patent applications; oaths; assignments; powers of attorney; petitions; specifications; and declarations relating to any and all said inventions and improvements;

agree to communicate promptly to Assignee, its successors, assigns, and representatives all facts and documents known to the undersigned relating to said inventions and improvements and the history thereof, including as necessary for complying with any duty of disclosure;

agree generally to assist Assignee, its successors, assigns, or representatives in securing and maintaining proper patent protection for said inventions and improvements and for vesting title to said inventions and improvements, and all applications for patents and all patents on said inventions and improvements, in Assignee, its successors, assigns, and legal representatives;

ASSIGNMENT (Cont.)

agree that the terms of this Assignment will inure to the benefit of Assignee, its successors, assigns, and legal representatives, and will be binding on the undersigned's heirs, assigns, and legal representatives; and

covenant with Assignee, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Each Inventor: Please Sign and Date Below:

Each Inventor: Please also list the date that you signed the accompanying DECLARATION AND POWER OF ATTORNEY:

Printed Name
Robert J. Martinsen

Signature *R. Martinsen* Date **5/24/01**

Date **5/24/01**

Printed Name

Signature Date

Date

Printed Name

Signature Date

Date

Printed Name

Signature Date

Date

Assignment Document Return Address:

KENYON & KENYON, 333 West San Carlos Street, Suite 600; San Jose, CA 95110
(408.975.7500)