

06-11-2001

FORM PTO-1595



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PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Timothy W. King and Charles W. Patrick, Jr.

(Additional parties listed on attached page)

2. Name and Address of receiving party(ies):

Name: BOARD OF REGENTS, THE UNIVERSITY OF TEXAS SYSTEM

Address 201 West 7th Street

City: Austin, State: TEXAS 78701

Country: USA

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: April 16, 2001 and April 4, 2001, respectively

4. Application number(s) or patent number(s). 09/671,540

☒ This document is being filed after the initial filing of the above-numbered application which is identified below:

Execution date of the application: February 19, 2001 and February 16, 2001, respectively.

Title: COMPOSITIONS AND METHODS FOR BIODEGRADABLE MICROSPHERES AS CARRIERS OF BIOACTIVE SUBSTANCES

A. Patent Application No.(s)

Additional numbers attached? ☐ Yes ☒ No

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning Document should be mailed:

Name: Sanford E. Warren, Jr.  
Gardere Wynne Sewell LLP

Address: 1601 Elm Street, Suite 3000

City: Dallas

State: Texas Zip: 75201-4761

6. Number of applications and patents involved: (1)7. Amount of **fee enclosed** or authorized to be charged: **\$40.00**

8. Deposit Account No: (Attach duplicate copy if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

June 4, 2001

Date

Edwin S. Flores, Reg. No.: 38,453

Total Number of Pages Including Cover Sheet, Attachments and Document: 4

DALLAS 1018293v1

PATENT  
REEL: 011866 FRAME: 0650

ASSIGNMENT

WHEREAS, we, Timothy W. King and Charles W. Patrick, Jr, have made an invention entitled COMPOSITIONS AND METHODS FOR BIODEGRADABLE MICROSPHERES AS CARRIERS OF BIOACTIVE SUBSTANCES, for which application for United States Letters Patent was filed, on September 27, 2000, and assigned Serial No. 09/671,540; and

WHEREAS, Board of Regents, The University of Texas System, a university, having a place of business at 201 West 7th Street, Austin, Texas 78701, (hereinafter referred to as "Assignee") desires to acquire the entire right, title and interest in, to and under the above-entitled invention and application and any patents which may be granted on said invention in the United States and in any and all foreign countries;

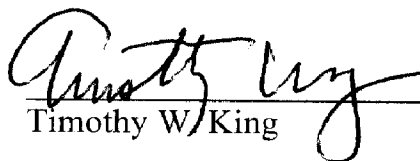
NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, we do sell, assign, and transfer, unto Assignee, the full and exclusive right, title and interest in and to any and all patents which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and to any and all divisions, reissues, continuations and extensions thereof.

We hereby authorize and request the Patent Office of the United States and any and all foreign countries to issue any and all of said patents, when granted to Assignee as the Assignee of my entire right, title and interest in and to the same, for the sole use and enjoyment of Assignee, its successors and assigns.

Further, we agree that we will communicate to Assignee, or its representatives, any facts known to me respecting said invention, and testify in any legal proceedings, sign all lawful papers, execute all divisions, continuation, substitution, renewal and reissue applications, execute all necessary papers to cause any and all of said patents to be issued to Assignee, make all rightful oaths and generally do everything necessary or desirable to aid Assignee, its successors and assigns, to obtain and enforce proper protection for said invention in the United States and in any and all foreign countries.

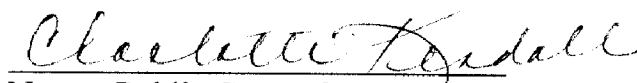
IN TESTIMONY WHEREOF, we have hereunto set our respective hands and affixed our respective seals on the date indicated below.

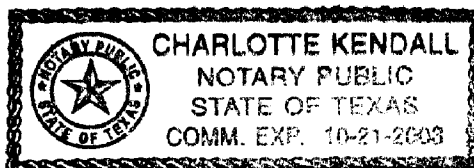
Date: 4/16/2001

  
Timothy W. King

State of Texas       §  
                             §  
County of Harris   §

Subscribed and sworn to before me on this 16<sup>th</sup> day of April, 2001, which witness my hand and official seal.

  
Notary Public-State of Texas  
Printed Name: Charlotte Kendall  
My Commission Expires: 10-21-2003



Date: 4/4/01

Charles W. Patrick, Jr.  
Charles W. Patrick, Jr.

State of Texas       §  
                             §  
County of Harris     §

Subscribed and sworn to before me on this 4<sup>th</sup> day of April, 2001,  
which witness my hand and official seal.

Charlotte Kendall  
Notary Public-State of Texas  
Printed Name: Charlotte Kendall  
My Commission Expires: 10-21-2003

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