

06-11-2001

Form 1595
1-31-92 (modified)

R



ET

U.S. Department of Commerce
Patent and Trademark Office

6.401

101743863

To the Honorable Assistant Commissioner for Patents: Please record the attached
original documents or copy thereof

1. Name of conveying party(ies):
A. D. Johnson
Valery V. Martynov
Vikas Gupta
Add'l names of conveying parties attached?
☐ Yes ☒ No

2. Name/address of receiving
party(ies):
TiNi Alloy Company

1621 Neptune Drive
San Leandro, CA 94577

3. Nature of conveyance: ☒ Assignment
☐ Merger ☐ Security Agreement ☐ Other
☐ Change of Name ☐ Reassignment

4. Date of execution: May 23, 2001

Add'l names of receiving parties
attached? ☐ Yes ☒ No

5. Application number(s) and/or patent number(s):

If this document is being filed with a new application, the date of signature
by the first named inventor was: _____.

A. Patent Application No.(s)
09/768,700 filed 24 January 2001

B. Patent No.(s)

400

Additional numbers attached: ☐ Yes ☒ No

6. Name and address of party to whom
correspondence concerning document
should be mailed:

Iota Pi Law Group
P.O. Box 60850
Palo Alto, CA 94306
(650) 324-0880
Customer No. 22918

7. Total No. of applications and
patents involved:
one (1)

8. Total fee (37 CFR \$3.41): \$40.00
☒ Enclosed is a check for \$40.00

Total number of pages, including cover
sheet, attachments and document: 5

DO NOT USE THIS SPACE

10. Statement and signature:

*To the best of my knowledge and belief, the foregoing information
is true and correct and any attached copy is a true copy of the
original document.*

Peter J. Dehlinger
Name of Person Signing

Peter J. Dehlinger
Signature

5-31-2001
Date

06/08/2001 6TON11 00000106 09768700

01 FC:581

40.00 OP

PATENT
REEL: 011866 FRAME: 0101

Assignment

THIS ASSIGNMENT, by A. D. Johnson, Valery V. Martynov, and Vikas Gupta, (hereinafter referred to as the Assignors), residing at 13003 Neptune Drive, San Leandro, CA 94577; 335 18th Avenue, San Francisco, CA 94121; and 238 Lorraine Blvd., San Leandro, CA 94577, respectively, witnesseth:

WHEREAS, the said Assignors have invented certain new and useful inventions set forth in an application for Letters Patent of the United States entitled THIN-FILM SHAPE MEMORY ALLOY DEVICE AND METHOD, bearing Serial No. 09/768,700 and filed on **January 24, 2001**;

WHEREAS, **TiNi Alloy Company**, a corporation duly organized under and pursuant to the laws of **California**, and having its principal place of business at **1621 Neptune Drive, San Leandro, California 94577** (hereinafter referred to as the Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon;

NOW, THEREFORE, for valuable consideration, the receipt of which is hereby acknowledged, the said Assignors have sold, assigned, transfer and set over, and by these presents does sell, assign, transfer and set over, unto the said Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues, reexaminations, or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said Assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the Assignor, had this sale and assignment not been made.

AND, for the same consideration, the said Assignors hereby covenant and agree to and with the said Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said Assignors are the joint and lawful owners of the entire right, title and interest in and to the said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that the said Assignors

have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

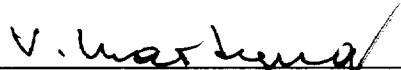
AND, for the same consideration, the said Assignors hereby covenant and agree to and with the said Assignee, its successors, legal representatives and assigns, that the said Assignors will, whenever counsel of the said Assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation, or continuation-in-part of any application for Letters patent, or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said Assignees, their successors, legal representatives and assigns, but at the cost and expense of the said Assignee, its successors, legal representatives and assigns.

AND, the said Assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to the said Assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use and behoof of the said assignee, its successors, legal representatives and assigns.

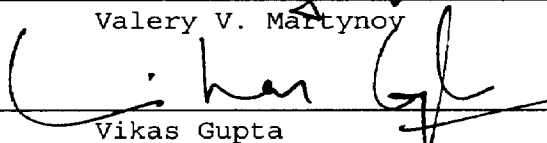
Date: 13 May 2001


A. D. Johnson

Date: May 23, 2001


Valery V. Martynov

Date: MAY 23, 2001


Vikas Gupta

WITNESSES TO SIGNATURE BY **A. D. Johnson**

1.

Valsa Farlow
Signature

5/23/01
Date

Valsa Farlow
Print Name

2301 Reading Ave.
Street Address

Castro Valley, CA 94546
City, State & Zip Code

2.

Grant Vassar
Signature

5/23/01
Date

Grant Vassar
Print Name

834 Barri Dr
Street Address

San Leandro, CA 94578
City, State & Zip Code

WITNESSES TO SIGNATURE BY **Valery V. Martynov**

1.

Valsa Farlow
Signature

5/23/01
Date

Valsa Farlow
Print Name

2301 Reading Ave.
Street Address

Castro Valley, CA 94546
City, State & Zip Code

2.

Grant Vassar
Signature

5/23/01
Date

Grant Vassar
Print Name

834 Barri Dr
Street Address

San Leandro, CA 94578
City, State & Zip Code

* * * * *

Note: May be notarized in lieu of witnessing.

WITNESSES TO SIGNATURE BY **Vikas Gupta**

1.

Valsa Farlow
Signature
Valsa Farlow
Print Name
2301 Reading Ave.
Street Address
Castro Valley, CA 94546
City, State & Zip Code

5/23/01
Date

2.

Grant Vassar
Signature
Grant Vassar
Print Name
834 Barvi Dr
Street Address
San Leandro, CA 94578
City, State & Zip Code

5/23/01
Date

STATE OF California _____

COUNTY OF _____

On _____ before me, _____, personally appeared **A. D. Johnson**, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature

(Seal)
